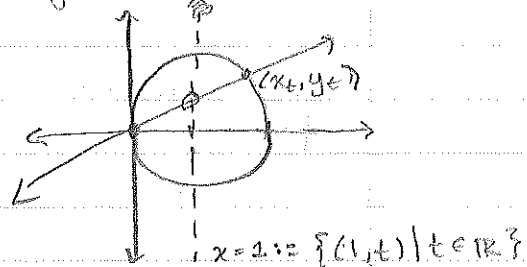


Math 763  
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 VV 605

① Enumerate all points sat.  $(x-1)^2 + y^2 = 1$  in  $k^2$ ,  $k$  an arbitrary field.

$(x_t, y_t)$  is the 2<sup>nd</sup> pt of int, besides  $(0,0)$  of the line through  $(0,0) \neq (1,t)$ .



$$y_t = tx_t$$

$$\Rightarrow (x_t - 1)^2 + (tx_t)^2 = 1$$

$$x_t^2 - 2x_t + 1 + t^2 x_t^2 = 1$$

$$\cancel{x_t} (x_t - 2 + t^2 x_t) = 0$$

$$x_t = \frac{2}{1+t^2}, y_t = \frac{2t}{1+t^2}$$

We have constructed a 1-1 corresp. btwn pts on the line & pts on the circle, almost. Problem: origin! So we need to add a pt to the line at  $\infty$ , i.e. compactify the line.

Cor: Any smooth quadric with a pt (in  $\mathbb{P}^2$ ) is  $\cong$  to  $\mathbb{P}^1$ .

② Same problem w/  $C: y^2 = x^2(x+1)$

Over  $\mathbb{R}$ :  $y = \pm |x| \sqrt{x+1}$

Draw line through  $(0,0) \neq (1,t)$ , denote 2<sup>nd</sup> int pt  $(x_t, y_t)$ .

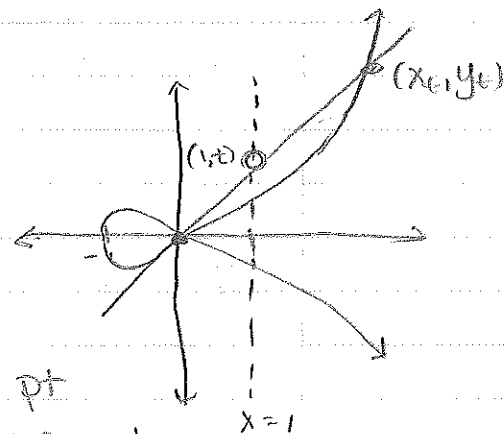
[Note: given eqn of line & cubic, has

double root at  $(0,0)$ , so has another pt

of int.] Hope to get corresp. btwn pts

on  $x=1$  & solns of  $y^2 = x^2(x+1)$  [i.e.,  $(1,0) \leftrightarrow (x_t, y_t)$ ]

$t^2 x_t^2 = x_t^2 (x_t + 1) \Rightarrow x_t = t^2 - 1, y_t = t^3 - t$ . But  $t = \pm 1$  gives  $(0,0)$ , since there are 2 tangents at  $(0,0)$ .



Cor: Any cubic w/ a double pt (in  $\mathbb{P}^2$ ) is  $\cong$  after removing the double pt to  $\mathbb{P}^1 \setminus \{2 \text{ pts}\}$ . There is an open set on  $C$  which is  $\cong$  to an open set in  $\mathbb{P}^1$ .

③ Try the same for  $y^2 = x(x-1)(x+1)$ . Will fail miserably - not a rational problem (i.e. can't represent sol'n as rat'l fn of one variable). Not a rational curve.

• In algebraic terms, if  $R_i = \mathbb{K}[x, y]/(f_i)$ ,  $f_1 = y^2 - x^2(x+1)$ ,  $f_2 = y^2 - x(x-1)(x+1)$ , then the field of fracs  $L_i$  is  $L_1 \cong \mathbb{K}(t)$ , but  $L_2 \not\cong \mathbb{K}(t)$ . (1.1) (1.2)

• We can define an alg. invariant to distinguish the 2 cases: arithmetic genus = 0 in 1st case  $\neq$  1 in 2nd.

Def: let  $k$  be an alg. closed field.

• Affine  $n$ -space over  $k$ , denoted  $A^n$ , is the set of  $n$ -tuples of elems of  $k$ .  $A^n = k^n$  (but don't think of it as a vector sp -  $A^n$  does not have a distinguished origin).

• The set of alg. fns on  $A^n$  is  $\mathbb{K}[x_1, \dots, x_n]$ .

• If  $f$  is a poly in  $n$  vars,  $Z(f) = \{(x_1, \dots, x_n) \in A^n \mid f(x_1, \dots, x_n) = 0\} \subseteq A^n$  is the zero-locus of  $f$ . More generally, if  $T \subseteq \mathbb{K}[x_1, \dots, x_n]$ ,  $Z(T) = \{(x_1, \dots, x_n) \in A^n \mid f(x_1, \dots, x_n) = 0 \forall f \in T\}$ .

• A subset  $Y \subseteq A^n$  is algebraic iff  $\exists T \subseteq \mathbb{K}[x_1, \dots, x_n]$  s.t.  $Y = Z(T)$ .

Ex: In  $A^1$ :

•  $Y = \{a_1, \dots, a_2\} \subseteq A^1 \Rightarrow Y = Z((x-a_1) \dots (x-a_2))$ , so  $Y$  is algebraic.

•  $Z \subseteq C = A^1$  is not algebraic:

Lemma:  $Z(T) = Z(\mathfrak{a})$ ,  $\mathfrak{a}$  = ideal gen by  $T$  in  $\mathbb{K}[x_1, \dots, x_n]$   
pf:  $T \subseteq \mathfrak{a} \Rightarrow Z(T) \supseteq Z(\mathfrak{a})$  [b/c added more polys to get  $\mathfrak{a}$ ]. But  $\forall f \in \mathfrak{a}$ ,  $f = \sum g_i f_i$ ,  $f_i \in T$ . so if  $x \in Z(T)$ , then  $f_i(x) = 0 \forall i \Rightarrow f(x) = 0 \Rightarrow x \in Z(\mathfrak{a}) \Rightarrow Z(\mathfrak{a}) \supseteq Z(T)$ .  $\square$

If  $Z \subseteq \mathbb{C}$  were algebraic,  $Z = Z(T)$  for some  $T \in \mathbb{C}[x]$   
 $\Rightarrow Z = Z(f)$ , but  $\mathbb{C} = \mathbb{C}[x]$  a PID, for  
 $f \in \mathbb{C}[x]$ . But  $f$  has fin. many zeros  $\Rightarrow Z$  finite  $\square$ .  
 Thus, algebraic sets in  $\mathbb{A}^1$  are finite or all of  $\mathbb{A}^1$   
 (from  $f \equiv 0$ ).

Prop: Alg. sets in  $\mathbb{A}^n$  form the closed sets of a  
 topology on  $\mathbb{A}^n$ , called the Zariski topology.

9/5 Pf: (1)  $\emptyset, \mathbb{A}^n$  are alg. sets:

$$\emptyset = Z(1)$$

$$\mathbb{A}^n = Z(0)$$

(2) If  $Y_1, Y_2$  are alg, then  $Y_1 \cup Y_2$  alg.

$$Z(T_1) \cup Z(T_2) \quad \text{Claim: } Y_1 \cup Y_2 = Z(T_1, T_2),$$

$$T_1, T_2 = \{fg \mid f \in T_1, g \in T_2\}$$

Pf: Let  $x \in Y_1 \cup Y_2$ . WTS:  $\forall fg \in T_1, T_2, (fg)(x) = 0$

If  $x \in Y_1$ , then  $f(x) = 0 \forall f \in T_1 \Rightarrow fg(x) = 0 \forall fg \in T_1, T_2$ .

Sim. if  $x \in Y_2$ .

Let  $x \in Z(T_1, T_2)$ . Assume  $x \notin Y_1$ . Then  $\exists f_0 \in T_1$

s.t.  $f_0(x) \neq 0$ . But  $\forall g \in T_2$ , since  $x \in Z(T_1, T_2)$ , i.e.

$$(f_0 g)(x) = 0 \Rightarrow g(x) = 0 \Rightarrow x \in Z(T_2) = Y_2.$$

(3) If  $Y_i = Z(T_i)$ , Then  $\bigcap Y_i = Z(\bigcup T_i)$ . Clear.  $\square$

Def: This top. is called the Zariski topology on  $\mathbb{A}^n$   
 (or on alg. sets by the induced topology).

Ex: On  $\mathbb{A}^1$ , closed sets are finite or  $\mathbb{A}^1$ .

• On  $\mathbb{A}^2$   $x$ -axis =  $Z(y^2)$  a closed subset but infinite.

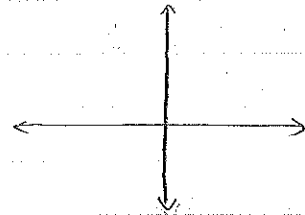
Def: A top. sp.  $X \neq \emptyset$  is irreducible  $\Leftrightarrow$  if  $X = X_1 \cup X_2$  w/  $X_1, X_2$  closed, then  $X_1 = X$  or  $X_2 = X$ .

Ex:  $Z(xy) \subseteq \mathbb{A}^2$

"

$Z(x) \cup Z(y)$

$\Rightarrow xy$  is reducible.



Prop: If  $U \subseteq X$  is nonempty & open &  $X$  irred, then  $U$  irred & dense.

Pf: We have:  $X = (X \setminus U) \cup \bar{U}$   
 $\uparrow$  closed  $\uparrow$  closed  
 b/c  $U$  open

$(X \setminus U) \neq X$  b/c  $U \neq \emptyset$ .

So  $\bar{U} = X$ , i.e.  $U$  is dense.

[In  $\mathbb{A}^1$ , all open sets are  $\emptyset$ , so if  $U$  open, then  $\bar{U} \supseteq U \Rightarrow \bar{U} = \mathbb{A}^1$ , but the only  $\emptyset$  cl. set is  $\mathbb{A}^1$ ].

Assume  $U = Z_1 \cup Z_2$  w/  $Z_1, Z_2$  cl. in  $U$ . Let  $Z_1 = Y_1 \cap U$ ,  $Z_2 = Y_2 \cap U$ ,  $Y_1, Y_2$  cl. in  $X$ .

$X = Y_1 \cup Y_2 \cup (X \setminus U)$  (since  $U \subseteq Y_1 \cup Y_2$ ) all closed,  
 &  $X \setminus U \neq X \xrightarrow{X \text{ irred}} Y_1 = X$  or  $Y_2 = X \Rightarrow Z_1$  or  $Z_2 = U \Rightarrow U$  irred.  $\square$

\*exer:

Prop: If  $Y \subseteq X$  is any subset &  $Y$  irred, then  $\bar{Y}$  is irred.

Ex: If  $X = \mathbb{A}^2$ ,  $Y_1 = Z(\{y\})$  irred.  $Y = Y_1 \setminus \{x_1, x_2\}$  irred.

Then  $\bar{Y}_1$  also irred:

Def: An affine variety is a closed irred. subset of  $\mathbb{A}^n$ .

Def: A quasi-affine variety is an open set in an affine variety.



We have seen:

$$\{T \subseteq K[x_1, \dots, x_n]\} \longrightarrow \{\text{alg. set in } A^n\}$$

$$T \longmapsto Z(T).$$

Can we go the other way?

$$\{\text{ideal in } K[x_1, \dots, x_n]\} \longleftarrow \{Y \subseteq A^n\}$$

$\longleftarrow$  arbitrary, not nec. alg.

$$I(Y) \longleftarrow Y$$

For  $Y \subseteq A^n$  arbitrary, define  $I(Y) = \{f \in K[x_1, \dots, x_n] \mid f(x) = 0 \forall x \in Y\}$ ,  
an ideal of  $K[x_1, \dots, x_n]$ .

Prop: (1)  $I, Z$  are inclusion reversing (not strictly) ✓

(2)  $I(Y_1 \cup Y_2) = I(Y_1) \cap I(Y_2)$  ✓

(3)  $I(Z(\underline{a})) = \sqrt{\underline{a}}$  (Nullstellensatz)

(4)  $Z(I(Y)) = \overline{Y}$

Pf: (4)  $Y \subseteq Z(I(Y))$  and  $Z(I(Y)) \text{ cl} \Rightarrow \overline{Y} \subseteq Z(I(Y))$

Let  $W$  be cl.  $\& W \supseteq Y$ . WTS:  $Z(I(Y)) \subseteq W$ .

$W \text{ cl} \Rightarrow W = Z(\underline{a})$  for some ideal  $\underline{a} \subseteq K[x_1, \dots, x_n]$ .

$$Z(\underline{a}) \supseteq Y \Rightarrow \underline{a} \subseteq I(Z(\underline{a})) \subseteq I(Y) \Rightarrow Z(\underline{a}) \supseteq Z(I(Y)) \quad \square$$

$\underbrace{\hspace{10em}}_W$

Note: Props 1, 2 & 4 work if  $K$  not alg. cl.

Ex: Let  $\underline{a} = (x^2 + y^2 + 1) \subseteq \mathbb{R}[x, y]$ .

$$Z(\underline{a}) = \emptyset \Rightarrow I(Z(\underline{a})) = \mathbb{R}[x, y] \neq \sqrt{\underline{a}}.$$

In (3), always have  $I(Z(\underline{a})) \supseteq \sqrt{\underline{a}}$  even over non-alg. cl. fields.

↑ a radical ideal that contains  $\underline{a}$

↑ i.e. if  $f^n(x) = 0, f(x) = 0$ .

(i.e. an ideal that's = to its radical)

Cor:  $I, Z$  give an inclusion reversing 1-1 corresp. b/wn alg. sets of  $A^n$  & radical ideals of  $K[x_1, \dots, x_n]$ .

Irreducible  $\leftrightarrow$  prime ideals.

Pf: By (3), (4)  $I, Z$  &  $Z, I$  are id. on rad ideals & closed sets, resp. Then just restrict the bij.

Let  $Y \subseteq A^n$  be irr.  $\underline{a} = I(Y)$ . Assume  $fg \in \underline{a}, (fg) \in \underline{a}$ .

$$\Rightarrow Z(fg) \supseteq Y \Rightarrow Y = (Y \cap Z(f)) \cup (Y \cap Z(g)) \Rightarrow \text{wlog,}$$

$$Z(f) \cup Z(g) \supseteq Y$$

Since  $V$  irred,  $V \subseteq Z(f)$ . Apply I:  $\underline{a} = I(V) \supseteq I(Z(f)) = \sqrt{(f)}$   
 $\Rightarrow f \in \underline{a} \Rightarrow \underline{a}$  prime.

Conversely, assume  $\underline{a}$  is prime. Write  $V = Z(\underline{a})$  as  $Y_1 \cup Y_2$  w/  $Y_i$  closed.  $Y_i = Z(\underline{a}_i)$ . Assume  $\underline{a}_i$  radical.  
 $Z(\underline{a}_1) \cup Z(\underline{a}_2) = Z(\underline{a}_1, \underline{a}_2) \Rightarrow \sqrt{\underline{a}_1, \underline{a}_2} \subseteq \underline{a} \Rightarrow \underline{a}_1$  or  $\underline{a}_2 \subseteq \underline{a}$   
 $\Rightarrow Y_1 \supseteq V$  or  $Y_2 \supseteq V$ . D.

So,  $A^n$  irred  $\Leftrightarrow (0) \subseteq k[x_1, \dots, x_n]$  prime.

If  $f \in k[x_1, \dots, x_n]$  irred, then  $(f)$  prime, so  $Z(f)$  is an affine variety in  $A^n$ .

Def: Let  $V \subseteq A^n$  be an alg. set. Define the affine coordinate ring of  $V$  to be  $A(V) = \mathcal{O}(V) = \mathcal{O}_V = k[x_1, \dots, x_n] / I(V)$ .

9/10  $f$  irred in  $k[x_1, \dots, x_n]$ ,  $Z(f) \subseteq A^n$  a variety. Called a hypersurface. If  $n=2$ , curve;  $n=3$ , surface.

Ex: max. ideals in  $k[x_1, \dots, x_n] \leftrightarrow$  min. alg. sets in  $A^n$ ,  
 ie. points  
 $\underline{m} = (x_1 - a_1, \dots, x_n - a_n) \leftrightarrow P = (a_1, \dots, a_n)$

### Affine Coordinate Ring

If  $V = Z(\underline{a})$  is an alg. set in  $A^n$ , define  $\underline{a}$  radical

$$A(V) = \mathcal{O}(V) = \mathcal{O}_V = k[x_1, \dots, x_n] / \underline{a}$$

$\uparrow$  all polys  $\rightarrow$  restricted to  $V$ , get too many b/c some  $p_i$  are same on  $V$ , those whose difference is 0 on  $V$  ie, those whose diff is in  $\underline{a}$ .

Note: (1)  $Y$  is a variety  $\Leftrightarrow \mathcal{O}_Y$  is an int. dom.

(2)  $\mathcal{O}_Y$  is always a f.g.  $k$ -alg.

[Set of fns on variety completely determine the variety]

Def: A top. sp.  $X$  is called noetherian if any descending seq. of cl. subsets eventually stabilizes.

i.e. if  $Y_1 \supseteq Y_2 \supseteq \dots$  is a seq. of cl. sets,  $\exists$  an  $i$  st.

$$\forall j \geq i \quad Y_j = Y_i.$$

•  $\mathbb{R}$  w/ usual top. not a noeth. sp.

Ex:  $A^n$  & any alg. set w/ Zariski top. are noeth.

- given a noeth. sp., any cl. subsp. also noeth.
- b/c  $\downarrow$  incl. reversing corresp. to ideals in quotient of poly. ring, which is noeth.

Thm: Let  $X$  be noeth. Then every closed set  $Y$  in  $X$  can be written as  $Y = Y_1 \cup \dots \cup Y_r$  w/  $Y_i$  irred. If  $Y_i \neq Y_j$ , this writing is unique. The  $Y_i$ 's are called the irred components of  $Y$ .

Pf: (In Hartshorne) Peel off one irred. component at a time & take closure. Repeating yield descending chain, so stabilizes &  $\therefore$  finite.

Def: If  $Y$  is a Noeth top. sp., then  $\dim Y = \sup \{n \mid \exists \emptyset \neq Y_0 \subsetneq Y_1 \subsetneq Y_2 \subsetneq \dots \subsetneq Y_n, Y_i \text{ irred \& cl.}\}$ , i.e. longest strictly incr. chain of irred. cl. subsets. ( $Y_n$  not nec. =  $Y$ )  
• need irred else always  $\infty$  (add one pt at a time)

Ex:  $\dim A^1 = 1$   $\{\text{pt}\} \subsetneq A^1$

Obs:  $\dim Y$  may be  $\infty$  even though  $Y$  noeth (b/c can be longer & longer finite chains)

Def: If  $R$  is a ring, the Krull dimension is  
 $\dim R = \sup \{n \mid \exists \mathfrak{P}_0 \subsetneq \mathfrak{P}_1 \subsetneq \dots \subsetneq \mathfrak{P}_n, \mathfrak{P}_i \text{ prime ideals}\}$

Prop: If  $Y$  is an alg. set,  $\dim Y = \dim \mathcal{O}_Y$ .  
Pf: Let  $Y = Z(\mathfrak{a})$ ,  $\mathfrak{a} = \sqrt{\mathfrak{a}}$ . Then  $\{\text{closed, irred. subsets of } Y\}$   
 $\xleftrightarrow{-1} \{\text{prime ideals } \mathfrak{q} \supseteq \mathfrak{a}\} \xleftrightarrow{-1} \{\text{prime ideals of } k[x_1, \dots, x_n] / \mathfrak{a} = \mathcal{O}_Y\}$

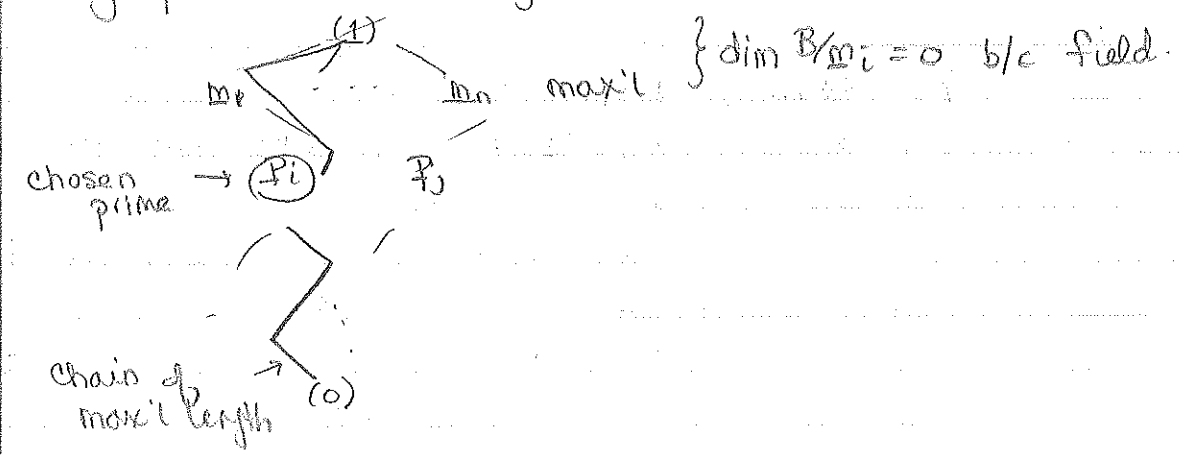
Thm: Let  $k = \text{field}$ ,  $B$  a fig.  $k$ -alg (quotient of poly ring in fin. vars /  $k$ ) & an int. dom.

(a)  $\dim B = \text{tr. deg } B_{(0)} / k$  [transcendence degree]  
 $\uparrow$  field of fracs.

[ $B_{(0)}$  a fin. but not nec. alg. ext'n of  $k$ . How many ind. elts can you find in  $B_{(0)} / k$ ?]

(b) For every prime  $\mathfrak{P} \subseteq B$ ,  $\text{height } \mathfrak{P} + \dim B / \mathfrak{P} = \dim B$ .  
 $\text{ht } \mathfrak{P} = \text{length of longest chain of primes containing } \mathfrak{P}$ .

Ex: You can get the longest chain to go through any prime ideal, by (b).



Cor:  $\dim \mathbb{A}^n = n$   
 Pf: Field of fracs of  $k[x_1, \dots, x_n]$  is  $k(x_1, \dots, x_n)$ , &  $\text{tr. deg.}(k(x_1, \dots, x_n)/k) = n$ . [The ind. elts are  $x_1, \dots, x_n$ ]

Prop: If  $Y \subseteq \mathbb{A}^m$  is quasi-affine (ie  $Y = \text{open subset of cl. set in } \mathbb{A}^m$ ): then  $\dim Y = \dim \bar{Y}$ .

Pf:  $\dim Y \leq \dim \bar{Y}$ : Let  $Y_0 \subset Y \subset \dots \subset Y_n$  be a chain of cl. <sup>irred</sup> subsets in  $Y$ . Then  $\bar{Y}_0 \subset \bar{Y} \subset \dots \subset \bar{Y}_n$  is a chain of cl. <sup>irred</sup> subsets in  $\bar{Y}$ .

(Note:  $\bar{Y}_i \cap Y = Y_i$ , so incl. still strict)

& recall closure of irred. is irred. b/c  $\mathbb{A}^m$  does  $\nexists \bar{Y}$  cl. subset  $\dim Y < \infty$  (b/c  $\bar{Y}$  has fin dim). Pick a maxl. length chain  $Y_0 \subset Y_1 \subset \dots \subset Y_n$  in  $Y$ ,  $n = \dim Y$ .  $Y_0$  is a pt, b/c chain cannot be descended down.  $Y_0$  is same as that of  $\mathcal{O}_Y$ , so chain less than that of all ideals in  $k^m$ , which is  $m$ .

Let  $P \leftrightarrow \mathfrak{m} \subseteq k[x_1, \dots, x_n]$  maxl.

Take  $\bar{Y}_0 \subset \dots \subset \bar{Y}_n$  cl. ined in  $\bar{Y}$ .

Claim: This chain cannot be made longer.

B/c: If I could put  $\bar{Y}_i \subset Z \subset \bar{Y}_{i+1}$  w/  $Z$  cl. & irred, then in  $Y$ ,  $Y_i = \bar{Y}_i \cap Y \subset Z \cap Y \subset \bar{Y}_{i+1} \cap Y = \bar{Y}_{i+1} \cap Y$ . But all dense in their closure, &  $\subset$  inclusions are strict. [Intersecting w/ open set doesn't lose any info.]

$\Rightarrow n = \text{ht of } \mathfrak{m}$ , since have longest chain of ideals contained in  $\mathfrak{m}$ . But  $\dim k[x_1, \dots, x_n]/\mathfrak{m} = 0$  (b/c field), so  $\dim \bar{Y} = n$ .  $\square$

needs  $k$

Prop: (Krull's Hauptidealsatz) Let  $A$  be a noeth. ring,  $f \in A$  which is neither a zero-div. nor a unit. Then every minimal prime  $\mathfrak{p} \ni f$  has ht 1.

i.e. every component of  $Z(f)$  has codimension 1.

ex:  $f = xy$ , in  $k[x, y]$ .  $Z(f) = \begin{array}{|c} \hline \text{---} \\ \hline \end{array} \begin{array}{|c} \hline \text{---} \\ \hline \end{array}$ , two components, each of dim. 1 (b/c  $A^2$  dim 2)

Prop: A ring  $A$  is a ufd  $\Leftrightarrow$  every ht 1 prime ideal is principal.

Cor:  $Y \subseteq A^n$  a variety has  $\dim n-1 \Leftrightarrow Y = Z(f)$  for an irred. poly  $f$ .

Prop:

9/12 (a) If  $R$  is an int. dom. s.t.  $\forall \mathfrak{p}$  prime,  $\text{ht } \mathfrak{p} + \dim R/\mathfrak{p} = \dim R$  then every nonextendable chain of primes has length  $\dim R$ .

Pf: By induction on  $\dim R$ . If  $\dim R = 0$ , done.

Let  $0 = \mathfrak{p}_0 \subset \mathfrak{p}_1 \subset \dots \subset \mathfrak{p}_n$  be a nonextendable chain.

$\text{ht } \mathfrak{p}_1 = 1$  (b/c can't fit anything below it)

$\Rightarrow \dim R/\mathfrak{p}_1 = \dim R - 1$

So  $R/\mathfrak{p}_1$  is a ring for which we already proved the prop. we want:

$0 = \bar{\mathfrak{p}}_1 \subset \bar{\mathfrak{p}}_2 \subset \dots \subset \bar{\mathfrak{p}}_n$  is a nonext. chain in  $R/\mathfrak{p}_1$ .

So by ind. hyp,  $n-1 = \text{length of this chain} = \dim R/\mathfrak{p}_1$ .

$\Rightarrow n = \dim R$ .  $\square$

[Clears up previous pf]

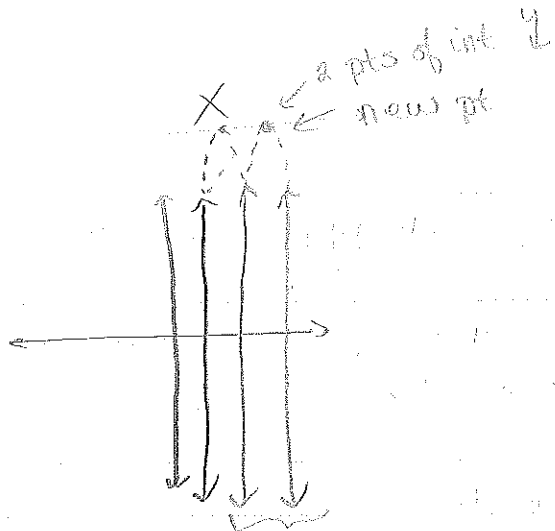
## Projective Space (~1600)

Problem: (1) In the plane, through every 2 pts  $\exists$  1 line.

(2) Two <sup>distinct</sup> lines meet in 0 or 1 pts.

Why 0 or 1? Unpleasant that it's not always 1.

Goal: Define a new space  $\mathbb{P}^2$  s.t.  $A^2 \subseteq \mathbb{P}^2$ , lines make sense,  $\exists$  a line restricted to  $A^2$  is a line in the classical sense,  $\exists$  axiom (2) holds,  $\exists$  2 distinct lines meet in exactly one pt (i.e., no || lines).



need to add a pt "far away" where they intersect [i.e., like looking at railroad tracks]

- all lines that are parallel meet at same pt.

Def 1:  $\mathbb{P}^2 = \mathbb{A}^2 \cup \{\text{one pt for each direction of } \parallel \text{ lines}\}$

equivalence classes of lines in  $\mathbb{A}^2$   
under  $l_1 \sim l_2$  if  $l_1 \parallel l_2$ .

Set of lines through origin

(or set of pts on the  $\mathbb{O}$  w/ antipodal pts identified)

All added pts <sup>pts at  $\infty$</sup>  form a line (chc btwn any 2 pts is a line)

→ a line in  $\mathbb{P}^2$

So a line in  $\mathbb{P}^2 =$  usual line w/ one pt added, or

the set of lines through origin in  $\mathbb{A}^2$ .

Want def that doesn't distinguish pts at  $\infty$ .

Def: Let  $V$  be a vect. sp / field  $k$ . Define

$\mathbb{P}V = \{\text{Lines in } V \text{ through the origin}\}$

OR  $= (V \setminus \{0\}) / k^*$ , i.e. (nonzero vectors acted upon by nonzero elts of  $k$  via scalar mult)  
orbits of this action

OR  $= \{(0, L, v) \mid L \text{ a 1-dim vect subsp}\}$  (rel. to flag varieties)  
 $\leftrightarrow (0, 1, n)$ ,  $n = \dim V$

$\mathbb{P}^n = \mathbb{P}(k^{n+1})$  w/ specific choice of basis  
"  $V$

Def: If  $(x_0, x_1, \dots, x_n) \in V$  (Identify  $V = k^{n+1}$  by picking a basis) denote its image in  $\mathbb{P}V$  by  $[x_0 : x_1 : \dots : x_n]$   
 Eg:  $[1:2] = [2:4]$  in  $\mathbb{P}^2$  (since pts in  $\mathbb{P}$  are equiv. classes)  
 $[x_0 : \dots : x_n] = [\lambda x_0 : \dots : \lambda x_n] \quad \forall \lambda \neq 0, \lambda \in k.$

So, a pt in  $V$  yields a pt in  $\mathbb{P}V$ , but a pt in  $\mathbb{P}V$  does not give a pt in  $V$ .

• What is  $\mathbb{P}^0$ ?  $[x_0] = [1]$  b/c  $[x_0] = [\lambda x_0 : \lambda x_0] = [1]$

$\mathbb{P}^0 = \{pt\}$ .

• What is  $\mathbb{P}^1$ ?  $[x_0 : x_1] = P$  if  $x_1 \neq 0, [\frac{x_0}{x_1} : 1] = P$   
 If  $x_1 = 0, x_0 \neq 0, P = [1 : 0]$

$\Rightarrow \mathbb{P}^1 = \mathbb{A}^1 \cup \{[1:0]\}$

↑ i.e., pt at  $\infty$ .

• What is  $\mathbb{P}^2$ ?

$\mathbb{P}^2 = \mathbb{A}^2 \cup \mathbb{P}^1 = \mathbb{A}^2 \cup \mathbb{A}^1 \cup \mathbb{A}^0$

↑  
 $[x_0 : x_1 : 1]$

↑ if last coord = 0, have case of  $\mathbb{P}^1$  b/c

(divide by last coord)

$[x_0 : x_1 : 0] / \lambda$

•  $\mathbb{P}^n = \coprod_{0 \leq k \leq n} \mathbb{A}^k$

Claim:  $\mathbb{R}\mathbb{P}^n, \mathbb{C}\mathbb{P}^n$  (ie  $\mathbb{P}^n / k = \mathbb{R}, \mathbb{C}\mathbb{P}^n = \mathbb{P}^n / k = \mathbb{C}$ )

are compact.

[projective sp. is compactification of  $\mathbb{R}^n, \mathbb{C}^n$ ]

they are quotients of  $S^n$  for  $\mathbb{R}^n$

↳ by identifying antipodal pts

$\mathbb{R}\mathbb{P}^n \cong S^n / \text{antipode}.$

Def:

If  $W \subset V$  is a linear subsp. of dim  $k+1$ , then the image under the proj. map  $V \setminus \{0\} \rightarrow \mathbb{P}V$  is what we call a linear  $k$ -space in  $\mathbb{P}V$ .

Ex: Check that (a) through every 2 pts of  $\mathbb{P}^2$   $\exists$ ! line.

(b) Every 2 lines meet at a pt.  
 (c) Every line is a pt in  $\mathbb{P}^2$



What are functions on  $\mathbb{P}^n$ ?

- only constant fens (b/c only const. entire fens on  $\mathbb{C}P^1$ )
- If  $f$  is a homogeneous poly in  $k[x_0, \dots, x_n]$ , it makes sense to ask where is  $f=0$  on  $\mathbb{P}^n$ . (doesn't make sense to ask what is  $f(\bar{z})$   $\bar{z} \in \mathbb{P}^n$  b/c can rescale pt & get different answer) If  $f$  is homogeneous of deg  $d$  (all mon's have deg =  $d$ ), then  $f(\lambda x_0, \dots, \lambda x_n) = \lambda^d f(x_0, \dots, x_n)$  & both = 0 at same time since  $\lambda \neq 0$ .

Def: If  $T \subseteq k[x_0, \dots, x_n]$  is a collection of homogeneous polys, then  $Z(T) = \{P \in \mathbb{P}^n \mid f(P) = 0 \ \forall f \in T\}$ . Such a set is called an algebraic set in  $\mathbb{P}^n$ .

Thm: The alg sets form the closed sets of a topology on  $\mathbb{P}^n$ , called the Zariski topology.

Fact:  $\mathbb{P}^n$  is a noetherian top. sp., so all notions of dim, irred, etc. are same.

Def: An algebraic set in  $\mathbb{P}^n$  is called a proj. variety if it is irred. A quasiprojective variety is an open set of a proj. var.

Ex:  $Z(x^2 + y^2 - z^2) \subseteq \mathbb{P}^2$  (note: always one more var. than size of sp.)

- If restrict to  $\mathbb{A}^2$  can declare  $z=1$ , so is a circle
- Pts at  $\infty$  are when  $z=0$ . Solving  $x^2 + y^2 = 0$  2 sol'n's b/c quadrics & lines int. at 2 pts here  $(1, i), (1, -i)$ , so  $\circlearrowleft$  w/ 2 pts at  $\infty$ .

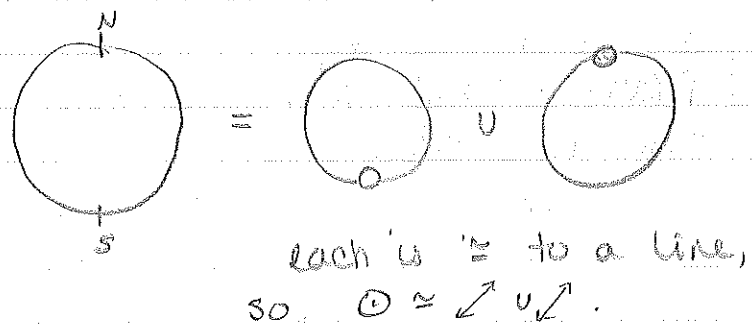
Def: Let  $U_i \subseteq \mathbb{P}^n$  be the locus of pts where  $x_i \neq 0$ .

$U_i = \mathbb{P}^n \setminus Z(x_i)$ .  $U_i$  open b/c  $Z(x_i)$  cl.

Obviously,  $\mathbb{P}^n = \bigcup_{i=0}^n U_i$

Thm:  $U_i \cong \mathbb{A}^n$  wrt the Zariski top  
↑  
homeomorphic

Similar to:



Notation: Let  $f \in k[t_1, \dots, t_n]$  be an arbitrary poly.

Let pick a var.  $x_i$ . The homogenization of  $f$  wrt  $x_i$

is  $\bar{f}(x_0, \dots, x_n) = x_i^{\deg f} \cdot f\left(\frac{x_0}{x_i}, \dots, \frac{x_{i-1}}{x_i}, \frac{x_{i+1}}{x_i}, \dots, \frac{x_n}{x_i}\right)$

(or  $(\frac{x_0}{x_i}, \dots, \frac{x_{i-1}}{x_i}, \dots, \frac{x_n}{x_i})$ )

Ex: If  $f = t_1^2 + t_2^2 - 1$ . Homog wrt  $x$ :

$\bar{f} = t_1^2 + t_2^2 + x^2$  b/c

$\bar{f}(t_1, t_2, x) = x^2 \cdot f\left(\frac{t_1}{x}, \frac{t_2}{x}\right) = x^2 \left(\frac{t_1^2}{x^2} + \frac{t_2^2}{x^2} - 1\right) = t_1^2 + t_2^2 - x^2$

$\bar{f}$  hom,  $\bar{f}(t_1, t_2) = \bar{f}(t_1, t_2, 1)$ .

9/17 From HW: Look at  $X = \{(t^3, t^4, t^5) \mid t \in k\} \subseteq \mathbb{A}^3$ . Let  $I(X) = \mathfrak{p}$  be the corresp ideal in  $k[x, y, z]$ . Show  $\mathfrak{p}$  is a prime of ht 2 not gen'd by 2 polys.

\* Why (geom.) not 2 gen: find one hypersurface that contains curve, & for every second hypersurface that contains the curve intersects the first in the given curve & a second curve. Then need a third curve to isolate the curve we want. \*

$f: \mathbb{A}^1 \rightarrow \mathbb{A}^3$

$t \mapsto (t^3, t^4, t^5)$  cts wrt Zariski top.

$\mathbb{A}^1$  irred  $\Rightarrow f(\mathbb{A}^1)$  irred. (generally true for cts maps)

[So cts closure corresp. to prime ideal]

Why 2 gen's:

Incorrect but intuitive: A general hyperplane intersects the curve 5 times. If 2 hypersurf's cut out the curve, of deg  $d_1$  &  $d_2$ , then their int. has deg  $= d_1 \cdot d_2 = 5$  (in this case), so one must have deg 1, so the curve lies in a plane & (only works in hyperspace)

Correct but clodgy: let  $f$  be a poly. in  $k[x, y, z]$ .

$x, y, z$  s.t.  $f$  vanishes on  $X$ ,  $f = \sum a_{ijk} x^i y^j z^k$ ,  $i, j, k \in \mathbb{N}$

$$\left. \begin{array}{l} \sum_{3i+4j+5k=d} a_{ijk} = 0 \\ a_{0,0,0} = 0 \quad (d=0) \\ a_{1,0,0} = 0 \quad (d=3) \\ a_{0,1,0} = 0 \quad (d=4) \\ a_{0,0,1} = 0 \quad (d=5) \\ a_{2,0,0} = 0 \quad (\text{no } x^2) \\ a_{1,1,0} = 0 \quad (\text{no } xy) \end{array} \right\} \begin{array}{l} \text{no const \&} \\ \text{no lin. terms} \end{array}$$

$$\left. \begin{array}{l} d=8: y^2 = xz \\ d=9: x^3 = yz \\ d=10: x^2y = z^2 \end{array} \right\} \begin{array}{l} \text{in our ideal b/c } (t^4)^2 = (t^3)(t^5) \\ \text{---} \\ \text{---} \end{array}$$

Cannot get 3rd from any 2. If choose 1st 2, cannot get a  $z^2$ . Sim for others [lin. alg. arg.]  
 $\rightarrow$  deg 2 parts must span a 3-dim vect. sp.

Let  $\phi_i: \mathbb{A}^n \rightarrow \mathbb{P}^n$  for  $i=0,1,\dots,n$  be def. as

$$(y_1, \dots, y_n) \mapsto [y_1 : y_2 : \dots : y_{i-1} : 1 : y_{i+1} : \dots : y_n]$$

Conversely, let  $U_i \subseteq \mathbb{P}^n$  be the open set

$$U_i = \{ [x_0 : \dots : x_n] \mid x_i \neq 0 \} \subseteq \mathbb{P}^n \text{ (complement of } Z(x_i), \text{ so open)}$$

$[\mathbb{A}^n \text{ lands in } U_i \text{ under } \phi_i]$

Map  $U_i \rightarrow \mathbb{A}^n$  by

$$[x_0 : x_1 : \dots : x_n] \mapsto \left( \frac{x_0}{x_i}, \dots, \frac{x_{i-1}}{x_i}, \dots, \frac{x_n}{x_i} \right)$$

Claim: These maps are inverse homeomorphisms.

- clearly inverses

(left until def. morphisms)

Cor:  $\mathbb{P}^n$  is covered by  $n+1$  copies of  $\mathbb{A}^n$ .

Cor:  $\dim \mathbb{P}^n = n$

$$(2) \mathbb{P}^n = \mathbb{A}^n \amalg \mathbb{A}^{n-1} \amalg \dots \amalg \mathbb{A}^0 \text{ "stratification"}$$

$$(2) \Rightarrow (1) \text{ by induction: } \mathbb{P}^n = \mathbb{A}^n \amalg \mathbb{P}^{n-1}$$

$$\text{let } Z \text{ be closed in } \mathbb{P}^n. \quad Z = \underbrace{(Z \cap \mathbb{A}^n)}_{\dim \leq n} \cup \underbrace{(Z \cap \mathbb{P}^{n-1})}_{\dim \leq n-1}$$

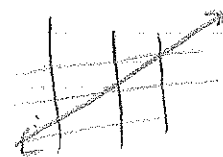
$$\Rightarrow \dim Z = n$$

ex  $(\dim A \cup B = \max(\dim A, \dim B))$  [b/c closures can't incr. dim]

### Products of Projective Spaces

• As a set,  $\mathbb{A}^2 = \mathbb{A}^1 \times \mathbb{A}^1$ , but the top. is not the prod. top.

In prod. top, only get:



, b/c cl. sets in

$\mathbb{A}^1$  are fin. sets of pts.

$Z(x+y)$  is not cl. in prod. top,

but is in Zariski prod.

→ actually: should be taking tensor prod. of coord ring of each.

•  $\mathbb{P}^n \times \mathbb{P}^m \neq \mathbb{P}^{n+m}$ , even as a set. (check # of coords)  
(rescale coords ind on left but not right)

We need a closed embedding  $\mathbb{P}^n \times \mathbb{P}^m \hookrightarrow \mathbb{P}^r$ , called the

Segre embedding:

$$([x_0 : x_1 : \dots : x_n], [y_0 : y_1 : \dots : y_m]) \mapsto [x_0 y_0 : x_0 y_1 : \dots : x_0 y_m : x_1 y_0 : \dots : x_n y_m]$$

(all possible products)

$$\Rightarrow r = (n+1)(m+1) - 1 \quad (\text{one less than \# of coords}) = nm + n + m$$

- map cannot be surj.
- Well-def: if rescale 1<sup>st</sup> coord. of preimage, then whole image gets rescaled. Same for 2<sup>nd</sup> coord.
- Inj: given pt in  $\mathbb{P}^r$ ,  $x_i y_j \neq 0$ . Look at  $x_i y_j$ 's, get 2<sup>nd</sup> pt. Look at  $x_i y_j$ 's & get 1<sup>st</sup> pt.
- Image is a closed set.

ex

Important case:  $\mathbb{P}^1 \times \mathbb{P}^1 \hookrightarrow \mathbb{P}^3$

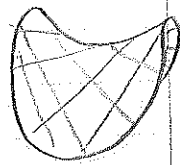
$$([x : y], [u : v]) \mapsto [xu : xv : yu : yv]$$

$$[a : b : c : d]$$

Note:  $ad = bc$   
 $ad = bc \iff ad - bc = 0$  quadric surface,  $Q$

$$\text{In } \mathbb{P}^3 = \mathbb{P}^3_{a:b:c:d}$$

"quadric surface is ruled in 2 different ways"  
 - there are 2 families of lines,  $L$ 's,  $L'$ 's, any 2  $L$ 's don't meet, any 2  $L'$ 's don't meet, any  $L \cap L' = \text{pt.}$



(picture 7.14)



• The lines are  $\text{Im}(\mathbb{P}^1 \times \{\text{pt}\})$   
 &  $\text{Im}(\{\text{pt}\} \times \mathbb{P}^1)$

• fix  $a$  &  $b$ , then solve eqn & get a line.

$$ad = bc \iff a^2 + b^2 + c^2 + d^2 = 0 \quad (\text{full rank + smooth})$$

[symm. matrices are diagonalizable  $\iff$  all quadrics can be written w/ only square terms]

$x_1 \dots x_n$   
 $\begin{pmatrix} \text{coeffs.} \\ \vdots \\ \vdots \\ \vdots \end{pmatrix}$ . Full rank  $\Rightarrow$  need all square terms (ie. no zero entries on diagonal)

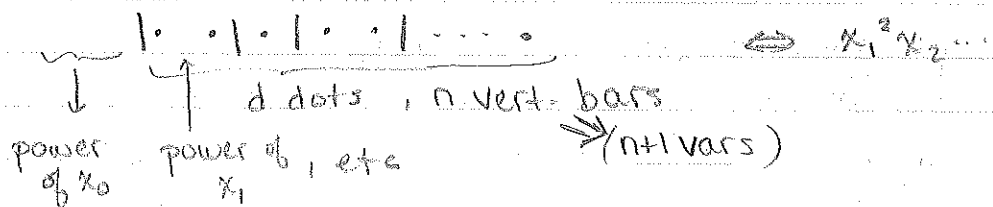
The Veronese Embedding (the d-tuple embedding)

$$\phi: \mathbb{P}^n \hookrightarrow \mathbb{P}^r \begin{matrix} y_0 \dots y_r \\ [x_0 : \dots : x_n] \mapsto [x_0^d : x_0^{d-1}x_1 : \dots : x_n^d] \end{matrix}$$

all deg d monomials in  $x_0, \dots, x_n$

• well def b/c scale preimage by  $\lambda$  scale image

by  $\lambda^d$   
 $r = \binom{n+d}{d} - 1$



n+d slots, d dots  $\Rightarrow \binom{n+d}{d}$  monomials

• If  $Y = Z(f)$ ,  $f$  hom. of deg d in  $\mathbb{P}^n$ ,  $f = \sum a_I x^I$  ( $x^I = x_0^{i_0} x_1^{i_1} \dots x_n^{i_n}$ )

$Y = (\bar{f} = 0) \cap (\text{Im } \phi)$

↓  
hyperplane

↓  
Linear hyperplane  
 $\bar{f} = \sum a_I y_I$

Ex: ① 3-tuple embedding of  $\mathbb{P}^1$

$\mathbb{P}^1 \hookrightarrow \mathbb{P}^3$

$[s:t] \mapsto [s^3 : s^2t : st^2 : t^3]$

(setting  $t=1$ ,  $s \mapsto (s^3, s^2, s, 1)$ , the twisted cubic)

② 2-tuple embedding of  $\mathbb{P}^2$

$\mathbb{P}^2 \hookrightarrow \mathbb{P}^5$

$[x:y:z] \mapsto [x^2 : xy : xz : y^2 : yz : z^2]$  the Veronese surface

\* all quadrics in  $\mathbb{P}^2$  arise by int. of the surface

in  $\mathbb{P}^5$  & a hyperplane in  $\mathbb{P}^5$  (there is a  $\mathbb{P}^5$ 's -

worth of hyperplanes  $\Rightarrow \mathbb{P}^5$ 's worth of quadrics in  $\mathbb{P}^2$ )

9/19

Def:  $I \subseteq K[x_0, \dots, x_n] = S$  ideal. Then  
 $Z(I) = \{ [x_0 : \dots : x_n] \in \mathbb{P}^n \mid f(x_0, \dots, x_n) = 0 \forall \text{ homogeneous } f \in I \}$

Def: A graded ring  $S$  is a ring together with a decomposition  $S = \bigoplus_{d \geq 0} S_d$  (we say  $f \in S_d$  is a homogeneous elt of deg  $d$ ), s.t.  $S_d \cdot S_{d'} \subseteq S_{d+d'}$ .

Ex:  $S = K[x_0, \dots, x_n]$  is a graded ring w/  
 $S_d = \{ f \in S \mid f \text{ homog. of deg } d \}$ .

Note:  $\mathfrak{m} = S_+ = \bigoplus_{d \geq 1} S_d$  is an ideal in  $S$  called the irrelevant ideal. (In poly. ring, these are polys w/ 0 const. term)  $S_+$  a max. ideal.

Def: An ideal  $I \subseteq S$  ( $S$  a graded ring) is homogeneous if  $I = \bigoplus_{d \geq 0} (I \cap S_d)$ .

Lemma:  $I$  is homog.  $\Leftrightarrow$  we can find a set of gens of  $I$  all of which are homog.

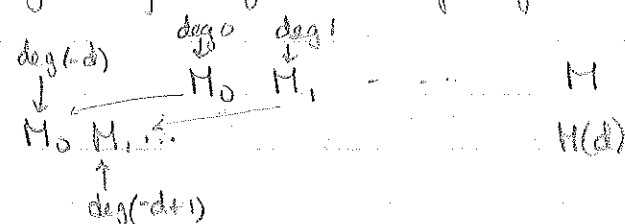
Ex:  $(x, y^2)$  is homogeneous. So not all gens must be homog.  
 $(x, y^2 + x)$

Lemma: If  $S$  is graded &  $I$  homog, then  $S/I$  graded:  
 $(S/I)_d = \pi(S_d)$ ,  $\pi: S \rightarrow S/I$ .  
( $\uparrow$  will not intersect b/c  $I$  homog.)

• If  $f: S \rightarrow S'$  is a map of graded rings (i.e. ring map &  $f(S_d) \subseteq f(S'_d)$ ). Then  $\ker f$  is a homog. ideal.

Def: Let  $S$  be a graded ring. A graded module  $M$  is a module  $M$  & a decomp  $M = \bigoplus_{d \in \mathbb{Z}} M_d$  s.t.  $S_d \cdot M_{d'} \subseteq M_{d+d'}$ .

Twisting Operation: If  $M$  is a graded  $S$ -mod, define  $M(d) \cong M$  as an  $S$ -mod, but  $(M(d))_k = M_{d+k}$ .  
 (grading shift always goes to the left)



Serre's Module (Line Bundle):  $S(1)$

\* If  $M$  f.g., there is a minimum deg. - the  $d$ 's cannot go any far to the left.

\* If  $M, N$  are graded  $S$ -mods, then  $M \otimes_S N$  is also graded:  $(M \otimes_S N)_d = \bigoplus_{k+l=d} M_k \otimes_S N_l$ . (i.e.  $\otimes$  comm. w/  $\oplus$ )

ex \*  $M(1) = M \otimes S(1)$ ,  $M \otimes [N(d)] = (M \otimes N)(d)$

in book { \*  $+, \cdot, \cap, \sqrt{\phantom{x}}$  of homog. ideal is homog.  
 \* to check <sup>homog.</sup> ideal prime, check only for prod. of homog. elts.

If  $Z \subseteq \mathbb{P}^n$  is a closed subset, define  $I(Z) = \langle f \in S \mid f \text{ homog. } \& \text{ } f \text{ vanishes along } Z \rangle$ .

By def,  $I(Z)$  is a homog. ideal in  $S$  (b/c gen by homog. elts).

Prop: There is a 1-1, inclusion reversing correspondence b/wn radical <sup>homog.</sup> ideals  $I$ , s.t.  $I \neq S_+$ , and alg sets in  $\mathbb{P}^n$ . Primes  $\leftrightarrow$  varieties (irred. alg sets).

ex • Problem w/  $S_+$ :  $Z(S_+) = \emptyset$  (b/c only zero at 0, but  $0 \notin \mathbb{P}^n$ ),  $\& \ I(\emptyset) = S$ , so operations  $I \& Z$  being inverses will work as long as get at least 1 pt. in zero locus.



Pf goes thru usual pf for affine sp.  
 any ~~nonempty~~<sup>open</sup> set has covering by affine sets,  
 take ideal of that, then homogenize that.

**Maps**

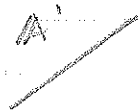
Ex: The affine line  $A^1$  & the curve  $(y^2 = x^3) \subseteq A^2$  are homeomorphic.

$$A^1 \rightarrow C \quad \text{inj., etc., bij.}$$

$$t \mapsto (t^2, t^3)$$

- given  $(x, y) \neq (0, 0)$ ,  $y/x = t$  &  $(0, 0) \rightarrow 0 = t$ .

But map backwards not poly, it's rational. - shouldn't be map of varieties.



$$y^2 = x^3$$

shouldn't be iso. as varieties

ring of fcn's:  
 $k[x]$

singularity

ring of fcn's:  
 $k[x]/(x^3 - y^2)$

[one int. cl. & one not in its field of fracs]

Def: Let  $X \subseteq A^n$  be a quasi-affine variety & let  $U \subseteq X$  be an open set. A fcn  $f: U \rightarrow k$  is said to be regular if it satisfies:

$\forall x \in U, \exists V \subseteq U$  a nbhd of  $x$  &  $g, h \in k[x_1, \dots, x_n]$  s.t.

$$h(y) \neq 0 \quad \forall y \in V \quad \& \quad f(y) = \frac{g(y)}{h(y)} \quad \forall y \in V.$$

\* A regular fcn must locally look like the ratio of 2 poly's.

(tricky) ex: Regular fcn's on  $A^2 \setminus \{(0, 0)\}$  are  $k[x, y]$ .  
 (sim. to Hartogs's Thm in complex anal.)

Prop: A regular fn  $f$  on  $X$  is cts when regarded as a map  $X \rightarrow \mathbb{A}^1$ .   
 ↑ i.e., a global regular fn.   
 ↑ identify elts of  $k$  w/  $\mathbb{A}^1$ , so has top.

Pf: wts  $f^{-1}(cl.) = cl.$

• Enough to check  $f^{-1}(a)$  is cl. in  $X \forall a \in k$ , since  $cl \subseteq \mathbb{A}^1$  is finite set of pts & preimage of fin set is union of preimage of each pt.

•  $Y$  is cl  $\Leftrightarrow \forall U_i$  is cl. in  $U_i$  for a cover  $\{U_i\}_{i \in I}$  of  $X$  by open sets (b/c cl. is a local prop)

$\Leftrightarrow \forall x \in Y, \exists U_x \subseteq X$  open s.t.  $x \in U_x$  &  $U_x \cap Y$  cl. in  $U_x$ .

• Since  $f$  regular,  $\forall x \in Y \exists U_x$  s.t.  $f = g/h$  at all pts of  $U_x$ .

$$Y \cap U_x = \{y \in U_x \mid f(y) = a\}$$

$$= \{y \in U_x \mid g(y)/h(y) = a\} = \{y \in U_x \mid g(y) - ah(y) = 0\}$$

$$= U_x \cap \underline{Z(g-ah)}$$

cl. in  $\mathbb{A}^n$

cl. in  $U_x$

□

Def: Let  $X, Y$  be quasi-affine varieties. A cts map  $f: X \rightarrow Y$  is said to be regular if whenever  $U \subseteq Y$  open &  $g: U \rightarrow k$  is regular, then  $g \circ f$  is regular on  $f^{-1}(U)$ .

Note: If  $Y \subseteq \mathbb{A}^n$ , there are regular fns  $x_1, \dots, x_n: Y \rightarrow k$ , namely the coord fns (proj. onto  $i$ th coord). It is enough to check  $g \circ f$  regular for  $g = x_i, i \in \{1, \dots, n\}$ .

Ex:  $\mathbb{A}^1 \rightarrow \mathbb{A}^2$  reg. b/c comp w/  $x_1, x_2$  is   
 $t \mapsto (t^2, t^3)$   $t^2$  or  $t^3$ , resp, which are regular b/c polys (& so rat'l).

9/24 Def: let  $X$  be a quasi-proj. var,  $X \subseteq \mathbb{P}^n$ ,  $U \subseteq X$  open,  $f: U \rightarrow k$  is regular  $\forall x \in U, \exists x \in V \subseteq U$  open  $\hat{=}$  homog. poly  $g, h \in k[x_0, \dots, x_n]$  of the same deg s.t.  $f(y) = \frac{g(y)}{h(y)} \forall y \in V, \exists h(y) \neq 0 \forall y \in V$ .

Consider the fcn  $x_0/x_1$ , def  $\forall x$  s.t.  $x_1 \neq 0$ . Well-def b/c rescaling coords doesn't change fcn.

Also  $\frac{x^2 - 2x_1x_2}{x_3^2 + x_4^2} \Rightarrow$  need ratio of 2 <sup>homog.</sup> polys of same deg for this fcn to be well-def.

Note:  $g(y) \hat{=}$   $h(y)$  don't make sense alone, but their ratio does.

Note: same as for  $A^n$ , w/ homog.  $\hat{=}$  of same deg.

Prop: A reg. fcn on a proj var is also cts.

Def: A variety is any (proj, affine, proj, affine) variety.

Def: Let  $X \hat{=}$   $Y$  be varieties,  $f: X \rightarrow Y$  cts map. Then  $f$  is regular (or a map/morphism of varieties) if  $\forall U \subseteq Y$ ,  $\forall g: U \rightarrow k$  regular, then  $g \circ f: f^{-1}(U) \rightarrow k$  is also regular.

Def: The category Var has objects varieties  $\hat{=}$  morphisms maps of varieties.

$\Rightarrow$  notion of isomorphism of varieties, ie maps (regular) in both directions s.t. composites are identities.

Ex:  $A^1 \cong$  twisted cubic

$$\{t \in A^1\} \xrightarrow{\quad} \{(t, t^2, t^3) \in A^3 \mid t \in k\}$$

$$t \longmapsto (t, t^2, t^3)$$

$$\lambda \longleftarrow (x, y, z)$$

check both maps regular

\*

Ex:  $U_i \subseteq \mathbb{P}^n$  is  $\cong$  to  $\mathbb{A}^n$

\*

-check the maps we def. are reg.:

$$\begin{array}{ccc} \mathbb{A}^n & \xrightarrow{\quad} & \mathbb{P}^n \\ (y_1, \dots, y_n) & \longmapsto & [y_1 : \dots : 1 : \dots : y_n] \\ \mathbb{A}^n & \longleftarrow & U_i \\ [\frac{x_0}{x_i} : \dots : \frac{x_n}{x_i}] & \longleftarrow & [x_0 : \dots : x_n] \end{array}$$

Ex:  $\mathbb{A}^1 \cong \mathbb{P}^1 \setminus \{pt\}$ , as varieties

Ex:  $\mathbb{A}^1 \setminus \{0\} \cong \{xy=1\} \subseteq \mathbb{A}^2$

$$x \longmapsto (x, 1/x)$$

$$z \longleftarrow (x, y)$$

$\mathbb{A}^1 \setminus \{0\}$  affine var.

$\{xy=1\}$  closed (affine var.)

Ex:  $\mathbb{A}^2 \setminus \{0\}$  is not affine (ie not  $\cong$  to any affine var.)

Cor: Let  $X$  be a var,  $f, g: X \rightarrow k$  regular. Assume  $\exists$

$U \subseteq X$  open,  $U \neq \emptyset$ , s.t.  $f|_U = g|_U$ . Then  $f=g$ .

Pr: Let  $Z = (f-g)^{-1}(\{0\})$ .  $f-g$  regular.

$Z$  is closed.  $U \subseteq Z$  (b/c  $f-g=0$  on  $U$ ).

$X$  irred  $\Rightarrow U$  dense  $\Rightarrow Z=X$

$\uparrow$  b/c then  $Z$  dense &  $Z$  cl  $\Rightarrow Z=X$

Def: Let  $X$  be a var,  $P \in X$ . A germ of a fcn is

a pair  $(U, f)$  s.t.  $U \ni P$  open &  $f$  reg:  $U \rightarrow k$ ;

up to the equiv. rel.  $(U, f) \sim (V, g) \Leftrightarrow \exists W$  open,

$P \in W$ ,  $\emptyset \neq W \subseteq U, W \subseteq V$  s.t.  $f|_W = g|_W$ .

• germs can be  $+$ ,  $\times$ ,  $-$ ,  $\div$  (under  $\neq 0$  assump.)

• germs make sense for any sp. w/ notion of "good fcn".

Def: Let  $X$  be a var,  $P \in X$ . The local ring of  $X$  at  $P$ ,

$\mathcal{O}_{X,P} = \{\text{germs of regular fcn at } P\}$

• local in sense of only one max'l ideal.

Def: Let  $X$  be a variety. A rational fcn on  $X$  is a pair  $(U, f)$ ,  $U$  open,  $\neq \emptyset$ ,  $f: U \rightarrow k$  reg up to equivalence rel.  $(u, f) \sim (v, g) \Leftrightarrow \exists W$  open,  $\neq \emptyset$ ,  $W \subseteq U \cap V$ , s.t.  $f|_W = g|_W$ .

\*\*  
 • nothing but a fcn defined somewhere (some open set) up to equiv. that if shrink open set, get same fcn.

• Rat'l fcns on  $X$  form a field  $K(X)$ :

$$\text{Add'n: } \underbrace{(u, f)}_2 + \underbrace{(v, g)}_2 = (u \cap v, f|_{u \cap v} + g|_{u \cap v})$$

$$(u \cap v, f|_{u \cap v}) \quad (u \cap v, g|_{u \cap v})$$

Mult, subtr. ok.

\*  $\emptyset$  b/c  $Z(f)$  not all of  $U \notin \text{d. in } U$

Let  $(U, f) \in K(X)$  s.t.  $f \neq 0$ . Then  $(U \setminus Z(f), f|_{U \setminus Z(f)}) \sim (U, f)$

and is nowhere 0, so can take open inv.:

$(U \setminus Z(f), \frac{1}{f}|_{U \setminus Z(f)})$  is an inv.  
 $\Rightarrow K(X)$  a field.

Claim: If  $X \cong Y$ , then  $K(X) \cong K(Y)$  &  $\mathcal{O}_{X,P} \cong \mathcal{O}_{Y, f(P)}$ .

obvious: (see next pg for exact invariance statement)

Ex:  $X = \mathbb{A}^1$ ,  $P = 0$ .

Germ:  $(\mathbb{A}^1, x^2)$  a germ,  $(\mathbb{A}^1 \setminus \{3\}, \frac{1}{x-3})^{\text{germ}} \Rightarrow \frac{1}{x-3} \in \mathcal{O}_{\mathbb{A}^1, P}$

$\uparrow$  open set containing 0.

$(\mathbb{A}^1 \setminus \{3\}, \frac{1}{g})$   $g(0) \neq 0$  a germ.

$\mathcal{O}_{\mathbb{A}^1, P} = k[x]_{(x)}$

$\uparrow$  prime  $\rightarrow$  max'l corresp  $\rightarrow P = 0$ .

taken ratios of polys s.t.  $g \notin (x)$ .

Rat'l Fcns:  $\frac{1}{x-3}, \frac{1}{x}, \frac{5x-7}{15x^2-3x+5}$ , any quotient of polys as long as  $g \neq 0$ .

(all maps that are def. somewhere)

$$K(X) = k(x)$$

Prop: If  $\phi: X \xrightarrow{\sim} Y$ , then  $\phi$  induces an iso  
 $\phi_p: \mathcal{O}_{Y, \phi(p)} \xrightarrow{\sim} \mathcal{O}_{X, p} \quad \forall p \in X$ , and  $K(Y) \xrightarrow{\sim} K(X)$

• the equiv. rel. in reg. fcn's comes from this attempt:  
 $(u, f) \sim (v, f|_v) \quad \forall v \subseteq u$ . But this isn't equiv. rel.,  
 so extend to an equiv. rel. & get rel. in def.

Def: If  $U \subseteq X$  is open,  $\mathcal{O}(U) =$  ring of reg. fcn's on  $U$ .  
 In particular,  $\mathcal{O}(X) =$  ring of global reg. fcn's.

Thm: Let  $X \subseteq \mathbb{A}^n$  be an affine variety w/ affine coord.  
 ring  $A(X) = k[x_1, \dots, x_n] / I(X)$ . Then

(1)  $\mathcal{O}(X) \cong A(X)$

(2) There is a 1-1 corresp. b/w pts of  $X$  & max'l ideals  $\mathfrak{m}_p \subseteq A(X)$ . Under this corresp.,  $\mathcal{O}_{X, p} \cong A(X)_{\mathfrak{m}_p}$ ,  
 and so  $\dim X = \dim \mathcal{O}_{X, p}$ . → [note localizing at max

(3)  $K(X) \cong A(X)_{(0)}$  (i.e. field of fracs) ideal does not change dim  
 $\Rightarrow \dim X = \text{tr. deg. } K(X)/k$ . &  $\dim X = \dim A(X)$

[ (2) says can get dim of variety from any local ring ]

Pf:  $k[x_1, \dots, x_n] \xrightarrow{\text{sum of maps}} \mathcal{O}(X)$  or  $(\bar{f}: X \rightarrow k \text{ s.t. } \bar{f}(x) = f(x) \forall x)$   
 $f \mapsto \bar{f}$  (i.e. poly are reg. fcn at any pt in  $X$ )  
 $\text{Ker} = I(X) \Rightarrow$  get injective map  $A(X) \hookrightarrow \mathcal{O}(X)$   
 $\mathcal{O}(X) \leftarrow \mathcal{O}_{X, p} \hookrightarrow K(X)$   
 $\uparrow$

reg. fcn def. everywhere, so def. in nbhd of  $P$  & inj. b/c if 2 fcn's agree on nbhd of  $P$ , they agree everywhere  
 def. in nbhd of  $P \Rightarrow$  def. somewhere & inj. b/c agree on an open set containing  $P$

So think of  $\mathcal{O}(X)$  &  $\mathcal{O}_{X, p}$  as subrings of  $K(X)$

(2): The 1-1 corresp. we've seen (pts  $\leftrightarrow$  max'l ideals)

$$\mathfrak{m}_p = \{ f \in A(X) \mid \bar{f}(p) = 0 \}$$

In  $\mathbb{A}^n$ , we had  $P = (a_1, \dots, a_n) \in \mathbb{A}^n$



$$\mathfrak{m}_P = (x_1 - a_1, \dots, x_n - a_n) \\ = \{f \in k[x_1, \dots, x_n] \mid f(a_1, \dots, a_n) = 0\}$$

[Proven for affine sp, then proven for any affine var.]

$$A(X)_{\mathfrak{m}_P} \xrightarrow{\Psi} \mathcal{O}_{X,P}$$

$$\frac{f}{g} \longmapsto (X \setminus Z(g), \frac{f}{g})$$

$f \in A(X)$

open, contains P b/c  $g \notin \mathfrak{m}_P$ , since  $\mathfrak{m}_P = \{g \mid g(P) = 0\}$

$g \in A(X) \setminus \mathfrak{m}_P$

$$\Downarrow \\ g(P) \neq 0 \Leftrightarrow P \notin Z(g)$$

$\frac{f}{g}$  regular [b/c locally can be written as  $f/g$ ]

Inj: b/c if 2 reg fns agree on open, then everywhere  $\rightarrow$  already done.

$\frac{f}{g} = \frac{h}{k}$ , then  $f/g = h/k$  on open sets  $\Rightarrow f/g - h/k = 0$  on int of open sets  $\Rightarrow$  done.

Surj: If  $h$  reg at  $P$ , then  $\exists$  some (smaller) open set

s.t.  $h = f/g \Rightarrow h \in \text{Im of map}$

Let  $(U, h)$  be a germ around  $P$ . Then  $\exists V \subseteq U$  w/  $P \in V$

s.t.  $h(y) = f(y)/g(y), \forall y \in V$  for some  $f, g \in A(X), g(P) \neq 0$ .

$\Rightarrow (U, h) = (V, f/g) = \Psi(f/g)$ .

$\Rightarrow \Psi$  an iso.

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$$(c) \exists \text{ map } A(X)_{(P)} \xrightarrow{\Psi} k(X)$$

$$\frac{f}{g}, g \neq 0 \longmapsto (X \setminus Z(g), \frac{f}{g})$$

open set,  $\neq \emptyset$

$$\exists \exists \text{ map } k(X) \longrightarrow A(X)_{(P)}$$

$$(U, f/g) \longmapsto f/g$$

$\uparrow$  if reg, can be written as  $f/g$  for some  $U$

$\Psi$  inj for same reason - if agree on open set,

agree everywhere!

$$(a) A(X) \subseteq \mathcal{O}(X) \subseteq \bigcap_{\substack{P \in X \\ \text{inside } K(X)}} \mathcal{O}_{X,P} = \bigcap_{\substack{M \subseteq A(X) \text{ max ideal} \\ \text{inside } A(X)_{(0)}}} A(X)_M \stackrel{\text{fact about int. dom's}}{=} A(X)$$

Thm:  $X \subseteq \mathbb{P}^n$  proj. Let  $S = S(X) = \text{proj. coord ring}$   
 $= K[X_0, \dots, X_n] / I(X)$   
 $= \langle f \mid f \text{ homog} \neq f(X) = 0 \rangle$

- (1)  $\mathcal{O}(X) \cong K$  [ie. only const. fns are reg. everywhere]
- (2) To a pt  $P \in X$ , assoc.  $\mathfrak{m}_P \subseteq S(X)$ ,  $\mathfrak{m}_P = \langle f \in S(X) \mid f(P) = 0 \rangle$   
 $\mathcal{O}_{X,P} = S(X)_{(\mathfrak{m}_P)}$  (actually a 1-1 corresp)

Notation: If  $S$  is a graded ring,  $\mathfrak{p} \subseteq S$  prime  $\neq$  homog,  
 $S_{(\mathfrak{p})} := \{ \frac{f}{g} \in S_{\mathfrak{p}} \mid \deg f = \deg g \}$   
 $= (S_{\mathfrak{p}})^0 = \text{deg } 0 \text{ elts of } S_{\mathfrak{p}}$ .

If  $f \in S$  is homog, then  
 $S_{(\mathfrak{p})} := \{ \frac{g}{f^n} \in S_{\mathfrak{p}} \mid \deg g = \deg(f^n) \} \Rightarrow \text{deg } 0 \text{ part}$   
 Careful, in comm. alg meant localize at  
 prime ideal gen by  $f$  (if possible). Never  
 means this here.

(3)  $K(X) = S(X)_{(0)} \leftarrow \text{deg } 0 \text{ part of } S(X)_{(0)} = \text{field of fracs.}$

Pf: (2): Let  $P$  be in  $U_i$  for some  $i$ .  $\mathcal{O}_{X,P} = \mathcal{O}_{X_i,P}$ ,  
 $X_i = X \cap U_i$ .  $X_i$  is affine, b/c  $X_i \subseteq U_i \cong \mathbb{A}^n$ .  
 So  $\mathcal{O}_{X_i,P} = A(X_i)_{\mathfrak{m}'_P}$ ,  $\mathfrak{m}'_P = \text{ideal of } A(X_i) \text{ corresp. to } P$ .  
 $\mathcal{O}(U_i) [= K[X_0, \dots, X_n] \text{ b/c } \cong \mathbb{A}^n]$

$\cong K[X_0, \dots, X_n]_{(X_i)}$  [from Hw] (localization commutative w/ quotients)  
 $I(X_i) \longleftrightarrow I(X) \cdot K[X_0, \dots, X_n]_{(X_i)}$   
 $\Rightarrow A(X_i) = K[X_0, \dots, X_n]_{(X_i)} / I(X_i) \cdot K[X_0, \dots, X_n]_{(X_i)} = S(X)_{(X_i)}$   
 ( $\uparrow$  b/c should be  $\mathcal{O}(U_i) / I(X_i)$ )  
 $\Rightarrow \mathcal{O}_{X,P} = \left( (S(X)_{(X_i)})_{\mathfrak{m}'_P} \right)^0 = \left( (S(X)_{\mathfrak{m}_P})_{X_i} \right)^0$

But  $X_i \notin \mathfrak{m}_P$ , ie.  $X_i$  does not vanish at  $P$ , so  $X_i$   
 inverted in localizing at  $\mathfrak{m}_P$   
 $\Rightarrow \mathcal{O}_{X,P} = (S(X)_{\mathfrak{m}_P})^0 = S(X)_{(\mathfrak{m}_P)}$



(3): Essentially same proof.

$K(X_i) =$  field of fracs of  $A(X_i)$ , so need to take  
f. of fracs of  $S(X)$  (b/c  $A(X_i) = S(X)$ ).

(i): Intuitively, a global regular fcn will be of  
form  $f/g$  w/  $\deg f = \deg g$ . If  $\deg g \geq 1$ ,  
then  $Z(g) \neq \emptyset \Rightarrow f/g$  not defined at  $Z(g)$ .  
 $\Rightarrow \deg g$  must be 0, so  $\deg f = 0$ , as well  
 $\Rightarrow f/g = \text{constant}$ .

• Why not  $x$  on  $\mathbb{P}^1$ ? B/c  $x$  takes  $\infty$  at  $\infty$ .  
True of all polys on affine sp - blow up at  $\infty$ .

Problem: Know only locally  $= f/g$ ; can be  
written so that won't blow up - i.e. is an  
inessential singularity.

Pf: Pick  $f \in \mathcal{O}(X)$  (i.e. regular everywhere). ( $f \in S(X)_{(0)}$ )

In particular,  $f|_{X_i}$  is regular. ( $X_i$  affine)  $n_i$   
 $\Rightarrow f|_{X_i} = \frac{g_i}{x_i^{n_i}}$ , where  $g_i \in S(X)$ ,  $\deg g_i = N_i$ .  $S(X)_{(0)}$   
homog.  $-0$

$\Rightarrow x_i^{N_i} \cdot f = g_i \in S(X)_{N_i}$  ( $N_i$ -th deg. piece).  $S(X)$

Pick  $N \geq \sum N_i$ .

$\forall h \in S(X)_N$  (so one  $x_i$  will have power  $\geq N_i$ )

$hf \in S(X)_N$  b/c  $h = \sum a_{i_1, \dots, i_n} x_1^{i_1} \dots x_n^{i_n}$  & b/c  $\deg f = 0$   
one  $i_k \geq N_k$

$\Rightarrow S(X)_N \cdot f^q \in S(X)_N \quad \forall q \geq 0$

$\Rightarrow x_0^N \cdot f^q \in S(X)_N \quad \forall q \geq 0$

$\Rightarrow S(X)[f] \subseteq x_0^{-N} S(X) \leftarrow$  a f.g.  $S(X)$ -mod (gen by  $x_0^{-N}$ )

&  $S(X)$  Noeth  $\Rightarrow S(X)[f]$  is f.g. b/c submod.

$\Rightarrow f$  is int /  $S(X)$ . (b/c adjoined elt of f. of fracs)

$\Rightarrow f^m + a_1 f^{m-1} + \dots + a_m = 0$  for some  $a_1, \dots, a_m \in S(X)$

$\Rightarrow$  take degree 0 piece:  $f^m + (a_1)^0 f^{m-1} + \dots + (a_m)^0 = 0$

$(a_k)^0 =$  deg 0 piece of  $a_k \Rightarrow (a_k)^0 \in (S(X))^0 = K$

$\Rightarrow f \in \bar{K}$  ( $f$  alg /  $\bar{K}$ )

$\bar{K} \Rightarrow f$  a const.

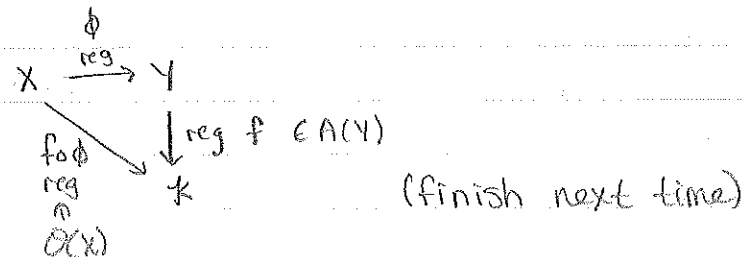
( $f$  alg. et.)

□

The comm. alg. problem is: let  $S$  be a graded domain ( $S_0 = k, S_i = 0, i < 0$ ) gen/rel by  $x_0, \dots, x_n$  w/  $\deg x_i = 1$ .  $f \in S_{(0)}$  w/  $\deg f = 0$  s.t.  $\forall i \exists N_i$  s.t.  $x_i^{N_i} f \in S$ . Prove  $f$  alg/k.

Thm: Let  $X$  be any variety, &  $Y$  be affine. Then  $\text{Hom}_{\text{var}}(X, Y) \cong \text{Hom}_{k\text{-alg}}(A(Y), \mathcal{O}(X))$ .

Pf:  $\phi \longmapsto (f \in A(Y) \longmapsto f \circ \phi)$



Cor:  $\text{Aff} \cong (\text{fig. } k\text{-alg. int. dom.})^{\text{op}}$  opposite category, morphisms reverse dir. of objects  
 ↑ category of Affine vars      ↑ category of fig. ...

Pf: Functor:  $X \mapsto \mathcal{O}(X)$  (surj.)

... op b/c roles of  $X, Y$  are reversed, i.e.  $\mathcal{O}$  is a contravariant fun.

$\cong$  in thm  $\Rightarrow$  functor fully faithful  
 surj  $\Rightarrow$  equivalence.

Aside: Affine schemes category  $\cong (\text{Comm. Ring})^{\text{op}}$   
 (Aff Sch)

10/1 Read from 3.5 on. (finish chapter)

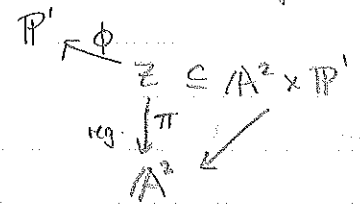
Blowing-up

• Blow-up of  $A^2$  at the origin:

Consider the variety  $Z \subseteq A^2 \times P^1$  given by  $xt = ys$ .  
 $(x,y) \in \mathbb{A}^2$

$Z$  is the blowup of  $A^2$  at origin:  $Z = Bl_0 A^2$ .

(Think of  $A^2$  as open subset of  $P^2$ , so  $A^2 \times P^1$  subset of  $P^2 \times P^1$ , which can be embedded in  $P^5$  via Segre)



Claim: If  $p(x,y) \in A^2$  is not  $(0,0)$ , then  $\pi^{-1}(p) = \{q\}$ .

$xt = ys \Rightarrow \frac{x}{y} = \frac{s}{t}$ , so  $x/y$  fixed determines  $s/t$ .

$q = [x:y]$ .

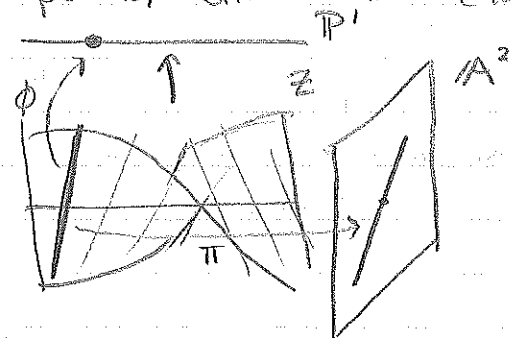
$\pi^{-1}(0) = P^1$  ( $\Rightarrow \pi$  only 1-1 away from origin)

$\Rightarrow$  a.e. an iso, but not at  $0$ .

- Define  $\gamma: A^2 \setminus \{0\} \rightarrow Z \setminus \pi^{-1}(0)$ , an iso. w/

$(x,y) \mapsto (x,y, [x:y])$  inverse  $\pi$ .

- For  $z$ , replaced pt w/ entire line ( $\{0\}$  w/  $P^1$ )



- What is  $\pi(\phi^{-1}(\{s:t\}))$ ? Always a line in  $A^2$  through the origin.

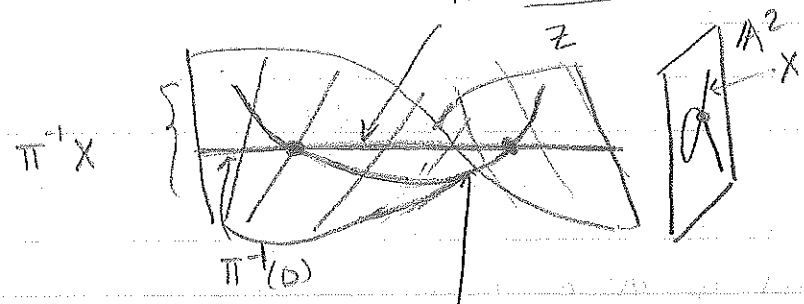
Take line in plane  $\mathbb{P}^1$  put it at a pt proportional to its slope.

At  $0$ , no well-def. line through  $0$   $\mathbb{P}^1$  it, so

Let  $X \subseteq \mathbb{A}^2$  be a subvariety,  $0 \in X$ . Define it.

$$\text{Bl}_0 X = \overline{\pi^{-1}(X) \setminus \pi^{-1}(0)}$$

the exceptional line



this curve alone is  $\text{Bl}_0 X$   
(remove line, get 2 holes, close them up)

$\rightarrow \text{Bl}_0 X$  uncrosses the curve  $\rightarrow$  stretches it up.

Ex:  $X = \{y^2 = x^2(x+1)\}$  "nodal curve in plane"

Write  $Y = \text{Bl}_0 X$  in 2 patches by writing  $\mathbb{P}^3 = \mathbb{A}^3 \cup \mathbb{A}^3$

In first patch,  $U_1$ , we are looking inside  $\mathbb{A}^3$  ( $t \neq 0$ ) ( $s \neq 0$ )

(b/c cover  $\mathbb{A}^2 \times \mathbb{P}^1$  w/  $(\mathbb{A}^2 \times \mathbb{A}^1) \cup (\mathbb{A}^2 \times \mathbb{A}^1)$ ).

In  $\mathbb{A}^3$ , coords are  $(x, y, s)$  - 3<sup>rd</sup> coord really  $s/t$  b/c  $t \neq 0$ ,

but let  $t=1$ .

$Z \cap U_1$ ?  $x = ys$  w/  $t=1$ , i.e.  $x = ys$ .

$U_1 \cap \pi^{-1}(X)$  is cut out by  $y^2 = x^2(x+1)$  and  $x = ys$ , i.e.

$\begin{cases} y^2 = y^2 s^2 (ys + 1) \\ x = ys \end{cases} \rightarrow$  can have  $y=0, s=\text{anything}, x=0 \rightarrow$   
get the line in  $Z$  (i.e. the exceptional line)

$\rightarrow$  or  $y \neq 0 \Rightarrow 1 = s^2(ys + 1)$ , the remaining pts on  $\pi^{-1}X$

Considering  $\rightarrow$  alone gives the closure.

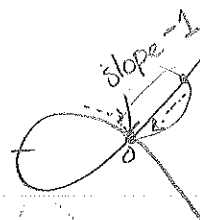
Thus  $\text{Bl}_0 X =$  cut out by  $s^2(ys + 1) = 1$  and  $x = ys$  inside  $U_1$ .

What are the 2 pts that were removed then added?

Let  $\chi: \text{Bl}_0(X) \rightarrow X$  be the restriction of  $\pi$ . Then

what is  $\chi^{-1}(0)$ ?  $y=0 \Rightarrow s^2=1 \Rightarrow s=\pm 1$ .

$$\chi^{-1}(0) = \{(0, 0, 1), (0, 0, -1)\}$$



ht's here are all slightly bigger than 1 - as approach 0, get ht 1.

- could separate curve b/c the tangents at 0 are distinct.

Ex:  $y^2 = x^3$

← Limit is 0 from both directions, so preimage  $\pi^{-1}(0)$  just one pt.

$X = \{y^2 = x^3\}$ ,  $t \neq 0$ , use  $s$  as a coord.

$$\pi^{-1} \begin{cases} y^2 = x^3 \\ x = ys \end{cases} \Leftrightarrow \begin{cases} y^2 = y^3 s \\ x = ys \end{cases} \rightarrow y=0 \Rightarrow \text{exceptional line} \\ \rightarrow y \neq 0 \Rightarrow$$

$\text{Blo}(X) = \begin{cases} l = ys \\ x = ys \end{cases}$  but preimage of 0 is empty;  $\pi^{-1}(0) = \emptyset$ , so must be in other patch (since if  $y=0, l \neq ys$ )

In second patch,  $s \neq 0$ , use  $t$  as a coord:

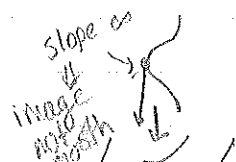
$$\pi^{-1} : \begin{cases} y^2 = x^3 \\ tx = y \end{cases} \Leftrightarrow \begin{cases} t^2 x^2 = x^3 \\ tx = y \end{cases} \rightarrow x=0 \Rightarrow \text{exceptional line}$$

$$\text{Blo}(X) = \begin{cases} t^2 = x \\ tx = y \end{cases} \text{ if } x=y=0, \text{ then } t=0, \text{ so } \pi^{-1}(0) = (0,0,0) \\ \hookrightarrow y=t^3$$

so

$$\mathbb{A}^3_{(x,y,t)} \supseteq (t, t^2, t^3) = C$$

$\downarrow$   
 $\mathbb{A}^2_{(y,z)} \leftarrow (t^2, t^3)$ , so the Blowup is the twisted cubic



• Blow-up of  $A^n$ :

$$Z \subseteq A^n \times \mathbb{P}^{n-1}$$

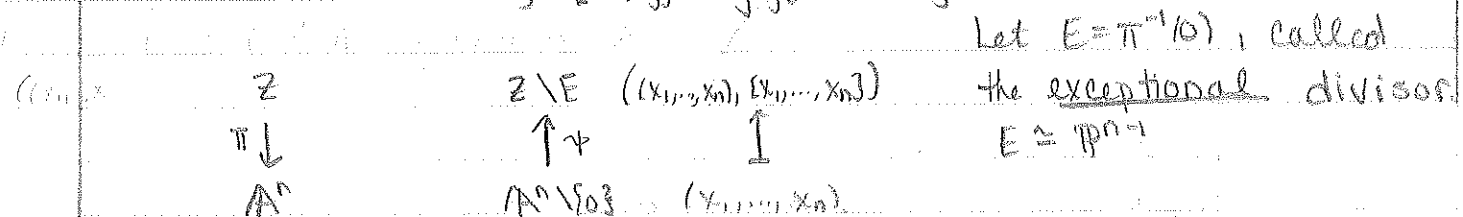
$$Z = \{ (x_1, \dots, x_n), [y_1 : \dots : y_n] \}$$

[i.e., want coord in  $\mathbb{P}^n$  to be same as in  $A^n$   
but this not well-def at 0.]

- want vectors  $\vec{x}$  &  $\vec{y}$  to be prop:

$$\begin{pmatrix} x_1 & \dots & x_n \\ y_1 & \dots & y_n \end{pmatrix} \text{ w/ rank}=1 \Rightarrow x_i y_j - x_j y_i = 0$$

$$Z = \text{cut out by } \{x_i y_j - x_j y_i = 0 \forall i, j\}$$



$$A^n \setminus \{0\} \xrightleftharpoons[\psi]{\pi} Z \setminus E \text{ inverse maps.}$$

• If  $X \subseteq A^n$  is a subvariety, then

$$\text{Bl}_0 X = \pi^{-1}(X) \setminus E$$

Hironaka proved Resolution of Singularities in char 0

- blow-up enough times removes singularities.

→ unproven in char p.

Def: Let  $X$  &  $Y$  be varieties. A rational map  $\phi: X \dashrightarrow Y$

is an equivalence class of pairs  $(U, f)$  where  $U \subseteq X$

open &  $\neq \emptyset$ , &  $f: U \rightarrow Y$  regular, under

$$(U, f) \sim (V, g) \Leftrightarrow \exists W \subseteq U \cap V, W \neq \emptyset \text{ s.t. } f|_W = g|_W$$

\*  $\phi$  is not a fcn.  $X \rightarrow Y$ .

Ex: {Rational maps  $X \rightarrow \mathbb{A}^1$ } = {Rat'l fcn's on  $X$ }

Ex:  $X \dashrightarrow Y \dashrightarrow Z$  - Image of 1<sup>st</sup> map may land in a cl. set where 2<sup>nd</sup> map not def.  
 - But if contains big open set, or  $k$  can intersect that open set w/ domain of def of 2<sup>nd</sup> map.

Def:  $\phi: X \dashrightarrow Y$  is called dominant if for some (u.f.),  $f(U) \supseteq V$ ,  $V \subseteq Y$  open,  $\neq \emptyset$ .  
 - then such maps can be composed.

New Category: Objects: varieties.  
 Rat Morphisms: Dominant rat'l maps.

Def: An isomorphism in this category is called a birational map.

- 2 rat'l maps st. composition in both dir's is  $= \text{id}$ .

Ex:  $Z$  and  $\mathbb{A}^n$ , w/  $\psi$  &  $\phi$  from before are inverse rat'l dominant maps.

$\mathbb{A}^n \dashrightarrow Z$  (rat'l b/c only def somewhere)

$X \xrightarrow{\psi} Y \xrightarrow{f} \mathbb{A}^1$ ,  $f \circ \psi$  is a rational map on  $X$ ,  
 so  $f \circ \psi \in K(X)$  (b/c defined somewhere)

So have fcn  $K(Y) \rightarrow K(X)$

Thm: There is a bijective corresp. btwn  
 $\{\text{rat'l dom. maps } X \dashrightarrow Y\} \xleftrightarrow{\text{inv}} \{k\text{-alg. maps } K(Y) \rightarrow K(X)\}$

Moreover, this gives an equivalence

$\text{Rat} \cong \{\text{field extns } K/k \text{ f.g. } k\text{-alg's}\}^{\text{op}}$   
 as a field

$\downarrow$   
 $\{\text{f.g. field extns } K/k \text{ w/ maps of } k\text{-alg's}\}$

$X \mapsto K(X)$   
 $X \rightarrow Y \mapsto K(Y) \rightarrow K(X)$  } done above.

10/3 Ex:  $X = \mathbb{A}^2 \setminus \{(0,0)\}$ ,  $Y = \mathbb{A}^2$

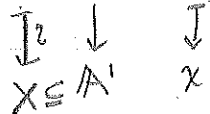
$$\mathcal{O}(X) = k[x,y] = \mathcal{O}(Y)$$

If  $X \cong Y$ , then  $X$  is affine.  $\Rightarrow$  then  $\phi$  would induce an iso  $X \cong Y$ .  $\phi$  induces the standard inclusion which is not surj.  $\square$

Ex: Can a quasi-affine be also affine? Yes.

$X = \mathbb{A}^1 \setminus \{0\}$ ,  $q$  affine, but  $X \cong Y = Z(xy=1) \subseteq \mathbb{A}^2$

$Z \subseteq \mathbb{A}^2$  (xy) ,  $\&$   $Y$  affine.



Def: We'll say  $X$  is affine  $\Leftrightarrow X$  is  $\cong$  to an affine variety. (even if  $X$  is not presented as affine)

Lemma:  $Y, X$  any variety,  $U \subseteq X$  open,  $f, g$  reg. on  $X$  but  $f|_U = g|_U \Rightarrow f = g$  on  $X$ .

PF: Wlog, can assume  $Y \subseteq \mathbb{P}^n$ . Replace  $Y$  by  $\mathbb{P}^n$  (ie compose  $f$  &  $g$  w/ inclusion of  $Y \hookrightarrow \mathbb{P}^n \rightarrow$  still reg & still agree on  $U$ ).

Let  $\Delta \subseteq \mathbb{P}^n \times \mathbb{P}^n$  be the diagonal:  $\Delta = \{(x,y) \in \mathbb{P}^n \times \mathbb{P}^n \mid x=y\}$

$\Delta$  is closed: it is cut out by:

$$\Delta = Z(x_i y_j - x_j y_i, \forall i,j) \quad \begin{array}{l} \text{NOT } x_i = y_i \text{ b/c not bihomogeneous (same deg in } x\text{'s \& } \\ \text{same deg in } y\text{'s)} \end{array}$$

Look at  $\psi: X \rightarrow \mathbb{P}^n \times \mathbb{P}^n$

$$x \mapsto (f(x), g(x)) \quad \text{regular b/c each coord. reg.}$$

Let  $Z = \psi^{-1}(\Delta)$ ,  $f|_U = g|_U \Rightarrow U \subseteq Z$ .

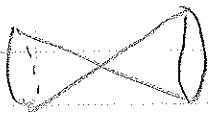
$\Delta$  cl  $\Rightarrow Z$  cl. Therefore,  $\bar{U} = X \subseteq Z \Rightarrow f = g$  on all of  $X$ .  $\square$

\* Common Trick: Intersect smth w/ diagonal to determine where 2 things are =.



Prop: Let  $f \in k[x_1, \dots, x_n]$ . Then  $A^n \setminus Z(f) \cong Z = Z(fy=1) \subseteq A^{n+1}$   
 Also,  $O(Z) = k[x_1, \dots, x_n]_f$ . (composition) UFD (x<sub>1</sub>, ..., x<sub>n</sub>, y)

\*i.e. remove codim: 1 set from affine var., get affine var. (from prev. ex's know if codim  $\geq 2$ , may not be affine)

Ex:  $X = Z(xy - z^2) \subseteq A^3$  [affine quadric cone]   
 - smooth quadric in  $P^2$  - then join all pts to origin.

Let  $Z = Z(x=z=0) \subseteq X$  dim 1 (line)  $\Rightarrow$  codim 1

- Prove  $Z$  is not cut out by single poly.
- ~~I believe that  $X \setminus Z$  may not be affine. Actually affine.~~
- If  $x=0$ , then  $z^2=0$  so  $z=0$
- the ideal  $I = (x, z) \subseteq A(X)$  is not principal.
- the ideal  $(x)$  is not radical, b/c  $z^2 \in (x)$  but  $z \notin (x)$

Pf:  $A^n \setminus Z \rightarrow A^n \setminus Z(f)$

\*details

$(x_1, \dots, x_n, y) \rightarrow (x_1, \dots, x_n)$  } clear.

$$k[x_1, \dots, x_n]_f = k[x_1, \dots, x_n, f^{-1}] = k[x_1, \dots, x_n, y] / (yf=1)$$

Prop: Let  $X$  be any variety. Then  $\exists$  a basis for its topology consisting of affine varieties.

- given pt & nbhd, can find an affine var in nbhd

Pf: ETS:  $\forall x \in X, \forall U \ni x$  open,  $\exists V \ni x, V \subseteq U, V$  affine

$X$  var  $\Rightarrow U$  var, so can replace  $U$  by  $X$ .

ETS:  $\forall X$  var,  $\forall x \in X \exists V \ni x, V \subseteq X$  s.t.  $V$  open

& affine. [every variety is glued from affines]

Let  $X \subseteq P^n$  q-proj. (every var is q-proj). Pick some

$U_i$  s.t.  $x \in U_i$  (in the standard cover of  $P^n$ ).

$x \in X \cap U_i$  open in  $X$  &  $X \cap U_i \subseteq U_i \cong A^n$ . wlog,

$X$  can be quasi-affine. (NTS that inside can be affine)

If  $Z$  everything,  $X = \emptyset$ , affine. So can assume  $I$  not trivial.   
 Let  $X = Y \setminus Z$ , where  $Y$  cl. in  $\mathbb{A}^n$ ,  $Z$  cl. in  $Y$  (or in  $\mathbb{A}^n$ )  $(I \neq 0)$    
 (so  $Y$  is the affine &  $X$  open)

$I = I(Z)$ ,  $I \subseteq k[x_0, \dots, x_n]$  ideal. Pick  $f \neq 0, f \in I$    
 $U = Y \setminus Z(f) \subseteq X$ , since  $(f) \subseteq I(Z) \Rightarrow Z \subseteq Z(f)$

$\uparrow$  open in  $X$ ,  $X$  in it.

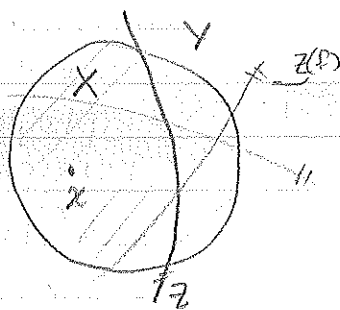
$[x \in X \Rightarrow x \notin Z \Rightarrow \exists f \neq 0$  s.t.  $f \in I$  &  $f(x) \neq 0]$

But  $U$  is affine, since  $U =$

$$U = Y \cap (\mathbb{A}^n \setminus Z(f))$$

affine var.

$$\text{i.e. } U = Z \left( \begin{matrix} I(Y) \\ 0 \\ \dots \\ 0 \end{matrix}, yf=1 \right) \subseteq \mathbb{A}^{n+1}_{x_0, \dots, x_n, y}$$



[so if remove  $Z(f)$  from affine var, get an affine var]   
 $\uparrow$  zero locus of a single poly.  $\square$

Thm: Let  $X, Y$  be varieties. Then  $\exists$  a bijection

$$\{ \text{rat'l dominant maps } X \dashrightarrow Y \} \xrightarrow{c^{-1}} \{ \text{field maps } K(Y) \rightarrow K(X) \}$$

respecting the  $k$ -alg. structure  $\uparrow$  scalar map to scalars.

Pf: If  $X \xrightarrow[\text{rat'l dom}]{\phi} Y \xrightarrow[\text{rat'l}]{f} \mathbb{A}^1$ , then  $f \circ \phi : X \rightarrow \mathbb{A}^1$  is a rat'l map in  $K(Y)$

$$\text{so } \phi \longmapsto (f \longmapsto f \circ \phi) \uparrow \uparrow K(Y) \quad K(X)$$

$(\Leftarrow)$ : Let  $\psi : K(Y) \rightarrow K(X)$  be a  $k$ -alg map.

Want to define a rat'l dom. map  $X \dashrightarrow Y$ .

Enough to define  $X \dashrightarrow U \subseteq Y$  open. Wlog, replace  $Y$  by an affine open in it (ok by prev. prop - since will have same  $K(Y)$ ).

$A(Y)$  is a f.g.  $k$ -alg. Pick  $y_1, \dots, y_n$  gen's.

$K(Y)$  is the f. of f's of  $A(Y)$ , so  $y_i \in K(Y)$

f of f of any open subset of a var is the same as the f of the var.

Then  $\theta_1, \dots, \theta_n$ ,  $\theta_i = \Psi(y_i)$  are in  $k(X)$ , i.e. rat'l fns on  $X$ . (each def. on an open set)

There exists some  $U \subseteq X$  s.t. all  $\theta_i$  are def. on  $U$  (just the  $\cap$  of all  $\theta_i$ 's domains of def).  
 $\Rightarrow \theta_i \in \mathcal{O}(U)$

We have defined a map  $\Psi: A(Y) \rightarrow \mathcal{O}(U)$ , a  $k$ -alg. map. Also injective (b/c  $\Psi: k(Y) \rightarrow k(X)$  inj. b/c field map  $\exists A(Y) \subseteq k(Y) \cong \mathcal{O}(U) \subseteq k(X)$ ).

We get a map  $U \rightarrow Y$ , (from prev. prop, since  $Y$  affine) which is dominant (ie image dense) b/c  $\Psi$  injective  
[injective ring maps  $\iff$  dominant maps]  
ie  $U \rightarrow Y$  is a rat'l map  $X \dashrightarrow Y$ .

These 2 mappings are inverses. (check)  $\square$

Cor:  $\text{Rat} \cong \{\text{fg. field extns of } k \text{ w/ } k\text{-alg. maps}\}^{\text{op}}$

Pf: WTS if  $K$  a fg. field extn, then  $K = k(X)$  for some variety  $X$ . (prev. thm say morphisms are same)

Let  $y_1, \dots, y_n$  be gen of  $K/k$ , let  $A = k[y_1, \dots, y_n] \subseteq K$ ,  
a fg.  $k$ -alg, an int. dom (b/c  $\subseteq$  field), so

$A = A(Y)$  for some affine var.  $Y$  (from before),

but  $A_{(0)} = K \Rightarrow k(Y) = K$ .  $\square$

10/8 My def. of dominant map  $f: X \rightarrow Y$ :  $f(X) \supseteq U$ , open,  $U \neq \emptyset$ .

we will use this def.

Hartshorne's def (standard):  $f: X \rightarrow Y$  is dominant if  $f(X)$  is dense in  $Y$ .

(My def  $\Rightarrow$  standard if  $Y$  a variety. But a priori there may be more dom. maps in H's def. But:

Thm: Let  $f: X \rightarrow Y$  be a map of affine varieties, st.  $f$  dom. (in H's sense). Then  $f(X)$  contains an open set.

(so H  $\Rightarrow$  my def for arb. var's b/c can pick affine open subset of  $Y$ , preimage open so contains affine. Thus there's a restriction of  $f$  from affine to affine & then contains open.)

Auxiliary Thm: Let  $A \subseteq B$  be an inclusion of Noetherian domains st.  $B$  is a fg.  $A$ -alg. Then

for every  $b \in B$   $\exists a \in A$  st.:

$\forall \phi: A \rightarrow K$  st.  $\phi(a) \neq 0$  ( $K$  an alg. cl. field),

$\exists$  an ext'n  $\bar{\phi}: B \rightarrow K$  st.  $\bar{\phi}(b) \neq 0$  extending  $\phi$ .

(proven in 742)

Beginning of pf of Thm: Let  $A = \mathcal{O}(Y)$ ,  $B = \mathcal{O}(X)$ . The

map  $f: X \rightarrow Y$  gives a map  $\phi: A \rightarrow B$  of rings.

Since  $f$  dominant,  $\phi$  is injective. [ If  $g \in A$  & } check  
pullback to  $X$ . If  $\phi(g) = 0$ , then zero on dense  
set  $\Rightarrow$  zero fn.] So we can think of  $A \subseteq B$ .

Take  $b = 1$ . The aux. thm gives an  $a \in A$  st. ....

(I would try to prove that  $f(X) \supseteq Y \setminus Z(a)$ )

guess for the open  
set we're trying  
to produce.

Thm: Let  $X, Y$  be varieties. Then TFAE:

- (1)  $X$  is birational to  $Y$ .
- (2)  $K(X) \cong K(Y)$  as  $k$ -algs.
- (3)  $\exists U \subseteq X$  open  $\neq \emptyset$  &  $V \subseteq Y$  open  $\neq \emptyset$  s.t.  $U \cong V$ ,  
 $\uparrow$  iso.

[we've seen nodal curve in plane birat'l to twisted cubic]

Pf: (1)  $\Leftrightarrow$  (2): From last time w/  $\text{Rat} \cong$

(3)  $\Rightarrow$  (2):  $K(X) \cong K(U) \cong K(V) \cong K(Y)$   
 b/c of def somewhere in  $X$ , def somewhere in  $U$

(1)  $\Rightarrow$  (3) Let  $U \subseteq X$ ,  $\phi: U \rightarrow Y$  a regular map,  
 $V \subseteq Y$ ,  $\psi: V \rightarrow X$  regular dom. map.

Take  $U' = U \cap \phi^{-1}(V)$  } open  $\neq \emptyset$   
 $V' = V \cap \psi^{-1}(U)$  }

$\phi|_{U'} \in \psi^{-1}$  defined on these sets.

If  $\phi|_{U'} \in \psi^{-1}$ , then their comp. must be id. &  $U' \cong V'$ .

NTS:  $\phi(U') \subseteq V'$ :

$\phi(U') \subseteq V \cap \phi^{-1}(V) = V'$  b/c

$V \cap \phi^{-1}(V) \subseteq V \cap \psi^{-1}(U) = V'$  b/c  $\psi \circ \phi = \text{id}$ :

b/c if  $x \in V \cap \phi^{-1}(V)$ ,  $x \in V \Rightarrow \psi(x)$  def.  $\checkmark$

$\psi(x) \in U$  b/c  $x \in \phi^{-1}(U)$ , i.e.  $x = \phi(y)$

for some  $y \in U \Rightarrow \psi(x) = \psi(\phi(y)) = y \in U$ .  $\checkmark$

Ex: 2 var's w/ diff dim are not birat'l b/c tr. deg of  $K(X), K(Y) \neq$ , so  $K(X) \not\cong K(Y) \Rightarrow$  dim. a birat'l invariant.

Ex:  $\mathbb{P}^1$  and  $Z(y^2 - x(x-1)(x+1)) \subseteq \mathbb{A}^2$

$K(\mathbb{P}^1) = k(x)$

$K(X)$  is a fin. ext'n (quadratic) of  $k(x)$ , but not  $\cong k(x)$ . [can't prove this yet]

## Smoothness

Provisional def: Let  $X \subseteq \mathbb{A}^n$  be a variety,  $p \in X$ . We

say  $X$  is smooth at  $P$  iff

$$\text{rank} \begin{pmatrix} \frac{\partial f_1}{\partial x_1} \Big|_P & \dots & \frac{\partial f_m}{\partial x_1} \Big|_P \\ \vdots & & \vdots \\ \frac{\partial f_1}{\partial x_n} \Big|_P & \dots & \frac{\partial f_m}{\partial x_n} \Big|_P \end{pmatrix} = m - \dim X = \text{codim } X.$$

where  $(f_1, \dots, f_m) = I(X)$ . ↑ Jacobean matrix.

Rule:  $\frac{\partial x^m}{\partial x} = mx^{m-1}$ . Extend by Leibnitz.

Can happen:  $f'(x) \equiv 0$  but  $f \neq \text{const}$ . B/c in char  $p$ ,  $f'(x) \equiv 0 \Leftrightarrow f(x) = g(x^p)$   
 $\bullet x^{p-1}$  has no integral in char  $p$ .  
 (similar to separability of field extns)

Ex: Find the singular pts (pts at which not smooth)

of  $y^2 = x^2(x-1)$ . So  $f(x,y) = x^2(x-1) - y^2$

$$J = \begin{pmatrix} \frac{\partial f}{\partial x} \\ \frac{\partial f}{\partial y} \end{pmatrix} = \begin{pmatrix} 2x(x-1) + x^2 \\ -2y \end{pmatrix} = \begin{pmatrix} 3x^2 - 2x \\ -2y \end{pmatrix}$$

$\dim X = 1$ , so want  $\text{rank } J = 2 - 1 = 1 \Leftrightarrow J \neq \begin{pmatrix} 0 \\ 0 \end{pmatrix}$

$\Leftrightarrow (x,y) \neq (0,0)$ .

( $y=0$ , then  $f(x,0) \Rightarrow x=1,0$  &  $x=1 \nRightarrow \text{rank } J=1$ )

Seems like  $(2/3, 0)$  singular, but not on  $X$ .

Cor:  $\text{Sing } X \subseteq X$  is always closed.

Singular locus of  $X$

Pf:  $\text{Sing } X = Z(f_1, \dots, f_m, \text{rank } J < \text{codim } X)$

[rank will never be more than  $n - \dim X$ ]

Finish pf after a comm. alg result.

so just a list of eqns } det of the minors of codim  $X$  (a poly in  $x_i$ 's) vanishes  $\square$

Def: A regular local ring  $(A, \mathfrak{m})$  is regular if  $\dim_k \mathfrak{m}/\mathfrak{m}^2 = \dim A$ ,  $k = A/\mathfrak{m}$ .  
 (vect sp dim)  $\leftarrow$  Krull dim [note: ht  $\mathfrak{m} = \dim A$  in local ring.]  
 $\mathfrak{m}/\mathfrak{m}^2$  always a module over  $A/\mathfrak{m}$ , a field, so can find dim as a vect sp.

Thm: Let  $X$  be a variety,  $P \in X$ . Then  $X$  is smooth at  $P \Leftrightarrow \mathcal{O}_{X,P}$  is regular.

Cor: Smoothness is an intrinsic property.

Def:  $X$  is smooth at  $P$   $\Leftrightarrow \mathcal{O}_{X,P}$  is regular.  
 [prev. def has become a thm]

Pf of Thm: First, we'll prove that  $A^n$  smooth:

$\dim A^n = n$ , so NTS  $\dim_k \mathfrak{m}/\mathfrak{m}^2 = n$ . For  $P \in A^n$ ,  $P = (a_1, \dots, a_n)$

define  $\theta: k[x_1, \dots, x_n] \rightarrow k^n$

$$f \mapsto \left( \frac{\partial f}{\partial x_1} \Big|_P, \dots, \frac{\partial f}{\partial x_n} \Big|_P \right)$$

Let  $\mathfrak{a}_P = (x_1 - a_1, \dots, x_n - a_n)$ . Then  $\theta(\mathfrak{a}_P) = k^n$ , b/c

$$f_1 = x_1 - a_1 \mapsto (1, 0, \dots, 0), \dots, f_n = x_n - a_n \mapsto (0, \dots, 0, 1).$$

$k^n$  vect field,  $\theta$  hit all basis vectors.

$\theta(\mathfrak{a}_P^2) = 0$ . In fact,  $\ker \theta = \mathfrak{a}_P^2$ . (i.e. polys that are at least quad)

$\Rightarrow \theta: \mathfrak{a}_P/\mathfrak{a}_P^2 \xrightarrow{\sim} k^n$  is an isomorphism.

$\therefore$  Thus  $A^n$  is smooth at  $P$ . (b/c  $\dim_k \mathfrak{a}_P/\mathfrak{a}_P^2 = n = \dim A^n =$

$$\dim \mathcal{O}_{A^n, P}$$

Let  $\mathfrak{b} = (f_1, \dots, f_m) = I(X)$ .  $\mathfrak{b} \subseteq \mathfrak{a}_P$  (i.e.  $P \in X$ )

$\theta(\mathfrak{b}) \subseteq k^n$ ,  $\dim \theta(\mathfrak{b}) = \text{rk}(J)$ . w/  $k^n \cong \mathfrak{a}_P/\mathfrak{a}_P^2$ ,

$$\mathfrak{b}/\mathfrak{a}_P^2 \subseteq \mathfrak{a}_P/\mathfrak{a}_P^2 \text{ b/c } \mathfrak{b} \subseteq \mathfrak{a}_P$$

$\hookrightarrow$  what gets killed under  $\theta|_{\mathfrak{b}} \rightarrow k^n$

$$\text{image is } \mathfrak{b}/\ker \theta = \mathfrak{b}/\mathfrak{b} \cap \mathfrak{a}_P^2$$

12 Fund Iso Th

$$(\mathfrak{b} + \mathfrak{a}_P^2)/\mathfrak{a}_P^2$$

$$\begin{aligned} \Theta(g \cdot f) &= \left( \frac{\partial(gf)}{\partial x_1}(p), \dots, \frac{\partial(gf)}{\partial x_n}(p) \right) \\ &= \left( g^p \frac{\partial f}{\partial x_1}(p) + \frac{\partial g}{\partial x_1}(p) f(p), \dots \right) \\ &\quad \text{"0" b/c } f \text{ vanishes at } P. \\ &= g^p \Theta(f) \end{aligned}$$

$\Rightarrow$  so when finding  $\dim \Theta(b)$ , need only look at  $\Theta(f_i)$ , the gens of  $\underline{b}$ . All other elt's of  $\underline{b}$  are, by above calc, lin comb's of  $\Theta(f_i)$ 's.

10/10 Thm: (from yesterday): If  $X \xrightarrow{f} Y$  dom,  $X, Y$  affine, then  $\exists U$  open in  $Y$ ,  $U \neq \emptyset$ ,  $f(X) \supseteq U$ . [true for arbitrary varieties, but can reduce to affine sp].

Pf: Let  $A = \mathcal{O}(Y)$ ,  $B = \mathcal{O}(X)$ . Get  $\phi: A \rightarrow B$  inj b/c  $f$  dom.  $B$  a fg.  $A$ -alg. Take  $b=1$ , apply auxilliary thm: get  $a \in A$  s.t. every  $\psi: A \rightarrow k$  w/  $\psi(a) \neq 0$  extends to  $\bar{\psi}: B \rightarrow k$  (automatic that  $1 \mapsto 1$ ).

Claim:  $f(X) \supseteq Y \setminus Z(a) = U$ . [ $a$  a fcn on  $Y \Rightarrow Y \setminus Z(a)$  open]  
 $a \neq 0 \Rightarrow U \neq \emptyset$ .

Pf: Let  $P \in Y \setminus Z(a)$ . Then  $\text{ev}_P: \mathcal{O}(Y) \rightarrow k$   
 $f \mapsto f(P)$ .

$$\ker(\text{ev}_P) = \mathfrak{m}_P \subseteq \mathcal{O}(Y)$$

$$\Rightarrow \mathcal{O}(Y) / \mathfrak{m}_P \cong k \quad \left\{ \text{this actually true } \forall \text{ varieties by Nullstellensatz} \right.$$

Since  $P \in Y \setminus Z(a)$ ,  $\text{ev}_P(a) \neq 0$  (i.e.  $a$  doesn't vanish at  $P$ ). Take  $\psi$  to be  $\text{ev}_P$ . Then

$\exists \bar{\psi}: \mathcal{O}(X) \rightarrow k$  extending  $\text{ev}_P$ . [ $\mathcal{O}(X)$  bigger than

$\mathcal{O}(Y)$ ]

$$\ker \bar{\psi} = \mathfrak{m}_Q \subseteq \mathcal{O}(X) \text{ max'l}$$

$\Rightarrow \mathfrak{m}_Q$  corresp. to a pt  $Q \in X$ . But

$$\mathfrak{m}_Q \cap A = \mathfrak{m}_P \Leftrightarrow f(Q) = P.$$

(we've shown  $P \in f(X)$ , but  $P$  arbitrary in  $Y \setminus Z(a)$ )

$\Rightarrow f(X) \supseteq U$   $\square$

(\*)  
check



Def: A local ring  $A_{\mathfrak{m}}$  is regular if  $\dim_k \mathfrak{m}/\mathfrak{m}^2 = \dim A$ ,  
 $k = A/\mathfrak{m}$ , the residue field.

Thm: If  $X \subseteq \mathbb{A}^n$  is cut out by  $(f_1, \dots, f_m)$  &  $P \in X$ , then

$\mathcal{O}_{X,P}$  is regular  $\Leftrightarrow \text{rank } J(P) = \text{codim } X$ . Then we

say  $X$  is smooth at  $P$ .

$$J(P) = \begin{pmatrix} \partial f_1 / \partial x_1 & \dots & \partial f_m / \partial x_1 \\ \vdots & \ddots & \vdots \\ \partial f_1 / \partial x_n & \dots & \partial f_m / \partial x_n \end{pmatrix}$$

Pf: (Recap)  $\Theta: k[x_1, \dots, x_n] \rightarrow k^n$   
 $f \mapsto \begin{pmatrix} \partial f / \partial x_1(P) \\ \vdots \\ \partial f / \partial x_n(P) \end{pmatrix}$

If  $\mathfrak{a}_P = (x_1 - a_1, \dots, x_n - a_n)$  &  $P = (a_1, \dots, a_n)$ , then  
 $\Theta: \mathfrak{a}_P / \mathfrak{a}_P^2 \xrightarrow{\sim} k^n$  (clear  $\mathfrak{a}_P \rightarrow k^n$  &  $\text{Ker} = \mathfrak{a}_P^2$ )  
 $\Rightarrow \mathbb{A}^n$  is smooth at  $P$  ( $\dim_k \mathfrak{a}_P / \mathfrak{a}_P^2 = n$ )

Let  $\mathfrak{b} = I(X) = (f_1, \dots, f_m)$

$$\Theta(\mathfrak{b}) = \text{Im}(J(P))$$

$\Rightarrow \text{rk}(J(P)) = \dim \Theta(\mathfrak{b})$  [by def. of rank]

$$\mathfrak{b} \subseteq \mathfrak{a}_P \text{ b/c } P \in X \Rightarrow \Theta|_{\mathfrak{b}}: \mathfrak{b} \rightarrow k^n, \text{ Ker } \Theta|_{\mathfrak{b}} = \mathfrak{b} \cap \text{Ker } \Theta = \mathfrak{b} \cap \mathfrak{a}_P^2$$

$$\Rightarrow \text{Im } \Theta(\mathfrak{b}) \simeq \mathfrak{b} / \text{Ker } \Theta|_{\mathfrak{b}} \simeq \mathfrak{b} / \mathfrak{b} \cap \mathfrak{a}_P^2 \simeq \mathfrak{b} + \mathfrak{a}_P^2 / \mathfrak{a}_P^2 \text{ (by iso. Thm)}$$

$$\mathcal{O}_{X,P} = \mathcal{O}(X)_P = (A/\mathfrak{b})_{\mathfrak{m}_P} \quad (A = k[x_1, \dots, x_n], \mathfrak{m}_P \subseteq A/\mathfrak{b} \text{ is}$$

$$\Rightarrow \mathfrak{m}_P / \mathfrak{m}_P^2 \simeq \mathfrak{a}_P / \mathfrak{b} + \mathfrak{a}_P^2 \quad \text{the image of } \mathfrak{a}_P \text{ under } A \rightarrow A/\mathfrak{b})$$

There is a seq:

$$0 \rightarrow \frac{\mathfrak{b} + \mathfrak{a}_P^2}{\mathfrak{a}_P^2} \xrightarrow{(*)} \frac{\mathfrak{a}_P}{\mathfrak{a}_P^2} \xrightarrow{(**)} \frac{\mathfrak{a}_P}{\mathfrak{b} + \mathfrak{a}_P^2} \rightarrow 0$$

$\hookrightarrow \mathfrak{a}_P$  is preimage of  $\mathfrak{m}_P$ ,  $\mathfrak{b} + \mathfrak{a}_P^2$  is preimage of  $\mathfrak{m}_P^2$ , so  
 quotients are  $\simeq$ .

(\*) quotient map b/c  $\mathfrak{b} + \mathfrak{a}_P^2 \supseteq \mathfrak{a}_P^2$

(\*\*)  $\mathfrak{b} + \mathfrak{a}_P^2 \rightarrow 0$ , but needs to be in  $\mathfrak{a}_P / \mathfrak{a}_P^2$ , so  $\mathfrak{b} + \mathfrak{a}_P^2 / \mathfrak{a}_P^2$  is  $\text{Ker } \pi$

but  $\dim \mathfrak{a}_P / \mathfrak{a}_P^2 = \dim \frac{\mathfrak{b} + \mathfrak{a}_P^2}{\mathfrak{a}_P^2} + \dim \mathfrak{a}_P / \mathfrak{b} + \mathfrak{a}_P^2$  b/c all vect. sp's

$$\Rightarrow \boxed{\text{rk}(J(P)) = n - \dim \mathbb{A}^n / \mathfrak{m}_P^2}$$

Then  $\mathcal{O}_{X,P}$  regular  $\Leftrightarrow \dim \mathbb{A}^n / \mathfrak{m}_P^2 = \dim \mathcal{O}_{X,P} = \dim X$   
 $\Leftrightarrow n - \dim \mathbb{A}^n / \mathfrak{m}_P^2 = \text{codim } X \Leftrightarrow \text{rk } J(P) = \text{codim } X$ .  
 (Due to Zariski in '40's.) □

Def: The (Zariski) tangent space to  $X$  at  $P$  is  
 $T_{X,P} = (\mathbb{A}^n / \mathfrak{m}_P^2)^\vee = \text{Hom}_k(\mathbb{A}^n / \mathfrak{m}_P^2, k)$ , where  $\mathfrak{m}$  is the  
 max'l ideal in  $\mathcal{O}_{X,P}$ .  
 - always a vect. sp. /  $k$ .

How is this related to tan. sp. in diff. geom.?

- Tangent vector to  $X$  at  $P$  is a direction in which to differentiate fcn's on  $X$  at  $P$ .  
 - in  $\mathbb{R}^3$  at  $(0,0,0)$ , tan. sp. spanned by  $\partial/\partial x_1, \partial/\partial x_2, \partial/\partial x_3$ . potential

• Also, if fcn's analytic, it's a first-order coefficient of the Taylor expansions for fcn's around  $P$ :  
 $f(P) + \frac{\partial f}{\partial x_1} \cdot (x_1 - a_1) + \frac{\partial f}{\partial x_2} \cdot (x_2 - a_2) + \dots + \frac{\partial f}{\partial x_n} \cdot (x_n - a_n) + \text{H.O.T.}$

$\rightarrow$  so it's a fcn:  
 $\frac{\partial f}{\partial x_i}$ ; fcn's  $f \rightarrow \frac{\partial f}{\partial x_i} =$  one of the 1<sup>st</sup> order Taylor coeffs.

- Can restrict attn to fcn's  $f$  s.t.  $f(P) = 0$  (b/c  $f(P)$  has nothing to do w/ 1<sup>st</sup> order coeffs).

$\mathfrak{m} =$  fcn's that vanish at  $P$

$\mathfrak{m}^2 =$  fcn's that vanish to 1<sup>st</sup> order at  $P$  ( $f(P) = 0 \wedge f'(P) = 0$ )

So  $\mathfrak{m} / \mathfrak{m}^2 =$  fcn's that vanish at  $0$   $\wedge$  by Leibnitz's rule  
 have H.O.T. = 0.

The map from this to  $k$  says what lin comb. of 1<sup>st</sup> order coeffs you take  
 $(\mathfrak{m} / \mathfrak{m}^2)^\vee =$  space of all derivations, i.e. tangent vectors.

$\Rightarrow X$  is smooth at  $P \Leftrightarrow \dim X = \dim T_{X,P}$

Thm:  $\dim \mathfrak{m}/\mathfrak{m}^2 \geq \dim A$ .

$\Rightarrow T_{X,P}$  may be greater than variety, & so not smooth, or can be as small as possible, i.e.  $\dim X$ , & then is smooth.

Ex:  $X = Z(y^2 - x^2(x+1))$



$\dim T_{X,P} = 1$  (b/c smooth everywhere but origin &  $\dim X = 1$ )

$\dim T_{X,Q} = 2$

$\hookrightarrow$  if  $X \hookrightarrow Y$ , then  $T_{X,P} \hookrightarrow T_{Y,P}$ , so

since  $X \subseteq \mathbb{A}^2$  &  $\mathbb{A}^2$  smooth, so  $T_{\mathbb{A}^2,Q} = 2$

&  $T_{X,Q} \subseteq T_{\mathbb{A}^2,Q}$

OR  $\text{rk}(J(P)) = n - \dim \mathfrak{m}/\mathfrak{m}^2$

at  $Q$ ,  $J(Q) = \begin{pmatrix} 0 \\ 0 \end{pmatrix}$ , so  $\text{rank} = 0$

$n = 2$ , so  $\dim \mathfrak{m}/\mathfrak{m}^2 = 2$

\* if a variety is embedded in  $\mathbb{A}^n$ ,  $\dim T_{X,P} \leq n \forall P$ .

\* Read from sec. 4:

Thm: Every variety  $X$  is birational to a hypersurface

( $X$  may not be cut out by one eqn, but can find an open set that  $(\rightarrow)$  to an open set of a hyperplane in  $\mathbb{A}^{n+1}$ )

check

Thm:  $\text{Sing } X$  is a proper closed subset of  $X$ ,

(i.e. every variety is smooth a.e.)

Pf: Question is local, so can reduce to  $X$  affine

$\hookrightarrow$  b/c set = closed local

( $\leftarrow$  true  $\forall$  affine open sets in  $X$ , true for  $X$ )

\*

Local @'s  $\Rightarrow$  can reduce to affine

Closed: Sing  $X$  cut out from  $A^n$  by  $I(X)$  and  
 Determinants of the  $(n - \dim X)$ -minors of  $J$   
 $\rightarrow$  poly in the deriv's of  $f$  that  
 all vanish at  $pt \Rightarrow \text{rk } J \leq n - \dim X$   
 $\Rightarrow$  those pts are singular.

[Now, from  $\dim A \leq \dim \mathbb{A}^n / \mathbb{A}^2$ , can say  $P$  sing  
 if  $\text{rk } J < \text{codim } X$ .]

$\neq X$ : Assume  $\text{Sing } X = X$ . wlog,  $X$  is a hypersurface,  
 $X = Z(f) \subseteq A^{n+1}$  (from prev. thm) ( $f$  irred)

$$\text{Sing } X = Z(f, \frac{\partial f}{\partial x_1}, \dots, \frac{\partial f}{\partial x_n}) = X$$

$\text{rk } J = 0 \Rightarrow$  all partials of  $f$  vanish

$$\Rightarrow (f, \frac{\partial f}{\partial x_1}, \dots, \frac{\partial f}{\partial x_n}) \in (f)$$

But  $\deg \frac{\partial f}{\partial x_i} \neq \deg f \Rightarrow \frac{\partial f}{\partial x_i} \in (f)$

$$\Rightarrow \frac{\partial f}{\partial x_i} = 0. \text{ (b/c } f \mid \frac{\partial f}{\partial x_i} \text{) } \forall i$$

If  $\text{Char} = 0 \Rightarrow f$  const  $\nabla$

$$\text{In char } p, \Rightarrow f = g(x_1, \dots, x_n)^p = (g(x_1, \dots, x_n))^p$$

$\leftarrow g_i = g$  w/  $p$ -th roots of coeffs  
 or b/c over  $k = \mathbb{F}$ .

$\nabla$  b/c  $f$  irred.

Ex: Look at  $X = Z(z^2 - yx^2 + 4y^{n+1}) \subseteq A^3$ . (char 0),  $n \geq 1$ .

Find Sing  $X$ : want  $\frac{\partial f}{\partial x} = 0$

$$\Rightarrow 2xy = 0 \Rightarrow x=0 \text{ or } y=0$$

$$\frac{\partial f}{\partial y} = 0 \Rightarrow -x^2 + 4(n+1)y^n = 0 \quad \left. \begin{array}{l} \text{if } x=0, y=0 \\ \text{if } y=0, x=0 \end{array} \right\}$$

$$\frac{\partial f}{\partial z} = 0 \Rightarrow 2z = 0 \Rightarrow \boxed{z=0}$$

$$f = 0$$

$$\Rightarrow \boxed{x=0, y=0}$$

So  $\text{Sing } X = \{0\}$ .

HW Exer:

Blow this surface up until it becomes smooth.

(Q: How many times do you need to do this?)

$\rightarrow$  in  $A^3 \times \mathbb{P}^2$  have 3 patches:

$x, y, z$   
 $u, v, w$

$$\begin{pmatrix} x & y & z \\ u & v & w \end{pmatrix} \begin{array}{l} xv = yu \\ xw = zu \Rightarrow x = zu \\ yw = zv \Rightarrow y = zw \end{array}$$

$\leftarrow$  redundant

If  $w \neq 0$ , in  $A^3_{x,y,z} \times A^2_{u,v}$ :

Sing on open  
 set  $\Rightarrow$  Sing  
 everywhere b/c  
 Sing  $X$  is closed

\*\* Imp.

$$\begin{cases} x=zu \\ y=zv \\ z^2 - yx^2 + 4y^{n+1} = 0 \end{cases} \subseteq \mathbb{A}^5_{xyzuv}$$

$$\Rightarrow \begin{cases} z^2 - zvz^2u^2 + 4z^{n+1}v^{n+1} = 0 \\ z^2 - z^3vu^2 + 4z^{n+1}v^{n+1} = 0 \\ \cancel{z^2} (1 - zvu^2 + 4z^{n-1}v^{n+1}) = 0 \end{cases}$$

eqn of blow-up in patch  $w \neq 0$  in

$$z=0 \Rightarrow \text{coords } u, v, z$$

$x=0, y=0$   
exceptional divisor

need to decide if smooth or not by taking partials.  
 $\Rightarrow$  will have determined sing. coords of blowup on this patch.

\* 1<sup>st</sup> Blowup will still have sing. pts.

10/15

Def: If  $A$  is a ring,  $I \subseteq A$  an ideal, the completion of  $A$  wrt  $I$  is  $\varprojlim_{n \geq 1} A/I^n = \hat{A}$ .

- Assume you have a family  $\{M_i\}_{i \in \mathbb{N}}$  of modules (gps, rings, etc) over a ring. Moreover, we are given maps  $\phi_{ij}: M_j \rightarrow M_i$  where  $i \leq j$  & if  $i \leq j \leq k$ ,  $M_k \xrightarrow{\phi_{jk}} M_j \xrightarrow{\phi_{ij}} M_i$   $\phi_{ik} \Rightarrow \phi_{ik} = \phi_{ij} \circ \phi_{jk}$
- Our ex:  $M_i = A/I^i$ ,  $M_j \rightarrow M_i$  for  $i \leq j$  b/c modding out bigger set in  $M_i$ . ( $[a] \mapsto [a]$ )

We can define the inverse limit

$$\varprojlim_n M_i = \{ (m_1, m_2, \dots) \mid m_i \in M_i \text{ & } \phi_{ij}(m_j) = m_i \forall i \leq j \}$$

Ex:  $A = k[x]$ ,  $I = (x)$ .

$\hat{A} = \{(f_1, f_2, \dots)\} = k[[x]]$  b/c look like poly but can have  $\infty$  powers

$$f_1 = a_0, \quad f_2 = a_0 + a_1x, \quad f_3 = a_0 + a_1x + a_2x^2$$

+ (x)                      + (x^2)                      + (x^3)

Note:  $f_2$  has same const as  $f_1$  b/c  $\phi_{ij}(m_j) = m_i$

Def: The analytic local ring of a pt  $P$  on a variety  $X$  is  $\widehat{\mathcal{O}_{X,P}} = \text{completion of } \mathcal{O}_{X,P} \text{ wrt } \mathfrak{m}_P.$

• This is a complete local ring.

- in ex above,  $\mathbb{K}[[x]]$  is the analytic local ring of the origin in the affine line

↳ corresp to  $\mathfrak{m}_0 = (x)$

↳ corresp to  $\mathbb{K}[[x]]$

Def: Two points  $P \in X, Q \in Y$  are said to have the same analytic type of singularity if  $\widehat{\mathcal{O}_{X,P}} \cong \widehat{\mathcal{O}_{Y,Q}}$  as  $\mathbb{K}$ -alg's

- If  $X$  not birational to  $Y$ ,  $P \notin Q$  cannot have same local ring.

Cohen Structure Thm: Let  $P$  be a smooth point on a variety  $X$ , of dimension  $d$ . Then  $\widehat{\mathcal{O}_{X,P}} \cong \mathbb{K}[[x_1, \dots, x_d]]$  (ie, this is the completion of a regular local ring)

Ex: Look at  $X = \mathbb{A}^2$  at  $P = (0,0)$  and  $Y = \mathbb{A}^2$  at  $Q = (0,0)$ .

$\mathcal{O}_{X,P} \neq \mathcal{O}_{Y,Q}$ :  $\mathcal{O}_{Y,Q}$  has zero divisors ( $xy=0 \dots$ , even in local ring)

↑ domain (always true if  $X$  a variety)

Claim:  $\widehat{\mathcal{O}_{X,P}} \cong \widehat{\mathcal{O}_{Y,Q}}$

PF: ①  $\exists$  power series  $g(x,y), h(x,y) \in \mathbb{K}[[x,y]]$  s.t.

$$g = (y+x) + g_2 + g_3 + \dots \text{ h.o.t.} \quad (\text{ie no const \& deg 1 part} = xy)$$

$$h = (y-x) + h_2 + h_3 + \dots \text{ h.o.t.} \quad \text{and } gh = y^2 - x^2(x+1)$$

→ so poly. no longer irreducible in completion.

PF: deg 2 of  $gh$  is  $y^2 - x^2$

$$\text{deg 3 of } gh \text{ is } (y+x)h_2 + (y-x)g_2$$

$$\text{of rhs is } -x^3$$

$$\text{deg 4: } (y+x)h_3 + (y-x)g_3 + g_2h_2 = 0$$

possible b/c know  $gh = 0$

same arg

} possible (ideal gen)  $(y+x, y-x)$

$= (x,y) \Rightarrow$  any poly of deg  $\geq 1$  can be gen max ideal of origin

② There is an automorphism  $\mathbb{C}[x,y] \xrightarrow{\phi} \mathbb{C}[x,y]$  s.t.  $\phi(x)=g$   
 $\neq \phi(y)=h$ .

$\phi$  descends to an iso  $\mathbb{C}[x,y]/(xy) \xrightarrow{\sim} \mathbb{C}[x,y]/(gh)$   
 $\hat{\mathcal{O}}_{y,0} \xrightarrow{\sim} \hat{\mathcal{O}}_{x,0}$   $\square$   $C = y^2 - x^2(x+1)$

(uses fact that taking quotients commutes w/  
 completions, i.e. completion is exact)

Read: Miles Reid, "La Correspondance de McKay"

Let  $G \leq SL(2, \mathbb{C})$  a finite subgroup.

ex:  $A_{2n} = \langle \begin{pmatrix} \epsilon & 0 \\ 0 & \epsilon^{-1} \end{pmatrix} \rangle, \epsilon = e^{2\pi i/2n} \quad (\cong \mathbb{Z}/2n\mathbb{Z})$

$D_{4n} = \langle \begin{pmatrix} \epsilon & 0 \\ 0 & \epsilon^{-1} \end{pmatrix}, \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \rangle$  "binary dihedral gp"  
 $x \rightarrow \epsilon x, y \rightarrow \epsilon^{-1} x$   $xy \rightarrow y \rightarrow -x$

$E_6, E_7, E_8$  (exceptional gps). These are all the gps.

$\Rightarrow$  relation to classification of Dynkin diagrams

of ss. Lie gps.

McKay:  $G \subset GL(2, \mathbb{C})$  (b/c all  $2 \times 2$  matrices do). Form the

quotient  $\mathbb{C}^2/G$ . [a fin. gp acting by reg. auto's  
 on sp then quotient is variety]

To understand  $X = \mathbb{C}^2/G$  as an affine variety, we  
 want to know  $\mathcal{O}(X)$ .

$\mathbb{C}^2 \xrightarrow{\pi} \mathbb{C}^2/G$   
 Surj dom  $\downarrow \pi$   
 $\Rightarrow \mathcal{O}_x \xrightarrow{\pi^*} \mathbb{C}[x,y]$   $\leftarrow$  pullback of fens  $\rightarrow$  the set of fens  
 that are  $G$ -invariant,  
 b/c they're constant on  
 orbits  
 $\Rightarrow \mathcal{O}_x = \mathbb{C}[x,y]^G$

Ex If  $G = D_{4n}$ ;  $u = x^2 y^2$  is an invariant polynomial

$v = x^{2n} + y^{2n}$

$w = xy(x^{2n} - y^{2n})$

$\mathbb{C}[u,v,w] \rightarrow \mathbb{C}[x,y], u \mapsto x^2 y^2, v \mapsto x^{2n} + y^{2n}, w \mapsto xy(x^{2n} - y^{2n})$

$\text{Im } \phi = \mathcal{O}(X) = \mathbb{C}[X, Y]^G$ , i.e. these 3 generate all  $G$ -invar. polys.

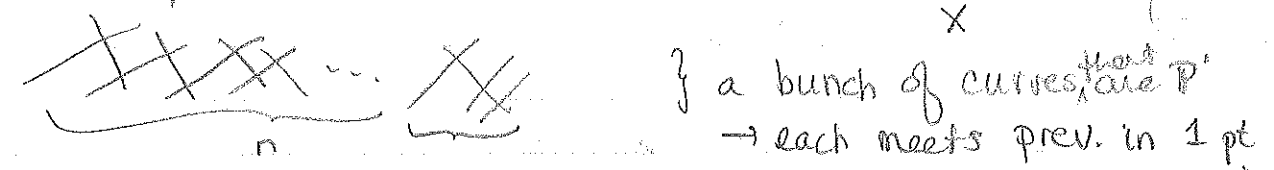
Let  $I = \text{ker } \phi$ . Then  $Z(I) \subseteq \mathbb{A}_{u,v,w}^3$  is iso. to  $\mathbb{C}^2/G$ .

(b/c  $\mathbb{C}[u,v,w]/\text{ker } \phi \cong \text{Im } \phi = \mathcal{O}(X)$ )

$Z(I)$  a 2-dim. variety in 3-sp, so only looking for one eqn:  $I = \boxed{w^2 - vu^2 + 4v^{n+1} = 0}$

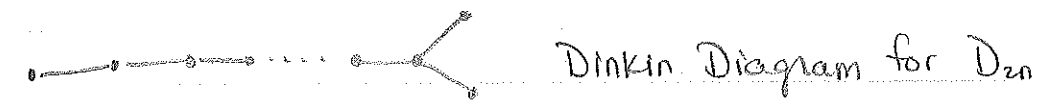
This space only singular at origin, b/c only get singularities at fixed pts of action  $\xi$ , for  $D_{4n}$ , that's only the origin.  $G$  acts freely on  $\mathbb{C}^2 \setminus \{0\}$ , so smooth. (of codim  $\leq 2$ )

Start resolving the singularity at 0.  $\mathbb{X}$  final blowup  
 The exceptional locus,  $\pi^{-1}(0)$  under  $\downarrow \pi$   
 $X$



a bunch of curves, are  $\mathbb{P}^1$   
 $\rightarrow$  each meets prev. in 1 pt

dual graph: (replace each  $\mathbb{P}^1$  w/ vertex  $\xi$ ,  $\mathbb{P}^1$  meets  $\mathbb{P}^1 \Rightarrow$  edge)



Dynkin Diagram for  $D_{2n}$

exceptional locus =  $\pi^{-1}(0)$ .

2 curves at each blowup w/ a sing pt on one of them until final blowup



10/17 "Minimal Model Program" = distinguished, "nice" representative in each birational class.

Goal: Prove that:

- (a) Any  $g$ : proj. curve is birational to a smooth proj. one.
  - (b) Two smooth proj. curves  $\Leftrightarrow$  are isomorphic.
- [ (b) breaks down for surfaces b/c  $\mathbb{P}^1 \ni \mathbb{B}\mathbb{L}(\mathbb{P}^1)$  are birational but not isomorphic ]

Idea: Given a field ext'n  $K/k$  of transcendence deg. 1, produce a smooth proj. curve w/ fcn. field  $K$ .

Obs: If  $X$  is a curve s.t.  $K(X) = K$ ,  $P$  = smooth pt on  $X$ , then  $\mathcal{O}_{X,P}$  is a regular local domain of dim 1. (f.g.  $k$ -alg).

- domain b/c curve irred
- reg b/c  $P$  smooth pt
- local b/c  $\mathcal{O}_{X,P}$  always local
- dim 1 b/c  $X$  has dim 1

Thm: Let  $A$  be a noetherian local domain of dim 1, maximal ideal  $\mathfrak{m}$ . TFAE:

- (a)  $A$  is regular
- (b)  $A$  is integrally closed
- (c)  $\mathfrak{m}$  is principal
- (d)  $A$  is a DVR.

Ex:  $\mathbb{Z}_{\mathfrak{p}}$ ,  $\mathfrak{p}$  a prime ideal  $\rightarrow$  all ideals principal  
 $\rightarrow \mathbb{Z}$  int cl  $\Leftrightarrow$  all localizations are int cl.

- Similarity btwn  $\mathbb{Z}$  & rings of curves.

recall, need to get a curve of deg 1 b/c want to

Def: Let  $K$  be a field,  $G$  an ordered abel. gp. (like  $\mathbb{Z}$ ).

A valuation on  $K$  is a fcn  $v: K \setminus \{0\} \rightarrow G$  s.t.

(a)  $v(xy) = v(x) + v(y)$

(b)  $v(x+y) \geq \min\{v(x), v(y)\}$  if  $x+y \neq 0$ .

The valuation ring of  $v$  is  $R_v = \{x \in K \setminus \{0\} \mid v(x) \geq 0\} \cup \{0\}$ .

[or let  $v(0) = \infty$  &  $\infty > g \forall g \in G$ ]

$R_v$  is a subring of  $K$ , local w/ max'l ideal

$$\mathfrak{m}_v = \{x \in K \setminus \{0\} \mid v(x) > 0\} \cup \{0\}.$$

Ex:  $v$  a valuation on  $\mathbb{Q}$ :  $v: \mathbb{Q} \setminus \{0\} \rightarrow \mathbb{Z}$

Fix  $p$  a prime. Let  $v(x) =$  largest power of  $p$  which divides  $x$ .

(can be negative if  $p$  div. denom)

So  $v_2(3/16) = -4$

Note:  $R_{v_2} = \left\{ \frac{m}{n} \mid m \in \mathbb{Z}, n \in 2\mathbb{Z} + 1 \right\} = \mathbb{Z}_{(2)}$

Def: If  $K \subseteq k$ , a valuation  $v$  of  $K$  is a valuation of  $k/k$  if  $v(x) = 0 \forall x \in k$ .

• A valuation is discrete if  $G = \mathbb{Z}$

• A ring  $R$  is a DVR if there is a discrete valuation  $v$  on the field of fracs  $k$  of  $R$  s.t.  $R = R_v$ .

Def: A domain is a Dedekind domain if it is an int. cl. noeth. dom. of dim 1.

[all localizations at primes are DVR's - like  $\mathbb{Z}$ ]

Thm: Let  $A$  be a Dedekind dom,  $K$  its field of fracs,  $L \supseteq K$  a fin. field ext'n. Then the int. closure of  $A$  in  $L$  is also a Dedekind dom.

Obs: If  $X$  is a smooth,  $P \in X$ , then  $\mathcal{O}_{X,P}$  is a DVR,  
 $\mathcal{O}_{X,P} \subseteq K = K(X)$ ,  $\hookrightarrow$  b/c int-cl.

Def: Let  $K$  be a f.g. field ext'n of tr. deg. 1.

Define  $C_K = \{v \mid v \text{ a discrete valuation of } K/K\}$

[like if  $K = \mathbb{Q}$ , then  $C_K =$  all nonzero prime ideals  
 ( $\mathbb{Z}$  ignore  $\mathbb{Z}$ )

• If  $X$  is a smooth, gproj curve, get a map  $X \xrightarrow{\phi} C_K$   
 ( $K = K(X)$ ) given by  $P \mapsto \mathcal{O}_{X,P}$  (rather, the valuation  
 $[v_P: K \rightarrow \mathbb{Z}]$  that produces  $\mathcal{O}_{X,P}$ , i.e.  $v_P$ )

$v_P$  is answering  $\rightarrow$  "to what order does the  
 (if doesn't vanish, then has a pole, so take order of pole.) fcn vanish at the pt?"  
 $\hookrightarrow$  [so  $v_P(f) =$  order of 0  
 input is a fcn]

Claim:  $\phi$  is injective.

Lemma: If  $P, Q$  are smooth pts on a curve  $X$ , if  
 $\mathcal{O}_{X,P} \subseteq \mathcal{O}_{X,Q}$ , then  $P = Q$ .

"If every fcn that's regular at  $P$  is also reg at  $Q$ ,  
 then the pts must coincide"

pf: Embed  $X$  in  $\mathbb{P}^n$ . Find a hyperplane  $H$  which  
 avoids both  $P$  &  $Q$ . Then  $X \setminus H$  is g-affine &  $P, Q \in X \setminus H$ ,  
 so wlog, can assume  $X$  is g-affine (in fact affine  
 by taking closure in  $\mathbb{A}^n$ ).

Now have  $A = \mathcal{O}(X)$ ,  $\mathfrak{m}_P, \mathfrak{m}_Q$  max'l,  $\mathfrak{m}_P \subseteq \mathfrak{m}_Q$   
 $A_{\mathfrak{m}_P} \subseteq A_{\mathfrak{m}_Q} \Rightarrow \mathfrak{m}_P \subseteq \mathfrak{m}_Q$ , but both max'l  
 $\Rightarrow \mathfrak{m}_P = \mathfrak{m}_Q \Rightarrow P = Q$   $\square$

(think of  $x$  as a rat'l fcn on curve)

Thm: Let  $K$  be a fcn field of dim 1 /  $k$ . Let  $x \in K$ .

Then  $\{R \in C_k \mid x \notin R\}$  is finite.

"The set of pts where a rat'l fcn is not defined is finite"

→ the valuation rings that don't contain  $x$  — but DVR's are the local rings from pts at which curve defined (& smooth).

Pf:  $x \notin R \Leftrightarrow 1/x \in \mathfrak{m}_R$  (from props of val ring.)

$x \notin R \Rightarrow v(x) < 0 \Rightarrow v(1/x) > 0 \Rightarrow 1/x \in \mathfrak{m}_R$

WTS: For  $y = 1/x \in K$ ,  $\{R \mid y \in \mathfrak{m}_R\}$  finite.

wlog,  $y \notin k$  (b/c for  $y \in k$ ,  $v(y) = 0 \Rightarrow$  set  $\emptyset$ )

$k$  alg. cl  $\Rightarrow y$  not alg /  $k \Rightarrow k[y] \subseteq K$  is a polynomial ring. This is a Ded. dom. of dim 1.

$K$  is a finite field ext'n of  $k(y)$  (b/c  $K$  has tr. deg 1, & so does  $k[y]$ , so  $K/k[y]$  must be alg.)

Let  $B$  be the int. cl of  $k[y]$  in  $K$ . Then by thm,  $B$  is a Ded. dom.  $B$  also a f.g.  $k$ -alg, & its field of fracs is  $K$  (ie  $B_{(0)} = K$ ), & it has no zero divisors. If  $X$  is the affine var. w/  $\mathcal{O}_X = B$ , then  $k(X) = K$ .

$X$  is smooth b/c  $B$  is a Ded. dom, & so all localizations are int. cl  $\Rightarrow \mathcal{O}_{X,P}$  int. cl  $\forall P$

If  $y \in R$ , for some  $R \in C_k \Rightarrow k[y] \subseteq R \xrightarrow{R \text{ int. cl.}} B \subseteq R$ .

Let  $\mathfrak{m} = \mathfrak{m}_R \cap B$ . Then  $\mathfrak{m}$  is a max'l ideal of  $B$  since  $\mathfrak{m}_R$  prime  $\Rightarrow \mathfrak{m}$  prime &  $B$  has dim 1  $\Rightarrow$  all ideals are max or 0 &  $\mathfrak{m} \neq 0$ .

$B \subseteq R$       $\mathfrak{m} = \mathfrak{m}_R \cap B$  max'l      $B_{\mathfrak{m}}$  b/c Ded.  
 $\Rightarrow (B_{\mathfrak{m}}, \mathfrak{m})$  is dominated by  $(R, \mathfrak{m}_R)$  (both DVR's)  
 (i.e.  $B_{\mathfrak{m}} \subseteq R$  &  $\mathfrak{m} = \mathfrak{m}_R \cap B$ ).

Thm: Valuation rings are max'l wrt domination relation.

$\Rightarrow B_{\mathfrak{m}} = R. \Rightarrow y \in \mathfrak{m}_R$  for some  $R \Leftrightarrow \exists \mathfrak{m} \subseteq B$

\* Given field have produced smooth affine var w/ fcn field  $K$ .

$\Leftrightarrow \mathfrak{p}$  corresp. to a zero of  $y$  as a reg. fn on  $X$ ,  
but we've seen there are only fin. many of these  $\mathfrak{p}$

10/22 Last time: For every field ext'n  $K/k$  f.g. of tr. deg 1,  $\exists!$  smooth projective curve  $C$  w/  $k(C) = K$ .

We defined  $C_k = \{R_p \subseteq K \mid R_p \text{ is a valuation ring of } K/k\}$

Ex:  $K = k(x)$

$C = \mathbb{P}^1$ :  $\mathbb{P}^1 \cong \mathbb{A}^1$ ,  $\mathcal{O}(\mathbb{A}^1) = k[x] \Rightarrow k(\mathbb{P}^1) = k[x]_{(0)} = k(x)$   
↳ b/c can do it on affine subset

Thus any curve that's birational to  $\mathbb{P}^1$  is  $\cong$  to  $\mathbb{P}^1$ .

\* If blow-up smooth pt on curve, nothing happens.

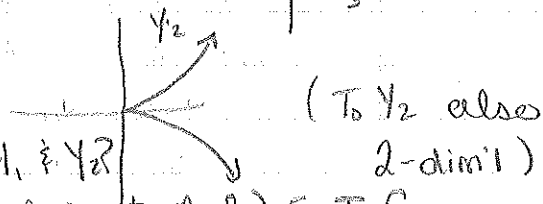
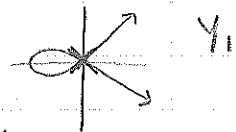
↳ Digression: (Normal Cones)

Let  $Y = Z(f)$  be a hypersurface in  $A^n$ .

ex:  $Y = Z(y^2 - x^3 - x^2) \subseteq A^2$ .

$T_0 Y = k^2$  (2 dim'l vect. sp)

$Y_2 = Z(y^2 - x^2)$



How do we distinguish  $Y_1$  &  $Y_2$ ?

( $T_0 Y_2$  also 2-dim'l)

Normal cone: look at  $Z(\text{initial part of } f) \subseteq T_0 C$ .

$\text{in}(f)$  = throw away all monomials not of lowest deg.

$\text{in}(f_1) = y^2 - x^2$  (from  $y^2 - x^3 - x^2$ )

$\text{in}(f_2) = y^2$  (from  $y^2 - x^3$ )

$\text{in}(f)$  is homogeneous  $\Rightarrow$  its zero locus will be a cone  $\subseteq T_0(C)$

Claim: If we blow up the origin in  $C$ ,  $\bar{C} \xrightarrow{\pi} C$

then  $\pi^{-1}(0) = \mathbb{P}(Z(\text{in } f)) \subseteq \mathbb{P}(T_0 C)$

↳ projectivization (exceptional locus is always

(also true for arbitrary dimension  $C$ ) the projectivization of the normal cone of the pt)

Ex: If we blow-up the origin in  $A^n$ , the pts of the exceptional locus are identified w/ the projectivization,  $\mathbb{P}(T_0 A)$ .

$\mathbb{P}(z(y^2-x^2)) = 2$  pts, b/c in affine sp, get 2 lines, & each line corresp to a pt in  $\mathbb{P}^2$  (1,1), (-1,1)

$\mathbb{P}(z(y^2)) = 1$  pt, b/c only one sol'n  $y=0$  ( $x=1$ )

• What is the exceptional locus if we blow-up a smooth pt on a variety of dim  $n$ ?  $\mathbb{P}^{n-1}$  (guess)

- works for  $A^n$ ; for a hypersurface:

$X = Z(f) \subseteq A^n$ ,  $X$  smooth at the origin

$\hookrightarrow \Rightarrow f$  must have linear term(s)

$\Rightarrow \text{in}(f)$  is a linear poly,  $\neq 0$ .

$\Rightarrow Z(\text{in}(f)) \cong A^{n-1}$

$\Rightarrow E \cong \mathbb{P}(Z(\text{in}(f))) = \mathbb{P}(A^{n-1}) = \mathbb{P}^{n-2}$  (ok, b/c




$\dim X = n-2$ )

On a curve, preimage of pt is just the pt itself,

b/c  $\dim C = 1$ , so  $\dim \mathbb{P}(\text{in}(f)) = \mathbb{P}^0$ .

Genus of a smooth proj. curve, the only discrete invariant,  $\in \mathbb{Z}_{\geq 0}$

$\rightarrow$  over  $\mathbb{C}$ , end up w/ compact, smooth surface

so can get  ,  ,  , ...

genus 0

genus 1

genus 2

$\uparrow$   
 $\exists!$   $g=0$

$\uparrow$   
 $\exists$  a whole

$\uparrow \exists$  a whole 3-dim

curve,  $\mathbb{P}^1$

$A^1$  worth of  $\neq$

var. ( $M_2$ ) of curves

curves w/  $g=1$ ,

(moduli space

elliptic curves

of gen. 2)

$zy^2 = x(x-z)(x-az)$

$a \in \mathbb{C} \setminus \{0,1\}$

$C (x:y:z)$

$\downarrow$   $\downarrow$  2:1 cover

$\mathbb{P}^1 (x:z)$

(deg 2) (cubic) ext'n of  $k(x)$

$K(C) = \left( \frac{k[x,y]}{(y^2 - x(x-1)(x-a))} \right)$

$\hookrightarrow$  (in  $g \geq 24$ , cannot nicely parametrize the curves!) (6)

Prop:  $\forall x \in k, x \neq 0, \{R_p \in C_k \mid x \notin R_p\}$  is finite.

Crucial part of proof:  $y = 1/x$  ( $y \notin k$ )

$k[y] \subseteq B = \text{int. cl. of } k[y] \text{ in } k \subseteq k$

$\uparrow$  Dedekind:  $B$  f.g. ring whose localization at max. ideal is  $R_p$ .

We constructed a max ideal  $\mathfrak{m}_p \subseteq B$  s.t.

$B_{\mathfrak{m}_p} = R_p$  if  $y \in R_p$ .

Thm: Let  $C$  be any curve s.t.  $k(C) = k \nsubseteq P \in C$  smooth. Then  $\mathcal{O}_{C,P} \in C_k$ . Conversely,  $\forall R_p \in C_k, \exists$  curve  $C \nsubseteq P \in C$  smooth s.t.  $\mathcal{O}_{C,P} \cong R_p$ .

[Prev:  $C \rightarrow C_k$   
 $P \mapsto \mathcal{O}_{C,P} \leftarrow$  a val. ring of  $k \Rightarrow$  elt of  $C_k$ .

want: if  $C$  a sm. proj. curve, want map to be an iso.

Now have:  $\forall R_p \in C_k$ , it's hit by some curve w/ fcn field  $k$ .]

Pf: Pick  $y \in R_p, y \notin k$ . Take the affine var. corresp. to  $B$  (as above, the int. closure of  $k[y]$  in  $k$ , a f.g.  $k$ -alg w/ no zero divs)  $\Rightarrow C$  a smooth affine curve.  $B$  can form construction w/ max'l ideal  $\mathfrak{m}$ .  
 s.t.  $B_{\mathfrak{m}} = R_p$   $\downarrow P \in C$   
 $\mathcal{O}_{C,P}$

Def: Put a topology on  $C_k$  by making finite sets closed. For  $U \subseteq C_k$  open, define  $\mathcal{O}(U) = \bigcap_{P \in U} R_P$   
 (parallel to  $\mathcal{O}(U) = \bigcap_{P \in U} \mathcal{O}_{C,P}$  for a curve  $C$ )  
 $\hookrightarrow$  reg fns on  $U$  are reg at each pt, so lies in local ring at each  $P \Rightarrow$  int.

If  $f \in \mathcal{O}(U)$ , can we produce a function  $\bar{f}: U \rightarrow k$ ?

Let  $P \in U$ , i.e.  $P = \mathbb{R}_P$  is a valuation ring in  $k$ .

$\bar{f}(P) = f \bmod \mathfrak{m}_P$ , where  $\mathfrak{m}_P$  is the maximal ideal

in  $\mathbb{R}_P = P$ .  $\mathbb{R}_P/\mathfrak{m}_P \cong k$  (b/c a DVR of  $k/k$ )

Ex:  $C = \mathbb{P}^1$ ,  $K = k(x)$ ,  $f = x^2 + 1$ ,  $U = \mathbb{P}^1 \setminus \{0\}$  (val. rings are in 1-1 corresp w/  $\mathbb{P}^1$ )

Val. rings of  $k(x)$  at  $P$   
 • highest power of  $x$  Div. poly.  
 and order of vanishing at  $0$  (ie, degree)

$v =$  valuation at  $0$  "order of vanishing at  $0$ "

$\uparrow$   
 $\hookrightarrow (0,1) \in \mathbb{P}^1$

$v(f) = 0$ .  $R_v = k[x]_{(x)}$  ← all polys in  $k(x)$  that don't have pole at  $0$ .

$\mathfrak{m} = (x)$ ,

$\bar{f} = f \bmod \mathfrak{m}$

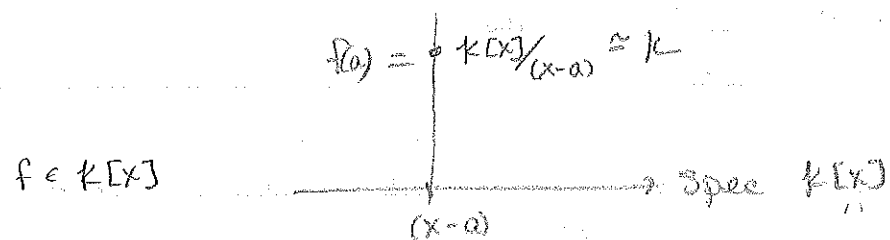
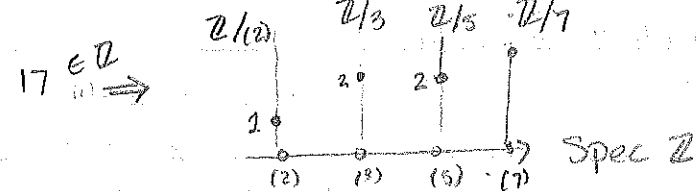
$= x^2 + 1 \bmod (x) = 1$

← quotients of polys but denom doesn't vanish at  $0$ .

$[= f(a)]$  ← divide by  $x-a$ , the remainder is  $f(a)$

$\mathbb{R}_P/\mathfrak{m}_P \cong k$

\* Recall from 742:



Prop: The mapping  $f \mapsto \bar{f}$  is injective.

Pf: Assume  $\bar{f} = \bar{g}$ . Then  $f-g \in k$ ,  $\bar{f} = \bar{g}$  on  $U$

$\Rightarrow f-g \in \mathfrak{m}_P \forall P \in U$ . But then  $f-g$  belongs to

max ideal of  $\infty$  by many max ideals  $\Rightarrow f-g=0 \Rightarrow f=g$ .  $\square$



Def: A fcn  $g: U \rightarrow k$  is regular iff  $g = \frac{f}{h}$  for some  $f, h \in \mathcal{O}(U)$ .

10/24  $K = \text{f.g. field extn of } k \text{ of transcendence deg. } 1$

Goal: Produce a curve w/ fcn. field  $K$ .

• If  $C$  were a smooth curve w/  $K(C) = K$ , then how do we understand the relationship btwn pts on  $C \cong K$ ?

- If  $P \in C$ , we can look at its local ring, (ring of reg. fcn. near  $P$ )  
 $\mathcal{O}_{C,P} \subseteq K$ . Call  $\mathcal{O}_{C,P} = R_P$ .

• Then  $R_P$  is local

•  $R_P$  is regular, since  $C$  smooth at  $P$

•  $\dim R_P = 1$ , b/c on curve

•  $R_P$  a domain b/c curve irred.

•  $K = (R_P)_{(0)}$ .

But such rings are always valuation rings, by a thm of comm. alg.

- Thus  $\exists$  discrete valuation,  $v: K \setminus \{0\} \rightarrow \mathbb{Z}$ , with

$\mathcal{O}_{C,P} = \text{valuation ring of } v$

• Why do 2 diff pts give 2 diff val. rings?

B/c if  $\mathcal{O}_{C,P} = \mathcal{O}_{C,Q}$ , then  $P=Q$ , from prev.

lemma [which just said if  $\mathcal{O}_{C,P} \subseteq \mathcal{O}_{C,Q}$ , then  $P=Q$ ]

- So we have a map:

$\{P \in C\} \xrightarrow{\Phi} \{R_P \text{ a val. ring of } K\} = C_K$

$P \longmapsto R_P = \mathcal{O}_{C,P}$ .

This map is injective by pt above.

- Suppose we had a curve  $C$  s.t. the map above is surj. Then what top. would be nec. on  $\{R_P\}$  for the map to be a homeo? (i.e. an iso. of sets)

The top. on  $\{P \in C\}$  has finite sets as closed sets. So the nec. top. on  $\{R_P\} = C_K$  is the top. where closed sets are finite (or everything).

- On  $C$ , we have a notion of regular fncs.  
How can we translate this to  $C_k$ ?

• Reg maps on  $C$  are encoded in

$U \subseteq C \iff \mathcal{O}_U$  ring s.t.  $\mathcal{O}(U) \subseteq K$ ,

$\mathcal{O}(U)$  an int dom w/ field of fracs,  $K$ .

for  $f \in \mathcal{O}(U)$ ,  $\bar{f}$  is a fnc on  $U$ ,  $\bar{f}: U \rightarrow K$ .

$\hookrightarrow$  abstract elt of subring of  $K$   $\leftarrow$  map  $U \rightarrow K$ .

[same elt, but thought of differently].

- On  $C$ , we have  $\mathcal{O}(U) = \prod_{P \in U} \mathcal{O}_{C,P}$ .

• Thus, we can define  $\mathcal{O}(U)$  for  $U \subseteq C_k$ :

$\mathcal{O}(U) \subseteq K$  is  $\mathcal{O}(U) = \prod_{P \in U} R_P$ .

• Note  $U \subseteq C_k$  is just all but fin. many val rings of  $K$  (b/c  $U$  open)

- To construct fncs on  $U \subseteq C_k$ , we need to start w/  $f \in \mathcal{O}(U)$ , & then associate an elt  $f(R_P) \in K \forall R_P \in U$ .

Take  $\bar{f}(R_P) := f \pmod{\mathfrak{m}_P} \in R_P/\mathfrak{m}_P \cong K$ .

Essentially division w/ remainder alg - if have poly, to find value of  $f$  at  $a$ , we take  $f \pmod{(x-a)}$ , &  $(x-a)$  is the max ideal assoc. to pt  $a$ ]

• If  $R = K[x]$ ,  $\mathfrak{m}_P = (x-a)$ , then there is a!  $K$ -alg iso  $R/\mathfrak{m}_P \cong K$ , by  $f \pmod{\mathfrak{m}_P} \mapsto f(a)$ .

Call  $U \subseteq C_k$  the abstract nonsingular curve assoc. (like  $g$ -proj curve) to  $K$ , w/ the top. we defined & the notion of regular fncs above = it's a top. sp w/ a notion of a distinguished set of fncs on its open sets. All notions of morphism from varieties extends to  $U \subseteq C_k$ .

Thus we have a category:

Funny Varieties = Varieties  
abstract nonsing. curves.

if we've defined morphisms.

We want to show Funny Varieties = Varieties, i.e.  
we haven't added any new curves.

Ex: Let  $K = k(x)$ .  $C_k = \{v_a \mid v_a \in k\} \cup \{v_\infty\}$

Valuations on  $k$ :

•  $v_0(f/g) = v_0(f) - v_0(g)$ , where  $v_0(f)$  = highest  
power of  $x$  dividing  $f$ .

ex:  $v_0\left(\frac{x^2+2x+1}{x(x-1)}\right) = -1$ .

- think of this as the order of the zero or  
pole at the origin.

•  $v_a(f/g) = v_a(f) - v_a(g)$ , where  $v_a(f)$  = highest  
power of  $(x-a)$  dividing  $f$ .

→ this gives one valuation for each pt  
in  $A^1$ . But we know  $\mathbb{P}^1$  has fn  
field  $k$ , so we should have one more val.

•  $v_\infty(f) = -\deg f$  (deg of pole or zero at  $\infty$ ).

[Thm: These are all the valuations of  $k(x)$ .]

Take  $U = \text{"finite } A^1" = \mathbb{P}^1 \setminus \{\infty\}$ . Want to write this  
inside  $C_k$ : So  $U = \{v_a \mid a \in k\}$  is an open set  $\subseteq C_k$ .  
(open b/c threw away fin. many pts).

Then  $\mathcal{O}(U) = \bigcap_{a \in k} R_{v_a} = \left\{ \frac{f}{g} \mid v_a(f) - v_a(g) \geq 0 \ \forall a \in k \right\}$   
(since  $R_{v_a} = \left\{ \frac{f}{g} \mid v_a(f) - v_a(g) \geq 0 \text{ for this } a \right\}$ )

Claim:  $\mathcal{O}(U) = k[x]$  (i.e.  $g=1$ )

If  $g=1$ ,  $v_a \geq 0 \ \forall a$ . Conversely, reduce  $f/g$  to  
lowest terms. If  $g \neq 1$ , then  $\exists$  a zero of

$g$ , not a factor of  $f$ ,  $\Rightarrow v_a(f/g) = 0 - (\text{positive } \#) < 0$ .

→ This is exactly the statement that reg fns  
on  $A^1$  are just polynomials.

• If  $U = C_k$ , then  $\mathcal{O}(U) = k$ , b/c now have  
 $(\bigcap_{a \in k} R_{V_a}) \cap R_{V_\infty} = k[x] \cap R_{V_\infty}$ , so deg is non-neg,  
 but also deg is non-positive.  $\Rightarrow$  deg = 0.

$\rightarrow$  This is: only reg fns on proj. variety are constant.

• If  $U = C_k \setminus \{V_0\}$ , then  $\mathcal{O}(U) = k[1/x]$   
 $\mathcal{O}(U) = \{f/g \mid v_a(f) - v_a(g) \geq 0 \ \forall a \in k \setminus \{0\}, \text{ and } \deg g - \deg f \geq 0\}$

$\hookrightarrow$  from  $v_a(f/g) \geq 0$

$\Rightarrow$  deg  $g \geq$  deg  $f$ . But  $\forall a \neq 0$ , if  $(x-a)^i \mid f$ ,  
 then  $(x-a)^j \mid g$  w/  $j < i$ , so  $f$  can have  
 more  $(x-a)$ 's than  $g$ , except at  $a=0$ ,  
 so can add as many  $x$ 's to  $g$  as we want.  
 so if reduce  $f/g$ ,  $g = x^n \Rightarrow f/g = \frac{f(x)}{x^n}$  s.t.  
 $n \geq$  deg  $f$ .

Claim: this is  $k[1/x]$  for some  $h \in k[x]$ .

Thus  $\mathcal{O}(U) = k[1/x]$ , so get another copy of  $A^1$ ,  
 b/c reg fns are poly in  $y = 1/x$ .

• Now, we want to do the same thing for an arbitrary  $k$ .

Thm: Every <sup>smooth</sup> curve is isomorphic to an abstract non-sing. curve.

Pf: Let  $X$  be a smooth curve. Let  $k = C(X)$  (rat'l fns on  $X$ )

$\exists$  let  $\phi: X \rightarrow C_k$   $\phi$  clearly a reg fcn, so

$P \mapsto \mathcal{O}_{X,P}$ ,  $\phi$  will be an iso if we  
 prove  $C_k \setminus \phi(X)$  is finite.

i.e. the image is an open subset, which is  
 what we called an abs. non-sing. curve.

Take an affine open in  $X$ . If this maps to an  
 open set, so will  $X$ , so wlog, assume  $X$   
 is affine. Call  $\mathcal{O}(X) = A$ . Then  $\phi(X) = \{A_{\mathfrak{m}} \mid \mathfrak{m}$   
 $\text{max'l in } A\}$ . (b/c a pt in  $X$  corresp to max'l  
 ideal, & the local ring  $\mathcal{O}_{X,P} = A_{\mathfrak{m}}$ )

But  $\forall \mathfrak{m}$ ,  $A \setminus A_{\mathfrak{m}} \neq \emptyset$  is a DVR b/c  $X$  smooth

Thus  $\Phi(X) = \{R \subseteq K \mid R \text{ DVR}, A \subseteq R\}$ .

↳ obvious

↳ given  $A \subseteq R \subseteq K$ ,  $R$  a DVR,  $R = A_{\mathfrak{m}}$  for some max'l ideal  $\mathfrak{m}$ . Look at  $\underline{b} \cap A = \underline{m} \in A$ , for  $\underline{b}$  the ! max. ideal of  $R$ . [uses that DVR's are max'l subrings].

Recall,  $A$  a fg.  $k$ -alg, so let  $x_1, \dots, x_n$  be gens

Thus  $\Phi(X) = \{R \subseteq K \mid x_1 \in R, x_2 \in R, \dots, x_n \in R\}$ .

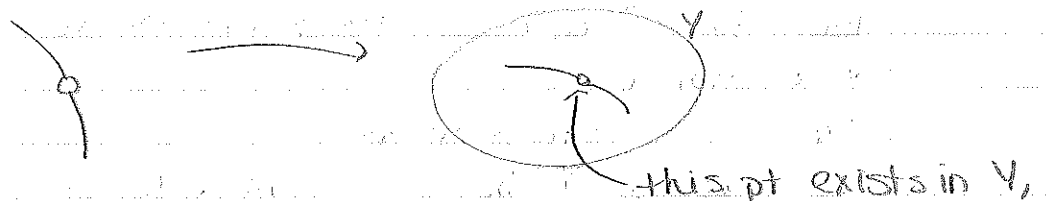
$$= \bigcap_{i=1}^n \{R \subseteq K \mid x_i \in R\}$$

open in  $C_k$  since int. of fin many opens

⇒  $\Phi(X)$  is open in  $C_k$ . □

Thm: Let  $X$  be an abstract non-sing curve,  $Y$  a proj. variety,  $P \in X$ . Then, if  $\phi: X \setminus \{P\} \rightarrow Y$  is a morphism,  $\exists ! \bar{\phi}: X \rightarrow Y$  s.t.  $\bar{\phi}|_{X \setminus \{P\}} = \phi$ ,  $\bar{\phi}$  a morphism.

→ Given a proj. var.,  $\bar{\phi}$  knows how to map all but one pt to  $Y$ , there's a ! way to extend it.



→ This lets us take one-directional limits i.e.  $Y$  is complete.

- Really sequential completeness (every Cauchy seq. has limit in  $sp$ ) → This is the alg. geom. equivalent of compactness.

⇒ called separability.

- Hausdorffness: (In a Hausdorff sp, every seq. w/ a limit has a ! limit)
- Same thm, but remove  $\exists \rightarrow$  so the fcn may not be able to be extended, but if you can, then it can be extended uniquely.

Ex: Look at  $f: A^1 \setminus \{0\} \rightarrow A^1$ . Cannot be extended at  $\{0\}$ .

$$x \mapsto 1/x$$

But, if  $f: A^1 \setminus \{0\} \rightarrow \mathbb{P}^1$  can be extended by

$$x \mapsto 1/x \quad 0 \mapsto \infty.$$

Ok for  $f$  to be defined on affine sp, b/c choose affine cover around  $P$ .

In general, to extend in  $\mathbb{P}^1$  on the patch of  $\mathbb{P}^1$  whose coord. is  $1/x$ . Then the pull-back  $f^*(1/x) = x$ , regular not just on  $X \setminus \{P\}$ , but also on  $X$ .

Idea of PF:  $\Phi: X \setminus \{P\} \rightarrow \mathbb{P}^n$  given,  $X$  curve, find patch  $U_i \subseteq \mathbb{P}^n$ ,  $U_i \cong A^n$  s.t.  $\Phi^*(1/x_i)$  is regular on all of  $X$ , where  $x_i$  are coords on  $U_i$ .

$\mathbb{P}^1$  covered by  $A^1_x$  &  $A^1_{1/x}$ . They int at  $\mathbb{P}^1 \setminus \{0, \infty\}$ .

10/29 (not ques) Thm: Let  $C$  be an abstract nonsingular curve,  $P \in C$ ,  $Y$  a proj. variety,  $\phi: C \setminus \{P\} \rightarrow Y$  a morphism. Then  $\exists! \bar{\phi}: C \rightarrow Y$  extending  $\phi$ .

PF: Replace  $Y$  by  $\mathbb{P}^n$  b/c:  $C \setminus \{P\} \xrightarrow{\phi} Y \hookrightarrow \mathbb{P}^n$

$\mathbb{P}^n$   
 $C \xrightarrow{\bar{\phi} ?} \mathbb{P}^n$  ← if we construct this, then b/c  $Y$

wlog,  $Y = \mathbb{P}^n$ .

① let  $U \subseteq \mathbb{P}^n$  be the open set

$U = \{x_1 \neq 0, x_2 \neq 0, \dots, x_n \neq 0\}$ .

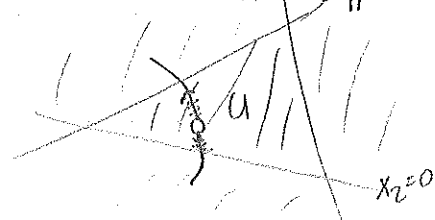
wlog, can assume  $\exists$  nbhd

$V$  of  $P$  s.t.  $\phi(V \setminus \{P\}) \subseteq U$ .

closed,  $\bar{\phi}(C) \subseteq Y$ .

(b/c  $\text{im}(\text{closure}) \subseteq \text{cl}(\text{image})$   
 $\rightarrow \bar{\phi}(C \setminus \{P\}) \subseteq \bar{\phi}(C \setminus \{P\}) \subseteq Y = Y$   
 $\bar{\phi}(C)$

$x_1=0$   $x_2=0$   $\mathbb{P}^2$  (these are the boundary lines.)



How could this fail? i.e. when does such a  $V$  not exist?  $\rightarrow$  When  $\{V\}$  lands inside one of the lines. (one of the coordinate hyperplanes) <sup>say inside  $x_n=0$</sup> . But if this is the case,  $\{x_n=0\} = \mathbb{P}^{n-1}$ . By induction, repeat w/  $\mathbb{P}^n$  replaced by  $\mathbb{P}^{n-1}$ . At some pt, this must stop.

③ On  $U$ , we have regular fcn's  $\frac{x_i}{x_j} \forall i, j$ . (b/c  $x_j \neq 0$  on  $U$ )  
 $\phi: \underbrace{V}_{\substack{\text{open} \\ \text{in } \mathbb{P}^n}} \rightarrow U$  regular,  $f_{ij} = \frac{x_i}{x_j} \circ \phi: V \rightarrow k$  regular.  
 "rat'l fcn.  $\Rightarrow \in k$ ."

$P \in C \iff v_P$ , a valuation on  $k$ .

Define  $a_{ij} = v_P(f_{ij}) \in \mathbb{Z}$ . Define  $r_i = a_{i0}$ .  
 $f_{ij} \cdot f_{jk} = f_{ik} \Rightarrow a_{ij} + a_{jk} = a_{ik}$  (from props of valuations)

In particular,  $a_{ij} = a_{ik} - a_{jk} = r_i - r_j$  (set  $k=0$ )

Pick  $k$  s.t.  $r_k = \min \{r_i\}$  (only fin. many  $i$ ; they're ints, so  $\exists$  a smallest)  
 $\Rightarrow a_{ik} \geq 0 \forall i$

(b/c  $a_{ik} = r_i - r_k \geq 0$ , since  $r_k$  smallest)

$\Rightarrow f_{ik}$  actually defined at  $P$ , b/c the valuation is non-neg, so fcn doesn't have a pole.

$\Rightarrow f_{ik}$  extends to a regular fcn  $f_{ik}: V \cup \{P\} \rightarrow k$ .

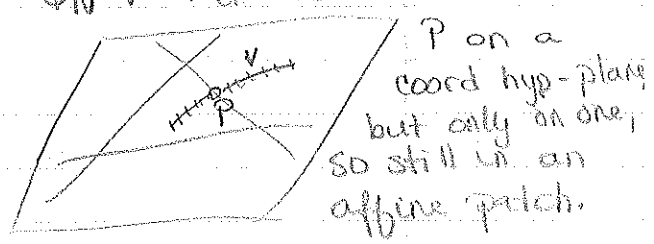
[regular fcn's on an open set are elts of  $k$  whose valuations at all pts of the open set are nonneg]

$\Rightarrow$  The map  $\bar{\phi}: V \cup \{P\} \rightarrow \mathbb{A}_{\{x_k \neq 0\}}^n \subseteq \mathbb{P}^n$   
 $x \mapsto (\bar{f}_{0k}(x), \bar{f}_{1k}(x), \dots, \bar{f}_{(k-1)k}(x), \dots, \bar{f}_{nk}(x))$

is regular. In  $V$ , the coords were exactly  $x_i/x_k$ , and at  $P$ , we have the ext'n.


Conclusion: We have extended  $\phi|_V: V \rightarrow U$  to

$$\begin{array}{ccc} \bar{\phi}: V \cup \{P\} & \rightarrow & \mathbb{A}_{\{x_k \neq 0\}}^n \subseteq \mathbb{P}^n \\ \downarrow \text{VI} & & \downarrow \text{VI} \\ V & \xrightarrow{\phi|_V} & U \end{array}$$



Done.  $\rightarrow$  the valuation allowed us to choose an affine patch  $\square$

$R$  a DVR. Consider  $\text{Spec } R$ :

It's the germ of a smooth pt  on a curve.

↳ b/c every DVR is the local ring of a pt on curve.

What we've done:

birational class of curves



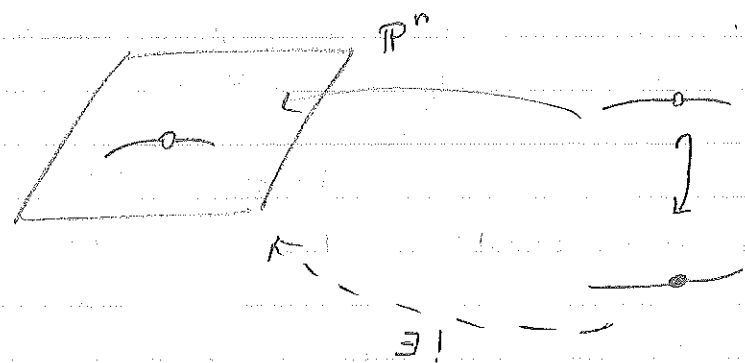
Fcn field,  $k$



Abs. non-sing curve,  $C_k$



smooth proj curve



-every map from the germ of a curve w/o the pt maps to a full curve.

Thm: Every abstract nonsingular curve  $C_k$  is isomorphic to a smooth projective curve.

PF: ①  $C_k$  can be covered by finitely many open sets,  $\{U_i\}_{i=1}^k$  each of which is isomorphic to an affine curve.

[From earlier construction w/ Dedekind domain ( $B$ )

$P \in C_k \rightarrow B$  a f.g.  $k$ -alg, so corresp to an affine var]

Only need fin. many b/c take one affine patch - then complement is finite, so take the affine patches of those pts. ← not nec. smooth

② Each  $U_i \subseteq \mathbb{A}^{n_i} \subseteq \mathbb{P}^{n_i}$ . Define  $Y_i = \overline{U_i} \subseteq \mathbb{P}^{n_i}$ . (projective closure)

③ We have a map  $U_i \xrightarrow{\phi_i} Y_i$  proj.

$C \cap \{P_1, \dots, P_k\} \subseteq C$  (by using prev. thm repeatedly)

So we get  $\overline{\phi_i}: C \rightarrow Y_i$ , which are isomorphism on  $U_i$ .

④ Get a map

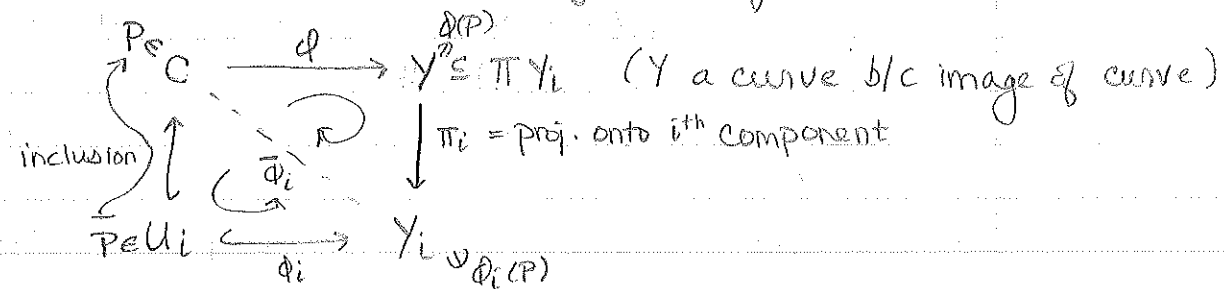
$$\begin{aligned} \phi: C &\longrightarrow \prod_{i=1}^k Y_i \subseteq \mathbb{P}^{n_1} \times \dots \times \mathbb{P}^{n_k} \subseteq \mathbb{P}^N \\ P &\longmapsto (\overline{\phi_1}(P), \overline{\phi_2}(P), \dots, \overline{\phi_k}(P)) \end{aligned}$$



Claim: This map is an isomorphism onto the closure of its image  $Y \subseteq \mathbb{P}^N$ .

[ $Y$  smooth, b/c reg. prop  $\hat{O}_{Y,P} \cong \hat{O}_{C,P} \leftarrow \text{a DVR}$ ]

Pf: We have a comm. diagram of morphisms:



all maps are dominant. (all nonconstant maps of curves are dominant)  
 So we get inclusions

$$\hat{O}_{\phi(P), Y_i} \hookrightarrow \hat{O}_{\phi(P), Y} \hookrightarrow \hat{O}_{P, C} \quad \text{b/c dom. maps induce inclusions of local rings}$$

But  $\hat{O}_{\phi(P), Y_i} \cong \hat{O}_{P, C} \Rightarrow$  all inclusions are iso's:

$$\hat{O}_{P, C} \cong \hat{O}_{\phi(P), Y} \cong \hat{O}_{\phi(P), Y_i}$$

We have:

- $\forall P \in C, \hat{O}_{\phi(P), Y} \cong \hat{O}_{P, C}$  by map  $\phi \Rightarrow \hat{O}_{\phi(P), Y}$  a regular local ring  $\Rightarrow C$  maps by  $\phi$  into smooth part of  $Y$ .

- $\phi$  is surj: Let  $Q \in Y$ . Then  $\exists P \in C$  s.t.

$\hat{O}_P$  dominates  $\hat{O}_{Y, Q}$ . Look at  $\phi(P) = Q' \in Y$ .

$$\hat{O}_{Y, Q'} \cong \hat{O}_{P, C} \text{ dominates } \hat{O}_{Y, Q} \Rightarrow Q' = Q.$$

$$\Rightarrow Q \in \text{Im}(\phi).$$

$\Rightarrow$  (1)  $\phi$  is surj

(2)  $\phi^*$  is an isomorphism on local rings

(3)  $Y$  is a smooth proj curve  $\rightarrow$  if they're in 2  $U_i$ 's,

(4)  $\phi$  is injective, [b/c distinct pts of  $U_i$  map to 2 diff comp onents of  $Y$ ]

$\Rightarrow \phi$  is a homeomorphism & induces iso on local rings  $\Rightarrow \phi$  is an iso (by an exercise)

2 distinct pts  $\Leftrightarrow$  2 dist. DVR's  $\Leftrightarrow \phi$  maps to 2 diff pts

w/ distinct DVR's  $\Leftrightarrow \phi^*$  iso.

Clean argument for injectivity

$$P_1 \neq P_2 \in C \Rightarrow$$

$$\hat{O}_{P_1, C} \neq \hat{O}_{P_2, C}$$

$$\Rightarrow \hat{O}_{\phi(P_1), Y} \neq \hat{O}_{\phi(P_2), Y}$$

$$\Rightarrow \phi(P_1) \neq \phi(P_2)$$

10/31

Fact: Every morphism btwn smooth proj. curves is either onto or constant.

Reason: Projective varieties are "compact."

$X$  cpt  $\Rightarrow f(X)$  cpt if  $f$  cts &  $Y$  Hausdorff  $\Rightarrow f(X)$  closed inside  $Y$ . (Top argument, but it fails in our case) But:

Thm: If  $X$  projective,  $f: X \rightarrow Y$  a morphism, then  $f(X)$  closed in  $Y$ .

Pf of Fact:  $X$  proj curve  $\Rightarrow X$  proj &  $X$  irred  $\Rightarrow f(X)$  closed & irreducible in  $Y$ . But  $Y$  a curve  $\Rightarrow f(X)$  is a pt of  $Y$ . (these are the only cl. irred. subsets of a curve)

Cor 1: Every abstract nonsingular curve is isomorphic to a quasiproj. curve.   
 (open set in  $C_k$   $\uparrow$  open set of proj. curve.)

Cor 2: Every curve is birat'l to a smooth proj curve.

Pf: Let  $X$  be a curve,  $K = K(X) \Rightarrow$  f.g. field of tr. deg 1/k.

Let  $C = C_k$ ,  $C$  is iso. to a smooth proj. curve,  $Y$ .

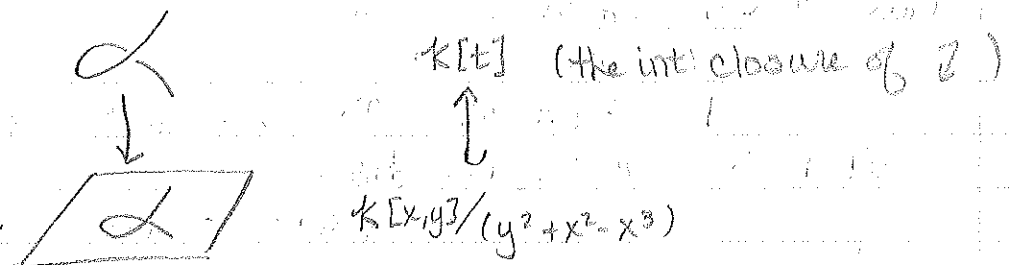
$K(C_k) = k \Rightarrow K(Y) = K(C_k) = k \Rightarrow K(X) = K(Y) \Rightarrow X$  is birat'l to  $Y$ .  $\square$

[More intuitive idea - embed  $X$  in proj. sp & take closure.

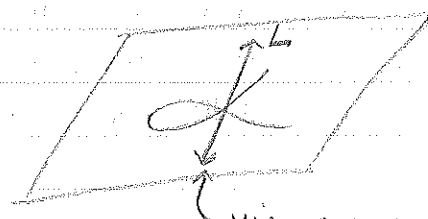
Then blow up singular points, repeatedly, which doesn't change the birational type, & hope the process terminates]

• For affine varieties,  $X \subseteq \mathbb{A}^n$ . If  $R = \mathcal{O}(X)$ , let  $\bar{R}$  be the int. closure of  $R$  in  $k = K(X)$ . If  $R = \text{domain}$ , f.g. /k  $\Rightarrow \bar{R} = \text{domain}$ , f.g. /k  $\Rightarrow \bar{R} = \mathcal{O}(Y)$  for some affine  $Y$ .  $R \hookrightarrow \bar{R} \Rightarrow$  get a map of varieties  $Y \rightarrow X$  (dominant,  $Y$  is the normalization of  $X$ , (A variety  $Y$  is normal if  $\mathcal{O}_{Y,P}$  is int. closed  $\forall P \in Y$ )  
In dim  $\geq 2$ , normalization need not be smooth,

but in dim 1,  $Y$  is smooth, b/c intch. local domain of dim 1  $\Rightarrow$  regular.



Ex:



via an automorphism of  $\mathbb{P}^2$ , can make this line a line at  $\infty$ . Then in  $\mathbb{P}^2 \setminus L$ , an affine patch, the curve is smooth, but its projective closure is not.

### Divisors & Maps to $\mathbb{P}^n$ (Ch. 2 of Hartshorne)

• Maps from  $X \rightarrow \mathbb{A}^n$  are just  $n$  regular fcn's on  $X$ .

B/c:  $\text{Maps}(X, \mathbb{A}^n) = \text{RingMaps}_{\mathbb{Z}}(\mathcal{O}(X), \mathcal{O}(X))$

$Y$  affine

Then  $\text{Maps}(X, \mathbb{A}^n) = \text{Ring maps}_{\mathbb{Z}}(k[x_1, \dots, x_n], \mathcal{O}(X))$

so this map defined by where  $x_1, \dots, x_n$  go,

if  $\mathbb{Z}$  this is same as picking  $n$  elts of  $\mathcal{O}(X)$  to send them!

• Maps from proj sp to  $\mathbb{A}^n$  must be constant, since  $\mathcal{O}(X)$  const.

Let  $V$  be a  $d$ -vector sp,  $\mathbb{P} = \mathbb{P}(V)$  be the corresp. proj. sp.

Claim: The set  $\{L \subseteq \mathbb{P} \mid L \text{ hyp-plane}\} \cong \mathbb{P}(V^*)$

Pf: A hyperplane  $L = Z(f)$ ,  $f$  homogeneous & linear

$\{L\} \xleftrightarrow{\cong} \{f \text{ homog. of deg 1, } f \neq 0\} / \sim \quad f \sim \lambda f \quad \forall \lambda \neq 0.$

But such  $f$  are elts of  $V^* \Rightarrow \{L\} \leftrightarrow (V^* \setminus \{0\})/\sim = \mathbb{P}(V^*)$   
 But the dual of the dual is the sp (if  $V$  fin dim).

Call  $\mathbb{P}(V^*) = (\mathbb{P}V)^*$ . Then

$$\mathbb{P}V = (\mathbb{P}V^*)^*$$

$$\mathbb{P} \mapsto \{H \in \mathbb{P}V^* \mid P \in H\} \stackrel{\text{WTS:}}{\text{in}} (\mathbb{P}V^*)^*$$

Let  $P = (t_0 : \dots : t_n)$ , let  $H \in \mathbb{P}V^*$ ,  $H = Z(f)$

$$f = a_0 x_0 + \dots + a_n x_n : V_{x_0, \dots, x_n} \rightarrow k \text{ a lin. form}$$

$P \in H \Rightarrow a_0 t_0 + \dots + a_n t_n = 0$ . If  $\{t_i\}$  fixed, then this

is an eqn in the  $\{a_i\}$ , & it's a lin eqn on coords of

hyperplane. Thus  $\{H \in \mathbb{P}V^* \mid P \in H\} =$  hyperplane in  $\mathbb{P}V^*$ .

$$\Rightarrow \text{pt in } (\mathbb{P}V^*)^* = \left[ \subseteq \mathbb{P}V^*, \text{ \& actually linear} \right]$$

[Linear subsets in  $\mathbb{P}V^*$ ]

[pts in original sp are hyperplanes in dual]

Ex: Let  $C$  be a smooth curve in  $\mathbb{P}^2$ . If  $L \subseteq \mathbb{P}^2$  is a line,

let  $D_L = C \cap L \subseteq C$ . Let  $\deg C = d$   $\left( C \xrightarrow{f} \mathbb{P}^2 \right)$

Generally  $D_L = d$  pts on  $C$

$$L \mapsto D_L \subseteq C$$

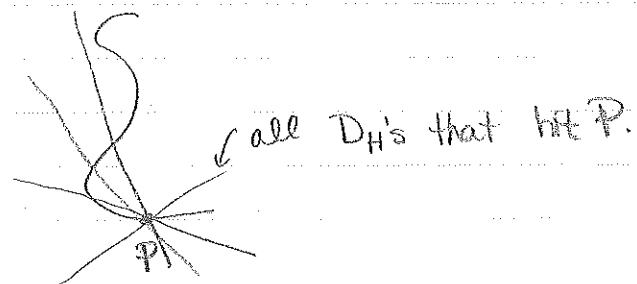
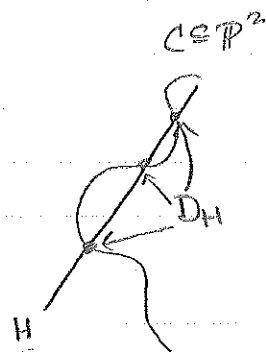
We have a map  $(\mathbb{P}^2)^* \xrightarrow{\phi} \{d\text{-pt subsets of } C\}$

(ie, these  $d$ -pt subsets are parametrized by a dual hyperplane)

$\phi$  allows us to recover the original map  $C \xrightarrow{f} \mathbb{P}^2$

How? Given  $P \in C \mapsto \{H \in (\mathbb{P}^2)^* \mid \phi(H) \ni P\}$

$\left\{ \begin{array}{l} \text{all lines through } P \text{ in } \mathbb{P}^2 \\ \text{this is a hyperplane in } (\mathbb{P}^2)^* \text{ \&} \\ \text{so a pt in } \mathbb{P}^2 \end{array} \right.$





$Y \longleftrightarrow$  valuation ring.

Let  $U \subseteq X$  affine open which intersects  $Y$ .

$\mathcal{O}(U)$  int. d. b/c all local rings regular  $X$

$\exists$  prime ideal of ht 1  $\mathfrak{P} \subseteq \mathcal{O}(U)$

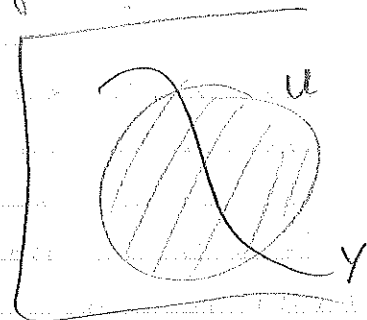
b/c irred subsets corresp. to prime

ideal & dim of subset = ht of  $\mathfrak{P}$

$\Rightarrow \mathcal{O}(U)_{\mathfrak{P}}$  is a dim 1 int. cl. local

ring  $\Rightarrow \mathcal{O}(U)_{\mathfrak{P}}$  a val. ring of  $k$ .

Call the valuation  $v_{\mathfrak{P}}$ .



Def: If  $f \in k(X)$ , define the divisor of  $f$

$$(f) = \sum_{Y \text{ prime div.}} v_Y(f) \cdot Y$$

Ex: On  $\mathbb{A}^1$ ,  $(x^2) = 2 \cdot 0$ , where  $0 = \text{origin}$ .

$$(x(x-1)) = 0 + 1$$

$\uparrow$  pt at 0  $\uparrow$  pt at 1

$$\left(\frac{1}{x}\right) = -0$$

On  $\mathbb{P}^1$

$$\left(\frac{x_0}{x_1}\right) = 0 - \infty$$

$\uparrow = [0:1]$   $\uparrow = [1:0]$

11/5 Divisor:  $\gamma \subseteq X$  ( $X$  smooth) is a prime divisor if  $\gamma$  irred. of codim 1.

• A divisor  $D = \sum_{i=1}^n a_i \gamma_i$ ,  $a_i \in \mathbb{Z}$ ,  $\gamma_i$  prime div's.

Came from observation: If  $X \subseteq \mathbb{P}^n$ , then  $X \cap H = D_H$  is a divisor on  $X$  for every  $H \subseteq \mathbb{P}^n$  hyperplane.

(Think of a curve intersecting a line in  $\mathbb{P}^2$  - then  $X \cap H$  is a bunch of pts.)

•  $\{D_H\}_{H \in (\mathbb{P}^n)^*}$  is a family of <sup>effective</sup> divisors parametrized by pts of the dual  $\mathbb{P}^n$ .  
-  $D$  is effective if  $a_i \geq 0$ .

• If  $f \in K(X)$ , we should construct  $(f) = (\text{zeros of } f) - (\text{poles of } f)$ , a principal divisor.

•  $D_{H_1} - D_{H_2} = (f)$

• If  $X = \text{Spec } \mathbb{Z}$ , pts = max ideals in  $\mathbb{Z}$ , i.e. prime #'s,  $(p)$ .

$\dim X = 1$  ( $0 \subseteq (p) \rightarrow$  only chain)

$(2), (3), (5), (7), \dots$  are codim 1 subsets (pts)

A divisor is:  $(2)a_2 + (3)a_3 + \dots$  (finite)

A rat'l fn on  $X$  is:  $K(X) = \mathbb{Q}$ ,  $\frac{f}{g}$  for  $f, g \in \mathbb{Q}$ .

$(f) =$  [ex:  $(\frac{16}{75}) = 4(2) - 1(3) - 2(5)$ ]

• If  $X = \mathbb{P}^1$ , prime divisors = pts of  $\mathbb{P}^1$ , either

$x \in k$  or  $\infty$ .  $\leftarrow$  the pt  $\infty$  on  $\mathbb{P}^1$

A divisor is a lin. comb: ex:  $3 \cdot "5" + 2 \cdot "6" - 7 \cdot " \infty "$

- this is not a principal divisor b/c

A rat'l fn on  $\mathbb{P}^1$ :  $\frac{(x-1)(x-3)}{(x+2)(x-7)} = f(x)$  [or  $\frac{(x-4)(x-3y)}{(x+2y)(x-7y)}$ ]

$(f) = 1 \cdot "1" + 1 \cdot "3" - 1 \cdot "2" - 1 \cdot "7"$  (no pole or zero at  $\infty$ )

b/c  $\lim_{x \rightarrow \infty} f(x) = 1$

-  $f(x) = \frac{x-1}{(x+2)^2}$ :  $(f) = 1 \cdot "1" - 2 \cdot "2" + 1 \cdot " \infty "$   
 $\uparrow$  goes to  $\infty$  like  $1/x$ .

-  $f(x) = x$ ,  $(f) = 1 \cdot "0" - 1 \cdot "∞"$ .

In all examples, the sum of coeffs is 0 in all principal divisors on  $\mathbb{P}^1$ . ↑ degree of divisor

• If  $f \in k(X) \setminus k$ :

If  $Y \subseteq X$  is a prime divisor, pick some affine  $U \subseteq X$  s.t.  $U \cap Y \neq \emptyset$ . Want to define  $a_Y =$  "order of vanishing of  $f$  along  $Y$ ."

$a_Y = v_Y(f)$ , where  $v_Y: k(X) \setminus \{0\} \rightarrow \mathbb{Z}$  a valuation  
(b/c we want  $a_{YZ} = a_Y + a_Z$ )

If  $\mathcal{O}(U) = R$ ,  $R$  int. dom,  $R_{(0)} = k(X)$ .  $\forall U = \text{codim } 1$

Irred in  $U \Rightarrow \forall U = \mathbb{Z}(\mathfrak{p})$ ,  $\mathfrak{p} \subseteq U$  prime of ht 1

Then  $R_{\mathfrak{p}}$  is a DVR of  $k(X)$ . Take  $v_Y =$  valuation assoc. to  $R_{\mathfrak{p}}$ .

Ex: If  $X = \mathbb{P}^1$ ,  $k(X) = k(x)$

$P = "0"$ . Take usual finite  $\mathbb{A}^1$  nbhd of "0" for  $U$

$R = k[x]$ ,  $P \leftrightarrow \underline{m} \subseteq k[x]$ ,  $\underline{m} = (x)$

$R_{\mathfrak{p}} = k[x]_{(x)}$  is a DVR of  $k(x)$  for valuation "order of vanishing at 0"

• The correct condition on  $X$  is "smooth in codim 1,"

i.e.  $\text{Sing}(X) \not\subseteq X$  has  $\text{codim} \geq 2$ . Then our construction will ensure  $R_{\mathfrak{p}}$  will be a DVR.

• For  $f \in k(X)$ , define  $(f) = \sum_{Y \text{ prime div.}} v_Y(f) \cdot Y$ .

(essentially the multiplicities)

Ex:  $f = xy$  on  $\mathbb{A}^2$

$(f) = 1 \cdot "x\text{-axis}" + 1 \cdot "y\text{-axis}"$

↑ need prime divisor to have codim 1.

$k[x,y]_{(x)}$  local ring w/  $\underline{m}$ . Q: Find smallest  $k$  s.t.

$f \in \underline{m}^k$ .  $\underline{m} = (x) \cdot k[x,y] \Rightarrow k = 1$

$\Rightarrow v_{(x)}(xy) = 1$ .



Why does  $(f) = \sum_Y v_Y(f) \cdot Y$  have finite support?

Prop:  $v_Y(f) \neq 0$  for only finitely many  $Y$ 's.

Pf:  $f \in k(X)$ . Pick  $U$  affine, along which  $f$  is regular. (b/c reg. on some open set, & in every open set there's an affine).

(1)  $X \setminus U$  closed, so it has only fin. many prime divisors contained in it.

(2) Wlog, restrict attn to divisors  $Y$  s.t.  $Y \cap U \neq \emptyset$ .

Note:  $f$  reg on  $U \Rightarrow v_Y(f) \geq 0$  (b/c no poles on  $U$ ),  
&  $v_Y(f) > 0 \Leftrightarrow Y \in Z(f)$ .

proper closed subset of  $U$ , so has fin. many components.  $\square$

Def: The class group  $Cl(X) = \text{Div}(X) / \text{Princ. Div.}(X)$

•  $\text{Princ. Div.}(X) \leq \text{Div}(X)$  b/c  $(f/g) = (f) - (g)$  so  $Cl$  under subtraction.

Prop: If  $X$  is affine,  $R = \mathcal{O}(X)$  is a UFD  $\Leftrightarrow X$  is normal &  $Cl(X) = 0$ .

Pf: ( $\Rightarrow$ ): prime divisors in  $X$  corresp. to primes  $\mathfrak{p}$  of ht 1 in  $R$ . But  $R$  UFD  $\Rightarrow$  such  $\mathfrak{p}$  are principal  $\mathfrak{p} = (f) \Rightarrow$  prime div's on  $X$  are principal  $(f) = 1 \cdot \mathfrak{p}$  so all divisors are principal.  $\square$

Cor:  $Cl(\mathbb{A}^n) = 0$ : b/c  $\mathbb{A}^n$  affine &  $\mathcal{O}(\mathbb{A}^n) = k[x_1, \dots, x_n]$  is a UFD.

Thm: For  $D \in \text{Div}(\mathbb{P}^n)$ ,  $D = \sum a_i Y_i$ , define  $\deg D = \sum a_i \cdot \deg(Y_i) \in \mathbb{Z}$ .

Then

(1)  $\deg D = 0$  if  $D$  is principal  $\Rightarrow \deg: Cl(\mathbb{P}^n) \rightarrow \mathbb{Z}$

(2)  $\deg: Cl(\mathbb{P}^n) \rightarrow \mathbb{Z}$  is an iso.

Pf: Given a <sup>homog</sup> poly  $g \in K[x_0, \dots, x_n]$ , define  $(g) \in \text{Div}(X)$  by:  
 write  $g = g_1^{a_1} \dots g_k^{a_k}$  (b/c UFD) where  $g_i$ 's irred &  
 homog. Then  $Z(g_i) \subseteq \mathbb{P}^n$  is a hypersurface in  $\mathbb{P}^n$   
 of deg equal to  $\deg g_i$ .

(1) If  $Y \subseteq \mathbb{P}^n$  is a prime divisor, define  $\deg(Y) = \deg f$  s.t.  $Y = Z(f)$ ,  $f$  irred.

(2) If  $g$  is homogeneous, define  
 $(g) = \sum a_i Z(g_i)$  if  $g = \prod g_i^{a_i}$ ,  $g_i$  irred (UFD)  
 Then  $\deg(g) = \deg g$  (obvious)

(3) If  $f = g/h \in K(\mathbb{P}^n)$ , then  $(f) = (g) - (h)$ . Now since  $\deg g = \deg h$ , then  
 $\deg(f) = 0$ .

(2):  $\deg: \text{Cl}(\mathbb{P}^n) \rightarrow \mathbb{Z}$  is surjective (b/c  $1 \cdot H$  has  
 $\deg 1$ )  
 $\uparrow$  gen of  $\mathbb{Z}$

If  $D$  has  $\deg 0$ , then it is  
 principal.

If  $D = \sum a_i \cdot Y_i$ , write each  $Y_i$  for which  $a_i \geq 0$  as  
 $Z(g_i)$ , &  $a_i < 0$  as  $Z(h_j)$ . Take  $f = \prod \frac{g_i^{a_i}}{h_j^{-a_j}}$ , a  
 quotient of homog. poly of same deg since  $\deg D = 0$ ,  
 so  $f \in K(\mathbb{P}^n)$  w/  $(f) = D$ .  $\square$

Def:  $D \sim D'$  (linearly equivalent) if  $D = D'$  in  $\text{Cl}(X)$   
 $\Leftrightarrow D - D' \in \text{Princ. Div}(X)$ .

Thm: (Equivalently)  $\forall D \in \text{Div}(\mathbb{P}^n)$ ,  $D \sim (\deg D) \cdot H$ ,  
 $H = \text{hyperplane}$ .

If  $D$  given,  $D = \sum a_i Y_i$ , form same  $f$  as before, but  
 $\deg g \neq \deg h$ :  $\deg g - \deg h = \deg D$ .  
 $\Rightarrow f/x_0^{\deg D} \in K(\mathbb{P}^n)$ , &  $(f/x_0^{\deg D}) = D - (\deg D) \cdot H$   
 $\Rightarrow D \sim (\deg D) \cdot H$ .

Ex:  $f \in K(\mathbb{P}^2)$ ,  $f = \frac{x_0^2 - x_1 x_2}{x_0 x_1}$

$(f) = 1 \cdot Q - 1 \cdot H_0 - 1 \cdot H_1$

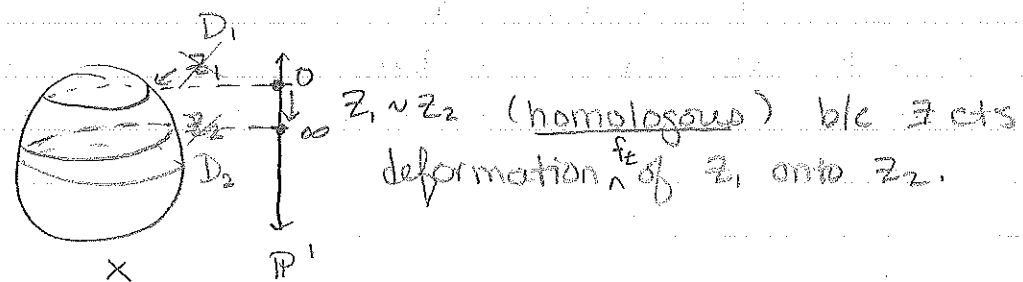
$Q = Z(x_0^2 - x_1 x_2)$  (deg  $Q = 2$ )

$H_0 = Z(x_0)$  (deg  $H_0 = \text{deg } H_1 = 1$ )

$H_1 = Z(x_1)$

$\Rightarrow Q \sim 2H$ , b/c of  $f = \frac{x_0^2 - x_1 x_2}{x_0^2}$ , then  $(f) = Q - 2H$ .

11/7



$D_1 \sim D_2$  (linearly equiv), both effective divisors

$\Rightarrow \exists f \in K(X)$  s.t.  $Z(f) = D_1$ ,  $\# \text{ poles}(f) = D_2$

(b/c  $D_1 - D_2 \in \text{Div}(f)$ )

$f \in K(X) \iff f: X \rightarrow \mathbb{P}^1$  (use finite part of  $f$  defined, else  $f$  has pole, so send it to  $\infty$ )

\*so really, 2 effective divisors are lin-equiv if there's a def. retr. btwn them parametrized by  $\mathbb{P}^1$ . (by a rat'l fcn)

On  $\mathbb{P}^n$ , any 2 hyperplanes  $H_0, H_1$  are linearly equivalent.

ex:  $H_1 = (\sum a_i x_i = 0)$ ,  $H_0 = (\sum a'_i x_i = 0)$

Let  $H_t = (\sum (t a_i + (1-t) a'_i) x_i = 0)$

(a family of hyp. planes:  $\{H_t\}_{t \in \mathbb{A}^1}$ )

change coords so that  $0 \rightarrow 0$  &  $1 \rightarrow \infty$ ,

& have interpolations

Ex: look at  $Z(xy + t(x^2 + y^2)) = Q_t$   
 $t \in A^1$

$Q_1 = Z(x^2 + xy + y^2) =$  smooth quadric.

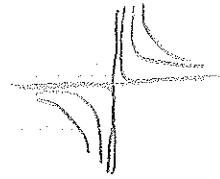
$Q_0 = Z(xy) =$  union of 2 lines

$\Rightarrow Q \sim H_1 + H_2 \in Cl(\mathbb{P}^2)$

i.e. a smooth quadric can be transformed into the union of 2 lines.

(rat'l fcn would be  $\frac{x^2 + xy + y^2}{xy}$  in  $A^2$ )

$\rightarrow$  On  $\mathbb{P}^2$ , as long as 2 equations have same degree, the 2 varieties are lin. equiv.



Ex:  $Z(x^2 + t(y^2 + z^2))$   
 $\uparrow$  smooth quadric  
 $\uparrow$  double line

"fat line"

$2 \cdot H$  as a divisor

$\rightarrow$  first degenerate into 2 lines?

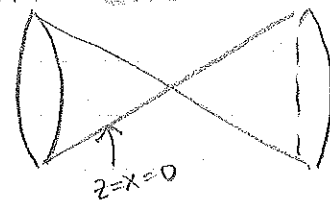
then transform so one line lies on top of other.

Ex:  $X = Z(z^2 - xy) \in A^3$  the quadric cone

smooth in codim 1, b/c

sing. is a pt. of codim 2.

$Cl(X) = \mathbb{Z}/2\mathbb{Z}$  gen. by  $(z-x=0) = L$



$(X) = 2L \rightarrow$  the plane  $x=0$  is tangent to cone

$x=0 \Rightarrow z^2=0$ , so get zero of order 2.

$2L \sim 0$  in  $Cl(X)$ , but  $L \not\sim 0$  in  $Cl(X)$

i.e.  $2L$  is a principal divisor  $\leftarrow$  need to show this.

Thus  $Cl(X)$  has torsion.

$(z) \Rightarrow z=0$  gives  $xy=0 \Rightarrow 2$  lines

Claim:  $I(L)$  is not gen by a single elt (i.e. not a principal ideal in  $R = \mathcal{O}(X) = k[x,y,z]/(z^2-xy) \rightarrow$  not a UFD

$\rightarrow R$  has ht 1 <sup>PRIME</sup> ideal that's not principal, b/c  $R$  not a UFD.

In particular,  $I = (x, z)$  is one example.

$R$  not a UFD:  $z^2 = z \cdot z$

$z^2 = x \cdot y$   
 $R/I = k[y]$  an int dom  $\Rightarrow I$  prime,  
 or  $I$  cuts out line  $\Rightarrow I$  irred

$I$  is ht 1 b/c  $X$  dim 2, line dim 1  $\Rightarrow$  ht  $I = 1$ .

$I$  is not principal:  $\dim T_{x,0} = 3$

$\leq 3$  b/c quadric in 3-space.

$\neq 2$  b/c then it would be = variety's dim  $\xi$

thus  $X$  smooth  $\xi \Rightarrow \xi \geq 2, \xi \leq 3 \Rightarrow \xi = 3$

$\dim T_{L,0} = 1$  b/c  $L$  a line. ( $\xi$  so smooth  $\Rightarrow \dim L = \dim T_{L,0}$ )

- If  $L$  were cut out by  $f$ ,  $T_{L,0} = \{f' = 0 \text{ inside } T_{x,0}\}$   
 (i.e., if  $I$  were principal)  $\uparrow$  linear part of  $f$

[ - If smth cut out by 1 eqn,  
 $\dim$  of  $T_{L,0}$  can go down by 1 or by 0. ]

$\Rightarrow \dim T_{L,0} \geq 2$   $\xi$  from  $\dim X$

We've shown  $\mathbb{Z}/2\mathbb{Z} \subseteq \mathcal{O}(X)$ .

Look at  $X = \mathbb{P}^1$ ,  $P \in \mathbb{P}^1$ ,  $D = 2P$ . Consider the set  
 $\{D' \mid D' \text{ effective} \ \& \ D' \sim D\}$ .  $\mathcal{L}(D)$ , the linear system of  $D$ .

$D'$  is  $2P'$  or

$P+Q$ . b/c only

the degree matters  
 on  $\mathbb{P}^1$ .

$$\{f \in k(x) \mid (f) = D' - D\}$$

$$f(x) = \frac{(x-A)(x-B)}{(x-P)^2} \leftarrow \text{must be deg 2, else would be pole or zero at } \infty.$$

$P$  fixed,  $A, B$  free.

$\Rightarrow \mathcal{L}(D) = \{\text{quadratic poly} \text{ in } x \ \& \ y\}$ , a  $f$ . dim  $\overset{\text{linear}}{v. sp.}$   
 $\dim \mathcal{L}(D) = 3$ ,  $\{x^2, xy, y^2\}$  basis  $\leftarrow$  remove 0

Then  $|\mathcal{L}(D)| = \{D' \mid D' \text{ effective}\} = \mathbb{P} \mathcal{L}(D)$ , b/c if you mult.  $f$  by a constant, zeros & poles don't change.

① Start w/  $D$  effective

$$\mathcal{L}(D) = \{f \in K(X) \mid (f) = D' - D, D' \text{ effective}\} \leftarrow \text{is a v.s.}$$

$$|\mathcal{L}(D)| = |\mathbb{P}\mathcal{L}(D)| = \{D' \mid D' \text{ effective}, D' \sim D\}$$

Also,  $|\mathcal{L}(2P)| = \mathbb{P}^2$

or  $\mathcal{L}(D) = \{f \in K(X) \mid (f) + D \text{ is effective}\}$

Ex: Find all mer. fns on  $\mathbb{P}^1$  w/ pole of order

at most 2 at origin, & no other poles

$f(x) = \frac{g(x)}{x^2}$  no other poles  $\Rightarrow \deg f \leq 2$  (else would have pole at  $\infty$ )

$\dim \{f\} = 3$ , b/c a poly of  $\deg \leq 2$  given by 3 coeffs.

Ex:  $\dim \mathcal{L}(nH)$  on  $\mathbb{P}^m$ ?

$$H = (x_0 = 0)$$

$$\mathcal{L}(nH) = \{f \in K(\mathbb{P}^m) \mid (f) + nH \text{ is effective (i.e. } \geq 0)\}$$

$$f = \frac{g}{h}, g, h \in K[x_0, \dots, x_m] \text{ of same degree.}$$

$$f = \frac{g}{x_0^n} \text{ w/ } \deg g = n \quad (\& \text{ for } g \text{ to have } x_0\text{'s, since } (f) + nH \geq 0 \text{ not just } = 0)$$

$\Rightarrow$  only  $g$  important  $\Rightarrow x_0^n$

$$\text{so } \mathcal{L}(nH) = \{g \in K[x_0, \dots, x_m] \mid \deg g = n\}$$

$$\Rightarrow \dim \mathcal{L}(nH) = \binom{m+n}{n}$$

$$\mathbb{P}^1, 2H, m=1, n=2: \binom{3}{2} = 3 \checkmark$$

$$\text{On } \mathbb{P}^1, \dim \mathcal{L}(nH) = n+1 \quad (\text{b/c have } \underbrace{x_0^n, x_0^{n-1}x_1, \dots, x_1^n}_{n+1})$$

Idea: Start w/ a divisor  $D$ . Look at  $\mathcal{L}(D)$ , & construct a map  $X \rightarrow |\mathcal{L}(D)|$ .

Ex: (from above)  $\frac{f(x)}{x^2}$   
 $X = \mathbb{P}^1, D = 2P, |Z(D)| = \mathbb{P}^2$  (b/c  $\dim Z(D) = 3$ ).

So there's a map

$$\mathbb{P}^1 \hookrightarrow \mathbb{P}^2 \rightarrow \text{2-uple embedding.}$$

$$(x_0, x_1) \mapsto (x_0^2, x_0x_1, x_1^2)$$

↑ exactly the basis of the lin. vect sp.  
we found

want divisors in lin. sys. to be sections of  
image w/ hyperplanes? back to original ex!  
lines through pt to get divisors.

If  $D = nH$ , this gives the  $n$ -uple embedding of  
 $\mathbb{P}^n$  into  $\mathbb{P}^N, N = \binom{n+n}{n} - 1$ .

#### 4 Steps:

- (1) Choose a divisor,  $D$
- (2) Construct  $Z(D)$
- (3) Pick  $L \subseteq Z(D)$  a vector subspace
- (4) Do black magic & get pretend map  $X \rightarrow \mathbb{P}L$

$L$  is called a linear system - a linear subsp of  
sp. of all effective divisors.

$L$  is a complete linear system if  $L = Z(D)$ .

#### Troubles:

- (1)  $Z(D) = 0$  sometimes, i.e. no fcn s.t.  $(f) + D$  effective.

ex:  $Z(-H)$  on  $\mathbb{P}^n$  is 0.

( $(f)$  on  $\mathbb{P}^n$  is never effective, has some  $+$  &

some  $- \Rightarrow$  add more  $-$ , can't be  $+$ )

The divisor does not have enough sections

(2) ex:  $\mathcal{L}(H)$  on  $\mathbb{P}^n$

$$\mathcal{L}(H) = \langle x_0, x_1, \dots, x_n \rangle$$

or

$$L = \langle x_0, \dots, x_{n-1} \rangle$$

$$\mathbb{P}^n \dashrightarrow \mathbb{P}^{n-1}$$

$$(x_0 : \dots : x_n) \mapsto (x_0 : \dots : x_{n-1})$$

projection from  $(0 : 0 : \dots : 0 : 1)$

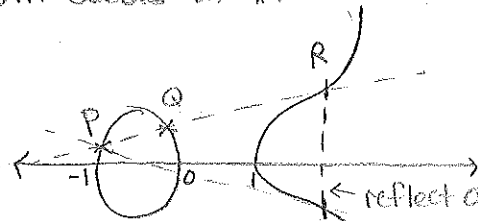
• not defined at  $(0 : \dots : 0 : 1)$ .

} base locus of a linear system.

11/12 Ex: Cubic  $y^2 = x(x-1)(x+1) \in \mathbb{A}^2$

or better, projectivize:  $y^2z = x(x-z)(x+z) \in \mathbb{P}^2$

Smooth cubic in  $\mathbb{P}^2$ .  $x=0 = \infty$  (identity)



• a line will meet curve in 3 pts  
• If  $P, Q$  have rat'l coeffs, then  $R$  does as well.

$R \leftarrow$  rat'l coeffs.

Now repeat, & get a wealth of new pts.

•  $(P, Q) \mapsto P + Q$  (defined geometrically)

is a group operation. identity = pt at  $\infty$

So  $C$ , or any elliptic curve, is a gp, abelian.

What is  $\ominus R$ ? the refl. of  $R$  across  $x$ -axis, b/c

$R + \ominus R = 0$ , i.e. 3<sup>rd</sup> pt of int. is  $\infty$ .

$0 + R = R$ .

Let's prove associativity using divisors:

Let  $\text{Pic}^0(X) = \{D \in \text{Cl}(X) \mid \deg D = 0\}$ , where  $\deg D = \sum a_i$

(on any curve)

if  $D = \sum a_i P_i$ .

$\text{Pic}^0(X)$  is the gp of line bundles of degree 0.

①  $\text{Pic}^0(X)$  is an abel. gp. : well-def:  $\deg(f) \neq 0$  on

any curve b/c  $f$  defines a map  $f: X \rightarrow \mathbb{P}^1$ . On  $\mathbb{P}^1$

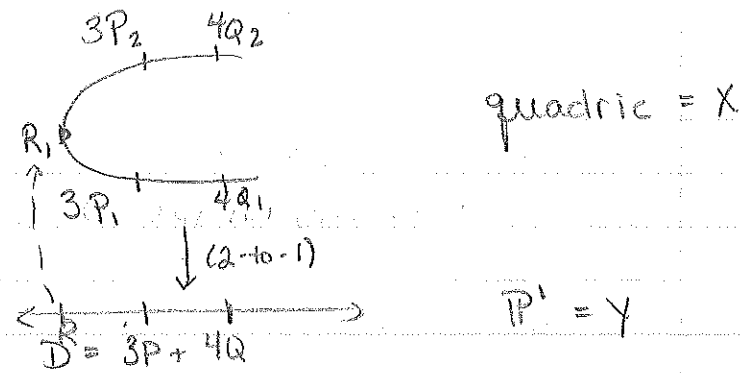
have divisor  $0 - \infty$ , w/  $\deg 0$ .

Thm:  $\exists f^*: \text{Div}(\mathbb{P}^1) \rightarrow \text{Div}(X)$  for any dominant

Pic =  
Picard  
gp



map of smooth proj. curves s.t.  $\deg(f^*D) = \deg D \cdot \deg f$ , where  $\deg f = [K(X):K(Y)]$   
 Intuitively:



$$f^*D = 3P_1 + 3P_2 + 4Q_1 + 4Q_2$$

$\uparrow$   $\deg 7$        $\deg 14$

- Intuitive only, b/c need  $f^*R = 2R_1$  to get an iso  
 Then,  $(f) = f^*(0 - \infty)$ , so  $\deg f = \deg(0 - \infty) \deg f = 0 \cdot \deg f = 0$   
 That  $\text{Pic}^0(X)$  is abel gp is obvious

$$\deg: \text{Cl}(X) \rightarrow \mathbb{Z} \text{ a hom, } \neq$$

$$\text{Pic}^0(X) = \text{Ker}(\deg) \Rightarrow \text{subgp.}$$

② The map  $X \rightarrow \text{Pic}^0(X)$ , pt at  $\infty$ , the origin  
 $P \mapsto P - O$

is surjective on a cubic.  $(\sim)$

i.e., any divisor  $D = \sum a_i P_i$  s.t.  $\sum a_i = 0$  is linearly equivalent to  $P - O$  for some  $P$ .

Pf! <sup>claim</sup> Any divisor  $D = \sum_{i=1}^n P_i$  (may have repetitions)

can be made deg 0 by  $D = \sum_{i=1}^n P_i - nO$ . This is

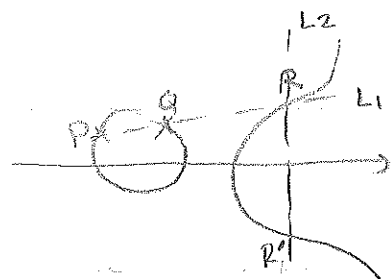
$\sim$  to  $(P_1 - O) \oplus \dots \oplus (P_n - O)$ . Then done, since

Given  $D = \sum a_i P_i$ , look at  $\sum a_i (P_i - O) = D$  (b/c  $\sum a_i = 0$ )

But  $D \sim (P_1 - O) \oplus \dots \oplus (P_n - O) = Q - O$ . (may need some  $\oplus$  instead of  $\otimes$ )

Pf of Claim! The induction step is

$$(P - O) + (Q - O) \sim (P \oplus Q) - O \quad (\text{next pg})$$



In  $\mathbb{P}^2$ ,  $L_1 \sim L_2$ , so  $\exists$  rat'l fcn  $h \in k(\mathbb{P}^2)$  s.t.  $L_1 - L_2 = (h)$ .  
 Restrict  $h$  to  $C$ . ( $h$  defined everywhere but  $L_2$ , so  
 get  $h|_C \in k(C)$ .)

$$(h) = \underbrace{(P+Q+R)}_{\substack{\text{zeros of } h \\ \text{are } L_1}} - \underbrace{(O+R+R')}_{\substack{\text{poles of } h \\ \text{are } L_2}} = (P-O) + (Q-O) + (R'-O) \\ \Rightarrow (P-O) + (Q-O) \sim (R'-O) \\ \text{ \& } R' = P \oplus Q.$$

So we have constructed a map

$$C \xrightarrow{\phi} \text{Pic}^0(C) \quad \begin{array}{l} \cdot \text{ surjective} \rightarrow \text{if } C \text{ is a gp} \\ \cdot \text{ a gp map, b/c} \\ \phi(P \oplus Q) = \phi(P) + \phi(Q) \end{array}$$

$$P \mapsto P-O$$

Claim:  $\phi$  is injective.

Prop: Let  $C$  be a smooth proj. curve,  $P \neq Q \in C$ . Then  
 $P \sim Q \Leftrightarrow C \cong \mathbb{P}^1$  (i.e.  $C$  is rat'l)

[So if  $C$  not rat'l, any 2 distinct pts are distinct in  
 the class gp.]

Pf: ( $\Leftarrow$ ) obvious

( $\Rightarrow$ ) Assume  $(f) = P-Q$ ,  $f \in k(C)$ . Then  $f: C \rightarrow \mathbb{P}^1$  s.t.

$$f^*(0-\infty) = P-Q \Rightarrow f^*(0) = P \Rightarrow \deg f^*(0) = \deg(f) \cdot [k(C):k(\mathbb{P}^1)]$$

$$\deg P \quad \quad \quad 1 \cdot [k(C):k(\mathbb{P}^1)]$$

$$\Rightarrow [k(C):k(\mathbb{P}^1)] = 1 \Rightarrow k(C) = k(\mathbb{P}^1) \Rightarrow C \cong \mathbb{P}^1, \text{ both} \\ \text{smooth proj.} \quad \quad \quad \square$$

Pf of Claim: Assume  $\phi(P) = \phi(P')$ . Then  $P-O \sim P'-O$   
 $\Rightarrow P \sim P' \Rightarrow P=P'$  b/c  $C$  not  $\mathbb{P}^1$ .  $\square$

## Line Bundles in Differential Geometry

If  $M$  is a manifold, a line bundle  $L$  over  $M$  is a manifold w/ a proj. map  $\pi: L \rightarrow M$  s.t.

- (a)  $\exists$  cover  $\{U_i\}$  of  $M$  s.t.  $\pi^{-1}(U_i) \cong U_i \times \mathbb{R}^1$   
 (b) The isomorphism  $\phi_j \circ \phi_i^{-1}: U_i \cap U_j \times \mathbb{R}^1 \rightarrow U_i \cap U_j \times \mathbb{R}^1$  is linear in the fibers.

(a) & (b) is called a trivialization.

Ex 0:  $M \times \mathbb{R}^1$  (cover is  $M$ ) called the trivial line bundle

Ex 1: The Möbius strip:  $M = S^1$

$L = \text{Möbius strip (open)}$



One open set:  $S^1 \setminus \{x\}$ , 2nd is  $S^1 \setminus \{y\}$  both  $\cong \mathbb{R}^1$   
 $U_1$   $U_2$

$U_1 \cap U_2$ :  $( ) \cup ( )$  is Id on one interval  
 the gluing map is  $-1$  on other interval

Ex 2: Instead of manifold, just look at a space  $M$ ,  
 & replace  $\mathbb{R}^1$  w/  $k$ .

$\mathbb{P}^n$  has a natural line bundle on it,  $\mathcal{O}(-1)$ .

$\{ \text{A pt } x \in \mathbb{P}^n \} \leftrightarrow \{ \text{line } L_x \subseteq k^{n+1} \}$

Look at  $\mathbb{P}^n \times V$ ,

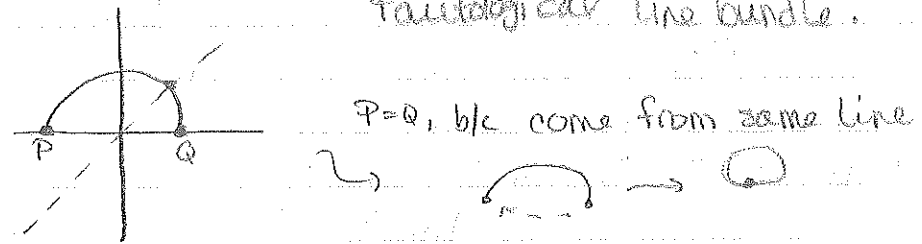
Then  $\mathcal{O}(-1) \subseteq \mathbb{P}^n \times V$ , by defining

$$\mathcal{O}(-1) = \{ (x, v) \mid v \in L_x \}$$

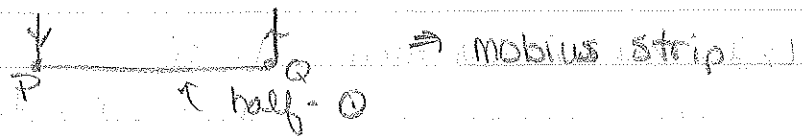
$$\begin{array}{ccc} \downarrow \pi & (x, v) & \downarrow \\ \mathbb{P}^n & x & \end{array}$$

Claim: restricting  $\pi$  to  $\pi^{-1}(U_i) \rightarrow U_i \subseteq \mathbb{P}^n$ ,  $U_i$  a basic affine open, trivializes this bundle.

Ex: For  $\mathbb{R}P^1 \cong S^1$ , this is the Mobius strip. Called tautological line bundle.



But if start w/ line to Q, & rotate, get line in other dir when get to P.



• If  $X$  is smooth,

$$\text{Pic}(X) = \{ \text{line bundles on } X \}$$

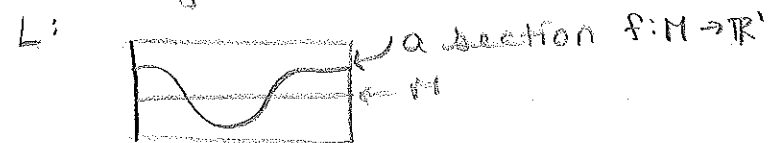
$$\text{Cl}(X) = \{ \text{Weil divisors} \} / \text{lin. equiv.}$$

$$\text{CaCl}(X) = \{ \text{Cartier divisors} \} / \text{lin. equiv.}$$

11/14 Let  $\begin{matrix} L \\ \downarrow \pi \\ M \end{matrix}$  be a line bundle. A section of  $L$  is a map  $s: M \rightarrow L$  s.t.  $\pi \circ s = \text{id}$ .

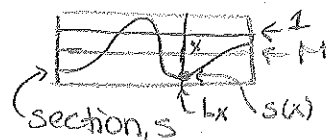
(assoc. to each pt in  $M$  a pt in the line that is over it, in a cts way)

Ex: If  $L$  is the trivial line bundle,  $\begin{matrix} M \times \mathbb{R}^1 \\ \downarrow \pi \\ M \end{matrix}$ , then sections of  $L$  are the same as fcn on  $M$



Given a section  $s: M \rightarrow M \times \mathbb{R}^1$ , take  $f = \pi_2 \circ s$ , a fcn.

In trivial line bundle, have a distinguished pt 1,



Given a section  $s$ , can compare value of  $s$  to 1 at each pt.

A section,  $s$ , always gives a pt  $s(x) \in L_x = \pi^{-1}(x) \forall x \in M$ . But if line bundle not trivial  $L_x$  is a 1 dim'l vector sp w/o a basis; i.e. there is no distinguished pt. So one pt  $\nrightarrow$  number. But 2 pts in a 1 dim'l vect. sp can yield a #, b/c the ratio of 2 pts is well-def. even w/o basis (units of measure) [i.e.  $y$  is 2x as far from origin as  $x$ ].

\* If  $s_1, s_2$  are sections of a line bundle, the ratio  $s_1/s_2$  is a well-def quantity in  $\mathbb{R} \cup \{0\}$  (or  $\mathbb{C}$ ), even though  $s_1, s_2$  do not give values in  $\mathbb{R}$ .  
 $\rightarrow$  similar to  $f(x), g(x)$  not fcn's on  $\mathbb{P}^n$ , but  $\frac{f}{g}$  is.

• To construct a map  $X \rightarrow \mathbb{A}^n$ , all we need are  $n$  (regular fcn's) sections of the trivial line bundle on  $X$ .  
OR an  $n$ -dim'l vector subsp of the space of sections of the trivial line bundle on  $X$ .

• To construct a map  $X \rightarrow \mathbb{P}^n$ , all we need are a line bundle  $\mathcal{L}$  on  $X$  &  $n+1$  sections of  $\mathcal{L}$  which do not vanish simultaneously.

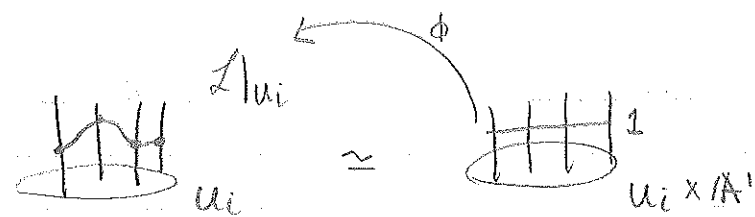
- Given this data, cover  $X$  by open sets  $U_i$  s.t.

$\mathcal{L}$  is trivial along  $U_i$ , i.e.  $\mathcal{L}|_{U_i} \cong U_i \times \mathbb{A}^1$ . You

get  $n+1$  sections  $s_0, \dots, s_n$  of  $\mathcal{L}|_{U_i}$ , i.e.  $n+1$  regular fcn's on  $U_i$ . Map  $U_i \hookrightarrow \mathbb{P}^n$

$$P \mapsto (s_0(P); \dots; s_n(P)) \quad \text{this iso. exists but is not unique.}$$

\* If change the trivialization, all fcn's  $s_i(P)$  get scaled by the same amt, so get same pt in  $\mathbb{P}^n$ , so the map  $U_i \rightarrow \mathbb{P}^n$  does not change. The maps  $U_i \rightarrow \mathbb{P}^n$  glue to  $X \rightarrow \mathbb{P}^n$ , b/c each agree on  $U_i \cap U_j$ .



• this isomorphism is determined by where 1 is sent.

### Transition Functions

Given a line bundle  $\mathcal{L}$  on  $X$ , pick a trivialization of  $\mathcal{L}$ , i.e. a cover of  $X$  by open sets  $U_i$  with isomorphisms  $\phi_i: \mathcal{L}|_{U_i} \xrightarrow{\sim} U_i \times \mathbb{A}^1$ . Now on  $U_i \cap U_j$ , look at  $\phi_i \circ \phi_j^{-1}: U_i \cap U_j \times \mathbb{A}^1 \xrightarrow{\sim} U_i \cap U_j \times \mathbb{A}^1$ . This map



is linear in the fibers (for each pt in  $U_i \cap U_j$ , the fcn is linear in  $\mathbb{A}^1$ )  
 • linear autos of  $\mathbb{A}^1$  are  $\mathbb{k}^*$  ( $GL_1$ ),

∴ don't require one to pick a basis. So this map is a fcn  $\phi_{ij}: U_i \cap U_j \rightarrow \mathbb{k}^*$ .

$\phi_{ij}$  is called the transition fcn from  $U_i$  to  $U_j$ .

### Properties:

Ⓐ  $\phi_{ij} \cdot \phi_{jk} \cdot \phi_{ki} = 1$  on  $U_{ijk} = U_i \cap U_j \cap U_k$ . ( $\Rightarrow \phi_{ii} = 1, \phi_{ij} = \phi_{ji}^{-1}$ )

Ⓑ If we change the trivialization  $\{\phi_i\}$  to  $\{\phi_i'\}$  on same  $U_i$ 's, the  $\phi_{ij}$ 's change by  $\phi_{ij}' = \phi_{ij} \cdot \frac{\phi_i'}{\phi_i} \cdot \frac{\phi_j}{\phi_j'}$ .

Claim: The data of the  $\{\phi_{ij}\}$ 's satisfying Ⓐ gives a nat'l construction of a line bundle  $\mathcal{L}$ , ∴ changing the  $\phi_{ij}$ 's as in Ⓑ gives the same line bundle.

Ex #0: Trivial Line Bundle: Cover  $X$  by  $X = U_1$ .

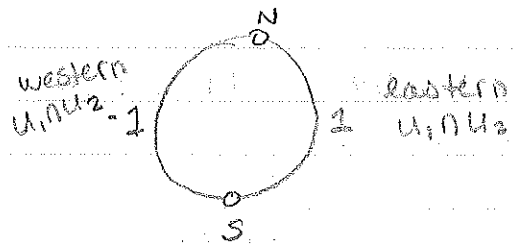
$$\Phi_{11} = 1.$$

Ex #1: Mobius band: (Bundle over  $S^1$ )

Cover  $S^1$  by  $U_1 = S^1 \setminus \{\text{north pole}\}$ ,  $U_2 = S^1 \setminus \{\text{south pole}\}$

Need  $\Phi_{11} = \Phi_{22} = 1$ ,  $\Phi_{12}: U_1 \cap U_2 \rightarrow \mathbb{R}^*$ . Take

$$\Phi_{12} = \begin{cases} 1 & \text{on eastern } U_1 \cap U_2 \\ -1 & \text{on western } U_1 \cap U_2 \end{cases}$$



(If  $\Phi_{12} = 1$  or  $\Phi_{12} = -1$ , we get trivial line bundle)

Ex #2: On  $\mathbb{P}^1$ , take the covering  $U_0 = \text{finite } \mathbb{P}^1 = \mathbb{P}^1 \setminus \{\infty\} \cong \mathbb{A}^1$ ,

$U_1 = \mathbb{P}^1 \setminus \{0\} \cong \mathbb{A}^1$ . Pick  $\Phi_{00} = \Phi_{11} = 1$ ,  $\Phi_{01}: U_0 \cap U_1 \rightarrow k^*$

•  $\Phi_{01} = 1 \Rightarrow$  Trivial line bundle.  $\mathcal{L} = \mathcal{O}$

•  $\Phi_{01} = x$  (id  $k^* \rightarrow k^*$ )  $\Rightarrow \mathcal{L} = \mathcal{O}(1)$

•  $\Phi_{01} = 1/x \Rightarrow \mathcal{L} = \mathcal{O}(-1)$

Want to understand sections of  $\mathcal{O}(1)$ :

- space of sections is a vector sp.

- Let  $\Gamma(X, \mathcal{L}) =$  space of all sections  $s$  of  $\mathcal{L}$ , a v.s./ $k$ .

Here  $\Gamma(\mathbb{P}^1, \mathcal{O}(1)) = 2\text{-dim'l } \mathbb{C}$ .

Ex:  $\Gamma(\mathbb{P}^1, \mathcal{O}) = k$ ,  $\dim \Gamma(\mathbb{P}^1, \mathcal{O}) = 1$  (b/c only const fns on  $\mathbb{P}^1$ )

A section  $s$  of  $\mathcal{O}(1)$  will give sections of  $\mathcal{O}(1)|_{U_i}$  v.e.

trivial  $\Rightarrow$  sections are fns  $\rightarrow \mathcal{O}|_{U_i}$

so  $s$  gives rise to 2 fns,  $s_0$  on  $U_0$  &  $s_1$  on  $U_1$ ,

&  $s_0 \in k[x]$ ,  $s_1 \in k[1/x]$  (b/c  $U_1 = \mathbb{P}^1 \setminus \{0\}$ ).

If  $\Phi_{01} = 1$ , then  $s_0 = s_1$  in  $k(x) = k[x, 1/x] \Rightarrow s_i$  constant  $\in k$

(confirms  $\Gamma(\mathbb{P}^1, \mathcal{O}) = k$ )

If  $\phi_{01} = x$ , then  $\frac{s_0}{s_1} = x$  (i.e., ratio of sections at  $\tau$  must be  $x$ )

$\Rightarrow \frac{s_0}{x} \in k[x] \Rightarrow s_0$  is linear,  $s_0 = a + bx$

The space of such  $s_0$ 's is 2-dim'l.  $\hat{=}$  once  $s_0$  is fixed,  $s_1$  is completely determined.

$\Rightarrow \Gamma(\mathbb{P}^1, \mathcal{O}(1)) \simeq \langle 1, x \rangle$

If  $\phi_{01} = 1/x$ , then  $\frac{s_0}{s_1} = 1/x \Rightarrow x \cdot s_0 \in k[x]$ ,  $\hat{=}$  no such poly sat. this  $\Rightarrow \Gamma(\mathbb{P}^1, \mathcal{O}(-1)) = 0$ .

$\bullet \Gamma(\mathbb{P}^1, \mathcal{O}(1)) = \{f \in k(x) \mid \text{"}\infty\text{"} + (f') \geq 0\}$  (i.e., is effective)  
 $= \{D \sim "0" \mid D \text{ effective}\}$   
 $= |L("0")|$

Have correspondence

"0"  $\rightsquigarrow$  Cartier divisor  $\rightsquigarrow$  Line bundle  
 (Weil divisor) "x"  $\mathcal{O}("0")$   
 s.t.  $\Gamma(\mathcal{O}("0")) = |L("0")|$

11/19 There is a natural line bundle,  $\mathcal{O}(-1)$ , on  $\mathbb{P}^n$ , called the tautological line bundle:

$$\mathcal{L} \subseteq \mathbb{P}^n \times \mathbb{A}^{n+1}$$

$\mathcal{L} = \{(P, x) \in \mathbb{P}^n \times \mathbb{A}^{n+1} \mid x \text{ lies on the line } P\}$

$= \{(y_0, \dots, y_n), (x_0, \dots, x_n) \mid \left. \begin{array}{l} \text{rank} \begin{pmatrix} x_0 & \dots & x_n \\ y_0 & \dots & y_n \end{pmatrix} < 2 \text{ (really } = 1) \\ \text{(i.e. the vectors are dependent)} \end{array} \right\}$

$\left. \begin{array}{l} \text{[ a pt in } \mathbb{P}^n \text{ is} \\ \text{a line in } \mathbb{A}^{n+1} \end{array} \right\}$   
 b/c at least one  $x$ -coord is  $\neq 0$ , although ok for all  $y_i = 0$

$\bullet$  This is the blowup of  $\mathbb{A}^{n+1}$  at the origin.

$\pi: \mathcal{L} \rightarrow \mathbb{P}^n$  is projection on the 1<sup>st</sup> factor

What is  $\pi^{-1}(P) =$  line spanned by  $P$  in  $\mathbb{A}^{n+1}$  (or  $P \times$  (line))



Obs: If  $\mathcal{L}$  is a line bundle on  $X$ ,  $\exists$  another line bundle on  $X$  called  $\mathcal{L}^\vee$  st. the fiber  $(\mathcal{L}^\vee)_x = (\mathcal{L}_x)^\vee$   
 - dual of a line is another line, dual of fibers of orig. but not same line, so new line bundle in gen is not same as old.

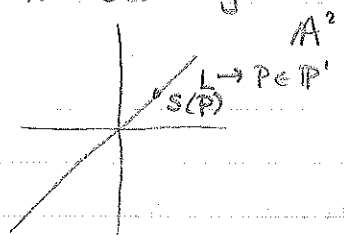
Denote by  $\mathcal{O}(1) = \mathcal{O}(-1)^\vee$

Claim: The variables  $x_0, \dots, x_n$  on  $\mathbb{P}^n$  can be thought of as sections of  $\mathcal{O}(1)$ . In fact, any linear fcn  $f$  on  $\mathbb{A}^{n+1}$  is a section of  $\mathcal{O}(1)$ .

(Global fcn on  $\mathbb{A}^n$  are the coords)

- Think of sections of a line bundle as generalized fcn on  $\mathbb{P}^n$

• Fix  $i$ . Why wasn't  $x_i$  a fcn on  $\mathbb{P}^n$ ? B/c only defined up to scaling.



• What is the  $x$ -coord on this line? Not defined

• What is the  $x$ -coord of given pt on line? Defined

- If change pt on line,  $x$  changes in linear fashion.

Indeed,  $x_i$  gives a linear fcn on the fibers of  $\mathcal{O}(1)$

$x_i(P, x) = x_i$  ( $i$ -th coord of pt  $x \rightarrow$  b/c  $x$  is on line  $P$ ) on the line  $P$ , i.e.  $\pi^{-1}(P)$ , this is a linear fcn

$\rightarrow$  a linear functional on  $\pi^{-1}(P)$  for each  $P \Rightarrow (x_i)_P \in (\mathcal{O}(1)_P)^\vee$

$\in \mathcal{O}(1)_P \leftarrow$  a section of  $\mathcal{O}(1)$

[So  $\mathcal{O}(1)$  comes w/  $n+1$  sections.  $\xi$  the space  $\mathbb{P}^n$  is naturally endowed w/ a line bundle  $\xi$   $n+1$  sections]

If  $\mathcal{L}_1, \mathcal{L}_2$  are line bundles on  $X$ , we can form  $\mathcal{L}_1 \otimes \mathcal{L}_2$ , whose fiber at  $P$  is  $(\mathcal{L}_1)_P \otimes (\mathcal{L}_2)_P$ .

[Given 2 lines, tensoring gives a new line]

Think about:  $\text{Pic}(X) := \{ \mathcal{L} \mid \mathcal{L} \text{ a line bundle on } X \}$

(Picard gp) is a gp. The operation is  $\otimes$ , id is the trivial line bundle,  $\mathcal{O}$ , & the inverse is  $\vee$ .

•  $\text{Pic}(\mathbb{P}^n) = \mathbb{Z}$ , so  $\mathcal{O}(1)$  notation not random!

We'll now do all of this in terms of transition fns:

• If  $\mathcal{L}$  is a line bundle on  $X$ , then a trivialization of  $\mathcal{L}$  is:

(a) A cover of  $X$  by open sets  $U_i$

(b) A set of iso's  $\phi_i: \mathcal{L}|_{U_i} \xrightarrow{\sim} U_i \times A'$

• Given a trivialized line bundle  $\mathcal{L}$  as above, the transition fns are

$\phi_{ij}: U_i \cap U_j \rightarrow k^*$  defined by

$$\phi_{ij} = \phi_i \circ \phi_j^{-1}: (U_i \cap U_j) \times A' \rightarrow (U_i \cap U_j) \times A'$$

- over each pt of  $U_i \cap U_j$ , get a linear automorphism of  $A'$ , b/c  $\phi_i, \phi_j$  preserve projection down to base pt, & a lin. auto just mult by scalar.

- these will satisfy  $\phi_{ij} \cdot \phi_{jk} \cdot \phi_{ki} = 1$ .

Claim: If  $\mathcal{L}$  is trivialized, then a section of  $\mathcal{L}$  is nothing but a set of fns  $f_i: U_i \rightarrow k$  s.t.

$f_i = \phi_{ij} \cdot f_j$  on  $U_i \cap U_j$ , both give #'s, so can multiply them.

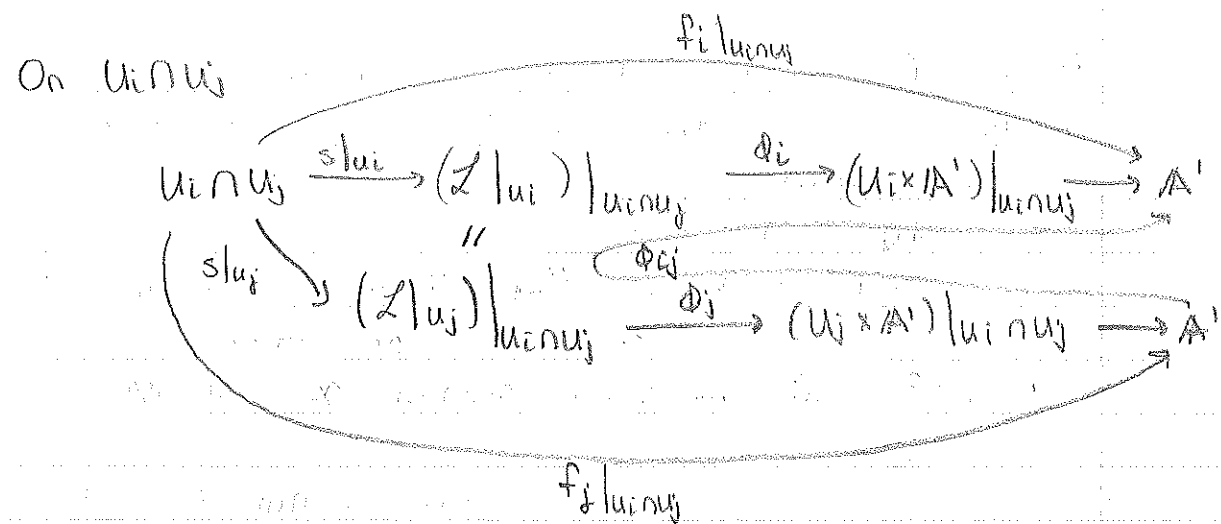
PF: Let  $s: X \rightarrow \mathcal{L}$  be a section.

$$U_i \xrightarrow{s|_{U_i}} \mathcal{L}|_{U_i} \xrightarrow{\phi_i} U_i \times A' \xrightarrow{\pi_2} A' = k$$

↑  
from triv.

$$U_i \xrightarrow{f_i} k$$

this map is a section of the trivial line bundle.

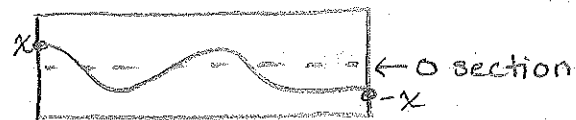


So  $f_i = \phi_{ij} \circ f_j$

Ex: Sections of the Möbius band,  $M$

• How do we know sections of Möbius band not trivial?

B/c on  $M$ , all sections have at least one zero:



So by IVT, this function must have a zero.

But on triv. line bundle, have  $f \equiv 1$  (b/c sec's on

$S^1$  are fns on  $S^1 \cong \mathbb{R}/\mathbb{Z}$  have a  $f \equiv 1$  on  $S^1$ ).

Zeros of Sections

If  $s \in \Gamma(Z)$ , we get a well-defined locus  $Z(s) \subseteq X$  (closed subset)  
(b/c on each open set, have triv, so  $s$  is a fn.)

If move to diff.  $U_i$ , use nowhere 0 fn to transition,

so  $Z(s)$  still defined

-  $s$  gives a  $p^+$  in  $\mathbb{R}$ -dim' vect sp. Can't tell its magnitude, but can say if 0 or not w/o choosing a basis.

$Z(s) = \{P \in X \mid s(P) = 0\}$

Same goes for a few sections  $s_1, \dots, s_n$ :

$Z(s_1, \dots, s_n) = Z(s_1) \cap \dots \cap Z(s_n)$

Define  $\mathcal{O}(1)$  on  $\mathbb{P}^n$  to be the line bundle trivialized over the cover  $\{U_i\}_{i=0, \dots, n}$  of  $\mathbb{P}^n$  (not a cover) w/ transition fns

$$\phi_{ij}: U_i \cap U_j \rightarrow k^*$$

$$\phi_{ij}([x_0: \dots: x_n]) = x_j/x_i \quad (\text{b/c } x_i \neq 0 \text{ on } U_i, x_j \neq 0 \text{ on } U_j \text{ \& } \neq)$$

need ratio to get fn on  $\mathbb{P}^n$ )

If  $i_0 \in \{0, \dots, n\}$ , we get a section  $\underline{x}_{i_0}$  of  $\mathcal{O}(1)$  by

$$f_i = \frac{x_{i_0}}{x_i} : U_i \rightarrow k \quad (\text{ratio gives honest fn on } U_i)$$

( $i_0$  fixed,  $i$  runs through all indices)

Note:  $\phi_{ij} \cdot f_j = \frac{x_j}{x_i} \cdot \frac{x_{i_0}}{x_j} = \frac{x_{i_0}}{x_i} = f_i$  ✓

⇒ The collection  $\{ \frac{x_{i_0}}{x_i} \}$  gives a section  $\underline{x}_{i_0}$  of  $\mathcal{O}(1)$ .

Exercise: Prove that all sections of  $\mathcal{O}(1)$  are lin. combs of  $\underline{x}_i$ 's.

i.e.  $\dim \Gamma(\mathbb{P}^n, \mathcal{O}(1)) = n+1$

↑ space of sections on a line bundle.

[look at example from 2 classes ago on  $\mathbb{P}^1$  w/ trans. fns  $x$  (or  $1/x$ ?)]

Q: What is  $Z(\underline{x}_{i_0}) \subseteq \mathbb{P}^n$

A: This is  $Z(\underline{x}_{i_0})$  in the usual sense - the  $i_0$ <sup>th</sup> hyperplane (b/c  $f_i = 0 \Leftrightarrow x_{i_0} = 0$ )

Claim: If  $\mathcal{L}$  is a line bundle on  $X$  &  $s \in \Gamma(X, \mathcal{L})$ , &

$X$  is non-singular in codim 1.

(ex: if  $X$  is smooth) Then  $Z(s)$  is naturally an effective Weil divisor on  $X$ . (not a principal divisor,

[gives  $\mathcal{L}$  w/ sects  $\Rightarrow$  divisors] b/c  $Z(\underline{x}_{i_0}) =$  hyperplane,

- the divisor of the difference of 2 sect's is

principal:

deg 1, not deg 0)

Different sections  $s_1, s_2 \in \Gamma(X, \mathcal{L})$  have linearly equivalent divisors of zeros.

On each  $U_i$  in a trivialization,  $s$  gives a fcn,  $f_i$   
 $\& (f_i) \in \text{Div}(U_i)$ . Because  $f_i = \mathcal{O}_{ij} \cdot f_j \neq \mathcal{O}_{ij}$  nowhere  
 zero w/ no poles, then  $(f_i)|_{U_i \cap U_j} = (f_j)|_{U_i \cap U_j}$   
 (bc divisors only care about zeros & poles)  
 So these  $(f_i)$ 's patch together to give a divisor.

Note:  $s_1/s_2$  is a well-def. merod. fcn on  $X$  ( $\in K(X)$ )  
 and locally  $(s_1) = (s_2) + (s_1/s_2)$  <sup>↑ not on patches</sup>  
 $\Rightarrow Z(s_1) = Z(s_2) + (s_1/s_2)$   
 $\Rightarrow Z(s_1) \sim Z(s_2)$  [recall  $\sim$  means <sup>they</sup> differ by a nonzero

fcn in  $K(X)$ ]

**Dictionary** (On a nice variety)

more common notation

- |  |   |
|--|---|
| • divisor $D$  | • line bundle $\mathcal{L}(D) = \mathcal{O}(D)$                                       |
| • $D_1 \sim D_2$   | • $\mathcal{O}(D_1) \cong \mathcal{O}(D_2)$   |
| • divisor $D'$ effective, s.t. $D' \sim D$   | • section of $\mathcal{O}(D)$   |
| • To get a map to $\mathbb{P}^n$ , want divisor $D$ & $n+1$ elts of $ \mathcal{L}(D)  = \{D' > 0 \mid D' \sim D, D'\}$ , in $\Gamma(X, \mathcal{L}(D))$ , a vect sp. | • To get a map to $\mathbb{P}^n$ , want line bundle $\mathcal{L}(D)$ & $n+1$ sections |

Geometric structure vs. Divisors

to get map to  $\mathbb{P}^n$

11/21 Cartier Divisors

Def: A Cartier divisor is given by:

- (a) A cover  $\{U_i\}$  of  $X$  by open sets.
- (b) On each  $U_i$ , a rat'l fcn  $f_i: U_i \rightarrow K, f_i \neq 0$   
 s.t. on  $U_{ij} = U_i \cap U_j$ , the rat'l fcn  $f_i/f_j$  is regular & has no zeros. We write  $f_i/f_j \in \mathcal{O}^*(U_{ij})$ . (\* = units)  
 (up to an equivalence)

Ex: If  $f \in K(X), f \neq 0$ , then  $(f) = \left\{ \begin{array}{l} \text{cover is } \{X\} \\ \text{fcn } f: X \rightarrow K \text{ is } f. \end{array} \right.$

Ex: If  $X = \mathbb{P}^1, f = X_0/X_1 = x$  (coord. on finite part, i.e.  $x_1 = 1$ )  
 $(\mathbb{P}^1, x) = \text{principal divisor } (x)$ . [will corresp to  $0 - \infty$ ]

Ex: If  $X = \mathbb{P}^1, U_0 = \mathbb{P}^1 \setminus \{\infty\}, U_1 = \mathbb{P}^1 \setminus \{0\}$ .  
 $(U_0, x = X_0/X_1), (U_1, 1)$   
 On  $U_0 = \mathbb{P}^1 \setminus \{0, \infty\}, x/1$  has no poles or zeros, so  
 this is a Cartier divisor. [Weil:  $1 \cdot "0"$ ]

Ex:  $X = \mathbb{P}^1, U_0, U_1$  as above.  
 $(U_0, 1/x), (U_1, 1)$ . On  $U_0, 1/x$  has no poles or zeros, so a Cartier divisor [Weil:  $-1 \cdot "0"$ ]

Ex:  $X = \mathbb{P}^1, U_0, U_1$  as above  
 $(U_0, x), (U_1, x)$  [x not regular on  $U_1$ , b/c  $\infty \in U_1$ ]  
 $\uparrow$  one zero at 0, no poles       $\uparrow$  no zeros, one pole at  $\infty$   
 [  $1 \cdot "0" = 1 \cdot "\infty"$  ]

### Equivalence Relation:

(1) Refining the cover gives the same divisor (as in 2nd & last example).

$D = (\{U_i\}, \{f_i\})$ : Cover each  $U_i$  by  $\{V_{ij}\}$ , & take  $g_{ij} = f_i$ , then  $D' = (\{V_{ij}\}, \{g_{ij}\}) = D$ .

[any cover that's fine enough should do]

→ Take the equivalence relation gen. by this.

(2) If  $g_i \in \mathcal{O}^*(U_i)$  (i.e.  $g_i$  are reg. fns that are nowhere 0) then  $(\{U_i\}, \{f_i\}) = (\{U_i\}, \{g_i f_i\})$

[i.e. all we care about are zeros & poles of  $f_i$ ].

ex:  $((U_0, x), (U_1, 1)) = ((U_0, 2x), (U_1, 3))$

### Claim: Cartier Divisors form an abel. gp.:

Given  $D, D'$ , 1<sup>st</sup> find a common refinement of their covers. Then  $D = (\{U_i\}, \{f_i\})$  &  $D' = (\{U_i\}, \{g_i\})$ .

$D + D' = (\{U_i\}, \{f_i g_i\})$ .

ex:  $((U_0, x), (U_1, 1)) + ((U_0, f), (U_1, f)) = ((U_0, xf), (U_1, f))$

• Principal divisors form a subgroup.

• Define the Cartier class gp as  $\text{Ca}(X) / \text{PrincCa}(X)$

(Cartier divisors mod principal divisors).

### Observations:

well div's

①  $\exists$  map  $\text{Ca}(X) \rightarrow \text{Div}(X)$  which takes principal divisors to princ. div's. codim 1 subvar.

Let  $Y \subseteq X$  be a prime div in  $\text{Div}(X)$ . Pick some

$Z$  s.t.  $Y \cap U_i \neq \emptyset$ , & make  $U_i$  smaller to be affine.

Take  $a_Y = v_Y(f_i)$  (valuation of  $f_i$ , the fn on  $U_i$ )

If we had picked another  $U_j$ , then  $a_Y = v_Y(f_j)$ .

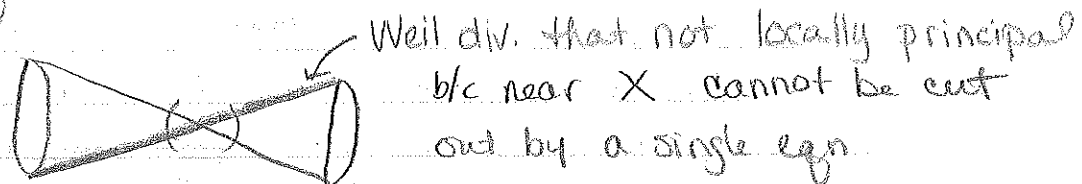
But  $f_j/f_i \in \mathcal{O}^* \Rightarrow v_Y(f_j/f_i) = 0 \Rightarrow v_Y(f_j) = v_Y(f_i)$

$\Rightarrow a_Y$  the same.

Take the divisor  $\sum a_Y Y$  as the image of the Cartier divisor.

Thm: Assume  $X$  is covered by  $U_i$ 's which are affine &  $\mathcal{O}(U_i)$  a UFD. Then the map is an isomorphism, i.e.  $\text{Ca}(X) \cong \text{Div}(X)$ .

ex: The map is not always surj. Recall the ex of the quadric cone.



But  $\text{Ca}(X)$  always gives loc. princ. b/c by def of Car-div, you have one fcn on each open set, so  $\text{Ca}(X)$  says here's an open set, & take a princ. div. on it, then glue them together.  
So  $\mathcal{O}(U_i)$  a UFD means the Weil div. is loc. princ.

Pf: The map is always injective, so we need to show surjectivity. Let  $\sum a_i Y_i$  be a Weil div on  $X$ . On each  $U_i$ , look at the restr. of  $D$  to  $U_i$ . Since  $U_i$  affine &  $\mathcal{O}(U_i)$  a UFD, then  $D|_{U_i}$  is principal [on an affine var. whose ring of fcn's is a UFD, all div's are princ.]  $\Rightarrow D|_{U_i} = (f_i)$  for some  $f_i \in k(U_i) = k(X)$ . Take the Car-div  $(\{U_i\}, \{f_i\})$ . But on  $U_i \cap U_j$ ,  $(f_i) - (f_j) = 0$  b/c are same restr. of  $D$ ,  $\Rightarrow f_i/f_j \in \mathcal{O}^*$   $\square$

Note: The conditions of the thm are sat. for  $X$  smooth.

[A Car. div is princ  $\Leftrightarrow$  it is equiv. to one whose cover is whole sp]



From Cartier divisors to line bundles:

Given  $\{U_i\}, \{f_i\}$ , take  $\phi_{ij} = f_i/f_j \in \mathcal{O}^*(U_{ij})$   
to be the transition fcn's:

(a)  $\phi_{ij} \cdot \phi_{jk} \cdot \phi_{ki} = f_i/f_j \cdot f_j/f_k \cdot f_k/f_i = 1 \quad \checkmark$

(b) What about the equiv. rel? If we triv. a l.b. on a cover, & then refine the cover, we get a l.b. that's already triv., so refining doesn't change line bundle.

What if we look at  $\{g_i/f_i\}$ ? This is the ambiguity in the triv-recon; we had to choose a triv., & if we chose another, we got these extra products:

Ex:  $X = \mathbb{P}^1, D = 1 \cdot "0"$

• Car. Div:  $\mathcal{O}$  Cover  $\mathbb{P}^1$  by affines whose ring is a UFD,  $U_0, U_1$ .

①  $D|_{U_0} = 1 \cdot "0"$  on  $A^1$ , so  $D|_{U_0} = (x)$

$D|_{U_1} = 0$  on  $A^1$ , so  $D|_{U_1} = (1)$

③ Take the Car. div.  $((U_0, x), (U_1, 1))$

• Line Bundle:  $\phi_{01} = x/1 = x$  (transition fcn)  $\Rightarrow \mathcal{O}(1) = \mathcal{O}(D)$   
(=  $\mathcal{L}(D)$ )

Global sections:

$\dim(\Gamma(\mathbb{P}^1, \mathcal{O}(1))) = 2$

$\uparrow$  = lin. homog. polys in  $x_0$  &  $x_1$ , as we saw last time.

Ex:  $X = \mathbb{P}^1, D = "0" + "1"$

•  $\mathcal{O}$  Cov by  $U_0, U_1$

② Car. Div  $\{(U_0, x(x-1)), (U_1, \frac{x-1}{x})\}$

• Line Bundle:

$\phi_{01} = \frac{x(x-1)}{(x-1)/x} = x^2$

$\uparrow$  need 0 at "1", & need  
rat'l fcn w/ zero at 1 but  
no poles ( $0 \notin U_1$ ).

$\Rightarrow \mathcal{O}(D) = \mathcal{O}(2)$



Prop: If  $D$  is any (Weil or Cartier) divisor,  
 $\Gamma(X, \mathcal{O}(D)) \cong \{ f \in K(X) \mid D+(f) \text{ is effective} \} \cup \{0\}$

Ex:  $X = \mathbb{P}^1$ ,  $D = 2 \cdot "0"$

$$\{ f \in K(X) \mid (f) + 2 \cdot "0" \geq 0 \} = \left\{ \frac{g(x)}{h(x)} \text{ reduced } \mid (g, h) = 1, \right.$$

$$\left. \frac{h}{x^2}, \deg g \leq \deg h \right\}$$

i.e. only zeros or poles  $\leq 2$  at  $0$ .  
 no pole at  $\infty$

$$h(x) = 1, x, x^2$$

$$\text{OR } = \left\{ \frac{g(x)}{x^2} \mid \deg g \leq 2 \right\} \rightarrow \dim = 3 \quad \checkmark$$

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Thm: Let  $D$  be a Weil divisor and  $\mathcal{O}(D)$  the assoc.

line bundle. Then  $\exists$  a 1-1 correspondence btwn

$$\{ f \in K(X) \mid (f) = D' - D, D' \geq 0 \text{ (i.e. } D' \text{ effective)} \} \cup \{0\}$$

$$\leftrightarrow \Gamma(X, \mathcal{O}(D)) \text{ (i.e. global sections of the line bundle).}$$

Pf: Let  $\{ (U_i, f_i) \}$  be the Cartier divisor corresp.

to  $D$ . Define  $\mathcal{O}(D)$  to have transition fcn's,

$\phi_{ij} = f_i/f_j$  on  $U_{ij}$ . A section of  $\mathcal{O}(D)$  is a collection

of fcn's  $\{ s_i \}$ ,  $s_i: U_i \rightarrow K$  regular s.t.  $s_j = \phi_{ji} s_i$ .

Note that  $\{ (U_i, s_i) \}$  is a Cartier divisor [as  $s_j/s_i = \phi_{ji}$ ,

which has no zeros & no poles]

Let  $D'$  be the Weil divisor corresp. to it.  $D' \geq 0$

b/c  $s_i$  are regular [ie no poles on any  $U_i$ ].

Define  $g_i: U_i \rightarrow K$  rat'l fcn,  $g_i = s_i/f_i$ .

On  $U_{ij}$ ,  $s_j = \phi_{ji} s_i = f_j/f_i s_i \Rightarrow g_j = s_j/f_j = s_i/f_i = g_i$ .

$\Rightarrow \{ g_i \}$  are actually the restrictions of a single

rat'l fcn  $g$  on all of  $X$ ,  $\Rightarrow (g) = D' - D$

(b/c  $\text{get}(\text{zeros of } s_i) - (\text{zeros of } f_i) = D' - D$ )

[Thus  $\text{get } \Gamma(X, \mathcal{O}(D)) \rightarrow g \in K(X)^* \text{ s.t. } (g) = D' - D, D' \geq 0$ .

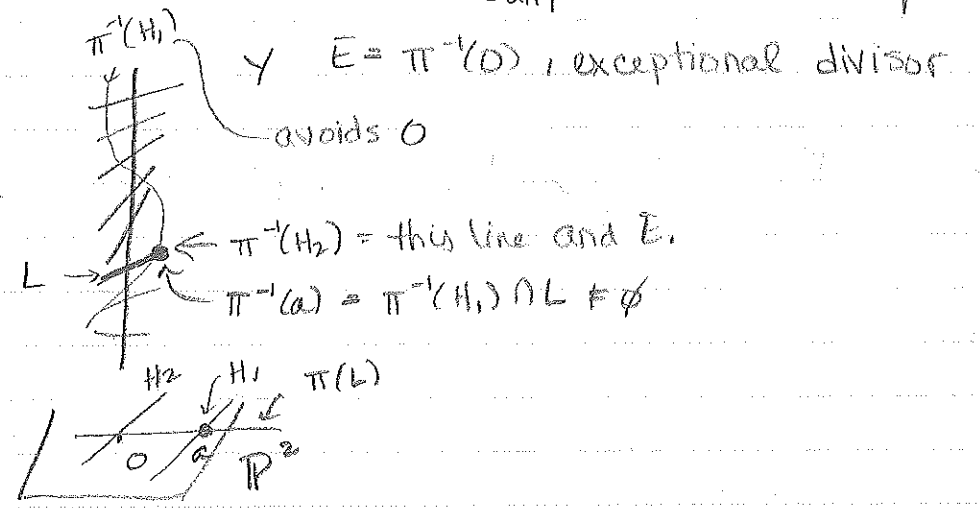
( $\rightarrow$ ): Exercise. □

On  $\mathbb{P}^2$ ,  $|O(2H)|$  - all quadrics in  $\mathbb{P}^2$   
 is a hyperplane  
 → ie.  $H: x_0=0$ , need rat'l fcn w/  
 $x_0^2$  in denom, so choose deg 2 poly in  
 num, which is a quadric

Thus  $\dim \Gamma(\mathbb{P}^2, O(2H)) = 6$  b/c  $\exists 6$  quadrics  
 $|O(3H)| =$  cubics in  $\mathbb{P}^2$ , w/ dim 10  $\binom{5}{2} \leftarrow \binom{n+d}{d}$   
 → any quadric can be deformed into any other  
 (use linear deformation)

Ex: Let  $Y = \text{Bl}_0(\mathbb{P}^2)$  (smooth  $\Rightarrow$  Pic, Cl same)

• Claim:  $\text{Pic}(Y) = \text{Cl}(Y) = \mathbb{Z} \oplus \mathbb{Z} = \mathbb{Z}H \oplus \mathbb{Z}E$   
 $Y \xrightarrow{\pi} \mathbb{P}^2$ , so  $H = \pi^{-1}(H \subseteq \mathbb{P}^2)$  doesn't matter which b/c  
 any line in  $\mathbb{P}^2$  lin-equiv.



$H - E = L$  is linear equivalent to an effective div.

In  $\mathbb{R}^2$ , void  
 get  $Y =$  Mobius  
 strip.

On a projective smooth surface<sup>X</sup>, we have a good intersection theory: There is a well-defined int. pairing,  $D_1 \cdot D_2 \in \mathbb{Z}$  for any 2 divisors

$D_1, D_2 \in \text{Pic}(X)$ , s.t.

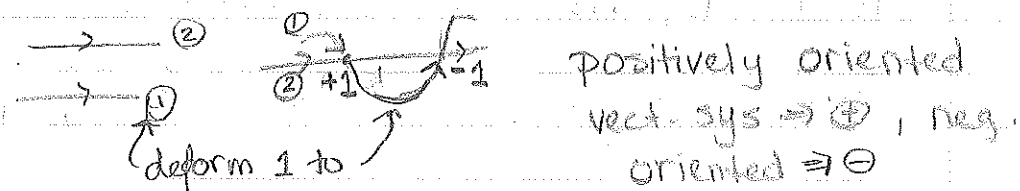
①  $(D_1 + D_2) \cdot D_3 = D_1 \cdot D_3 + D_2 \cdot D_3$

② If  $D_i, D_j$  are effective & have no common components, then  $D_i \cdot D_j \geq 0$

[ $H \cdot H = 1$ , deform on  $H$ ]

Topology: deform one until they're transversal, then count the # of ints

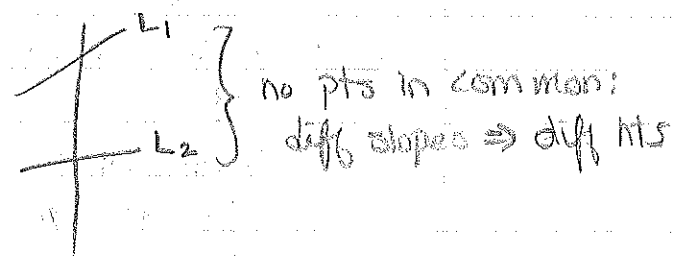
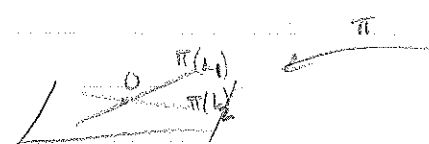
Poincaré Duality



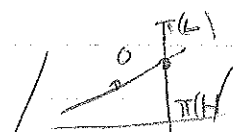
Find  $E^2 = E \cdot E = ?$  (-1)

warmup: ① Find  $H^2 = H \cdot H = 1$  b/c deform one to hit at one pt  
make them not intersect 0 b/c  $\mathbb{P}^2 \setminus \{0\} \cong_{\text{homeo}} \text{Bl}_0(\mathbb{P}^2) \setminus E$   
so still meet at one pt.

②  $L^2 = L \cdot L = 0$



③  $H \cdot L = 1$



$\Rightarrow E = H - L \Rightarrow E^2 = (H - L)^2 = H^2 - 2HL + L^2 = 1 - 2 + 0 = -1 \checkmark$

↑ from prop ①.

What does neg. intersection # mean?  $E$  is rigid; it cannot move, so cannot make the 2  $E$ 's transversal.

•  $E$  is an effective divisor, but any other effective div. lin. equiv. to  $E$  is  $E$  itself.  
 $\Rightarrow \dim \Gamma(Y, \mathcal{O}(E)) = 0$ , i.e. no rat'l fcn that has

poles at  $E$  & zeros elsewhere.  
 $Y \xrightarrow{\pi} \mathbb{P}^2$  birat'l iso  $\Rightarrow K(Y) = K(\mathbb{P}^2)$ , so rat'l fcn on  $Y$  is pull back of one on  $\mathbb{P}^2$ , so can look on  $\mathbb{P}^2 \rightarrow \nexists$  fcn that has zeros or poles at just  $O$ , b/c zeros/poles form a codim 1 subset, but  $O$  a codim 2 subset.

If  $E \sim D$ ,  $D \geq 0$ , then  $E$  must appear as a component of  $D$  (b/c of prop ①) so  $D = E + D'$ ,  
 $D' \geq 0 \Rightarrow D' \sim O \neq D' \geq 0 \Rightarrow D' = 0$  (b/c the only reg rat'l fcn are const & need zeros, so  $O$ )  
(zeros & poles of rat'l fcn)      (zeros & poles of reg fcn)

Def: Let  $D$  be a divisor on some  $X$ . The base locus of  $D$ ,  $BP(D) = \bigcap_{\{D' \sim D, D' \geq 0\}} D' \subseteq X$

Ex: •  $X = \mathbb{P}^2$ ,  $D = H$

$BP(D) = \emptyset$

We say  $D$  is base pt free (bpf)

•  $Y = Bl_0(\mathbb{P}^2)$ ,  $D = H$

$BP(H) = \emptyset$

•  $Y = Bl_0(\mathbb{P}^2)$ ,  $D = L$

$BP(L) = \emptyset$        $L$  is bpf

•  $Y = Bl_0(\mathbb{P}^2)$ ,  $D = E$

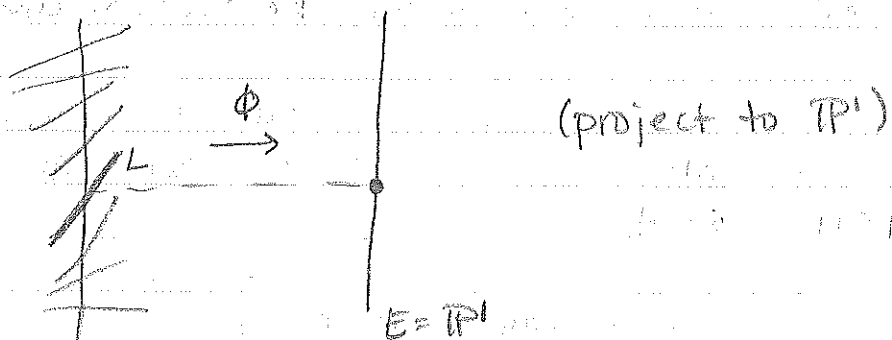
$BP(E) = E$

Obs: If  $X \xrightarrow{f} \mathbb{P}^n$  and  $H \in \text{Pic}(X)$  is  $f^{-1}(H \in \mathbb{P}^n)$ , then  $H$  is bpf.

Choose  $x \in X$  &  $H \in \mathbb{P}^n$  that misses  $f(x)$ .  $f^{-1}(H)$  will miss  $x$ .

Fact: Given any divisor  $D$  on  $X$ ,  $n = h^0(X, D) - 1$   
 [dim  $\mathbb{P}(X, D) = 1$ ]. Then this gives a map  
 $X \setminus \text{BP}(D) \xrightarrow{f} \mathbb{P}^n$  regular s.t.  $f^{-1}(H) = D$  for some  
 hyperplane  $H \subseteq \mathbb{P}^n$ .

Thus: Here, if  $D = H$ , we get the map  $\pi: Y \rightarrow \mathbb{P}^2$ .  
 If  $D = L$ , get map to  $\mathbb{P}^1$ ,  $\phi$ .



$$h^0(Y, \mathcal{O}(H)) = 3 \quad (\text{b/c } \pi: \mathbb{P}^2 \text{ so } 2+1)$$

$$h^0(Y, \mathcal{O}(L)) = 2 \quad (\text{b/c } \phi: \mathbb{P}^1 \text{ so } 1+1)$$

Neither map,  $\pi$  or  $\phi$ , is an embedding.

Note: A curve  $C$  on  $Y$  get contracted under the map given by  $D \Leftrightarrow C \cdot D = 0$ .

- If there are no curves  $C$  s.t.  $C \cdot D = 0$ , then get (almost) an embedding.

ex:  $D = H + L$  will give an embedding.

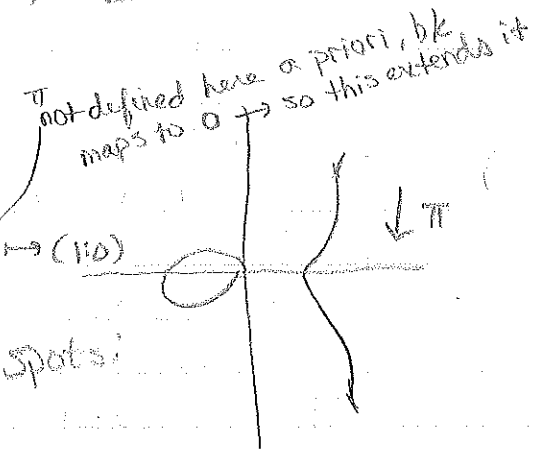
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- BPF - at any given pt in space,  $\exists$  a divisor that avoids it.  $\Rightarrow$  gives map to proj. sp.
- separates pts  $\Rightarrow$  map will be injective
- separates tangent vectors  $\Rightarrow$  map will be an embedding

Def: Let  $L$  be a linear system (ie. a subvector sp of the <sup>effective</sup> divisors equiv. to <sup>some</sup>  $D$ ). We say  $L$  separates pts if  $\forall P \neq Q$  on  $X$ ,  $\exists D \in L$  s.t.  $P \in \text{Supp}(D)$  and  $Q \notin \text{Supp}(D)$ .

Ex:  $L = \{H \subseteq \mathbb{P}^n \mid H \text{ a hyperplane}\}$  (ie. set of sections of  $\mathcal{O}(1)$ )  
 $L$  separates pts, b/c given  $P \neq Q$ , can find  $H$  s.t.  $P \in H$ ,  $Q \notin H$ .

Ex: Let  $C = \{y^2z - x(x-z)(x+z)\} \subseteq \mathbb{P}^2$   
 Consider  $\pi: C \rightarrow \mathbb{P}^1$ , projection on the  $x$ -axis:  $(x:y:z) \mapsto (x:z)$ ;  $(0:1:0) \mapsto (1:0)$   
 $\pi^{-1}(P)$  is generically 2 pts (b/c solving  $y^2 = \dots$ ) except at 4 spots:  
 $x = 0, 1, -1$  &  $(1:0)$



$\pi$  not defined here a priori, b/c maps to 0  $\rightarrow$  so this extends it

[think of  $z=0$  finite part]

This map,  $\pi$ , exhibits  $C$  as a double cover branched over 4 pts.

We have 2 maps  $C \hookrightarrow \mathbb{P}^2$ ,  $C \xrightarrow{\pi} \mathbb{P}^1$ , & each comes from a lin. syst

For  $C$  embedded in  $\mathbb{P}^2$ , what is the linear system?  
 deg 3, b/c a hyperplane in  $\mathbb{P}^2$  (line) pulls back to a triple of pts under  $\mathcal{O}_C(1)$  (the int pts), so  
 $L_1 = \{D \in \text{Div}(C) \mid D = H \cap C \text{ for } H \text{ a hyperplane in } \mathbb{P}^2\}$   
 $\rightarrow$  BPF, separating pts

$L_2 = \{D \in \text{Div}(C) \mid D = \pi^{-1}(x), x \text{ a pt in } \mathbb{P}^1\}$   
 $\rightarrow$  deg  $L_2 = 2$ , BPF, not sep pts (2 on same vert line)

↑ actually just need embedding

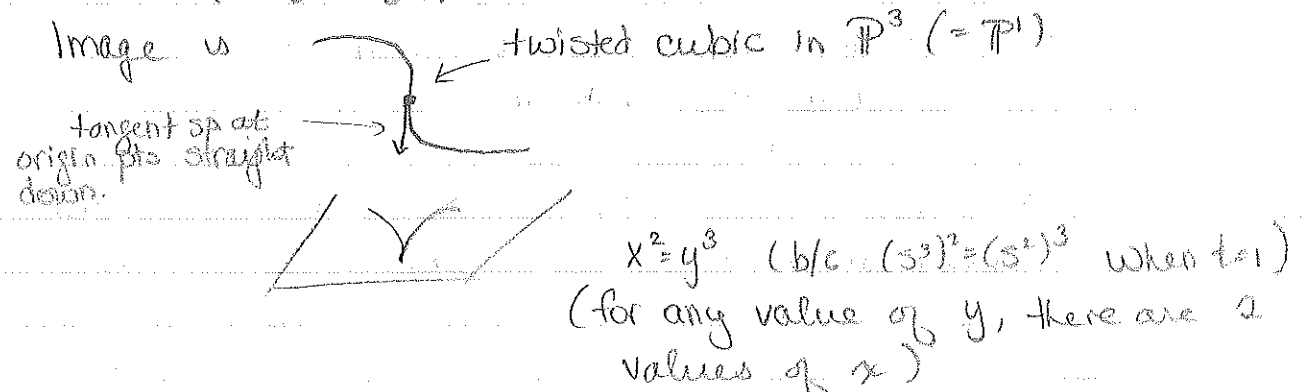
all lin. systems that come from an embedding, must be BPF & sep pts

↑ all lin. sys's that come from a map to proj. sp are BPF



Now, map  $C \rightarrow \mathbb{P}^2$ , via proj from  $(0:0:1:0)$   
 (this pt not on curve b/c  $s^3=t^3=0$ , so  $st^2+1$ )  
 - regular b/c defined  $\forall P \neq (0:0:1:0)$ , but  $P \notin C$ , so ok.

$\mathbb{P}^1 \rightarrow \mathbb{P}^2$   
 $(s:t) \mapsto (s^3:s^2t:t^3)$



$L$  is basept free & does separate pts b/c injective  
 but does not separate tangent vectors, i.e.  
 that vert. tang. vect gets smashed to one pt.

Ex: What are the automorphisms of  $\mathbb{P}^n$ ?  
 $PGL_{n+1} = GL_{n+1}/k^*$  diagonal  $\neq 0$  scalar matrices.  
 ↑ center of  $GL_{n+1}$

$\dim_k GL_{n+1} = (n+1)^2$   
 $\dim_k PGL_{n+1} = (n+1)^2 - 1$  b/c mod out by 1-diml sp

$GL_{n+1}$  is an affine variety  
 have  $A^{(n+1)^2} = \text{all eqs}$   
 then get rid of  $\det = c$   
 which is a single eqn, so it's compl. of hyperplane

Given  $M \in PGL_{n+1}$ ,  $x \in \mathbb{P}^n$ , just multiply  $M\vec{x}$ .  
 well-def: if mult by  $k$ , get  $kM\vec{x} = M\vec{x}$

Given  $\phi \in \text{Aut}(\mathbb{P}^n)$ ,  $\phi: \mathbb{P}^n \rightarrow \mathbb{P}^n$   
 $\phi$  must come from a lin. system,  
 $\phi^* \stackrel{\text{inverse}}{=} (H) = H'$  is another hyperplane  
 so pick basis of target  $\mathbb{P}^n$  & look at where they pull back to: equiv. to a change-of-basis matrix  $\in PGL_{n+1}$ .

Each section gives coord. on target  $\mathbb{P}^n$

• On an elliptic curve,  $\textcircled{a}$  if  $\deg D = 3$ , get an embedding into  $\mathbb{P}^2$ , i.e.  $h^0(D) = 3$

$\textcircled{b}$  if  $\deg D = 2$ , get a 2-to-1 map to  $\mathbb{P}^1$ , i.e.  $h^0(D) = 2$

$\textcircled{c}$  if  $\deg D = 1$ , [recall, if  $C \neq \mathbb{P}^1$ , if  $P \sim Q$ , then  $P = Q$ ], then  $D = 1 \cdot P$ , so if  $D' \sim D$ ,  $D' \geq 0$ ,  $D' = 1 \cdot Q$  &  $P \sim Q \Rightarrow Q = P \Rightarrow D' = D$ , so  $L = \{D\}$ .

$L$  is not BPF  $\Rightarrow$  no map to  $\mathbb{P}^n \forall n$ .  
 $\uparrow$  cannot miss  $P$ .

\* As you increase the degree of a divisor, you are more likely to get an embedding.

Ex: Let  $X = \text{Bl}_0(\mathbb{P}^2)$ ,  $\pi: X \rightarrow \mathbb{P}^2$

$$L = \{\pi^{-1}(H) \mid H \in \mathbb{P}^2\}$$

$L$  is BPF b/c we have the map  $\pi$  or we can see it in the picture from last wk: proj point down, choose  $H \in \mathbb{P}^2$  w/  $P \notin H$ , pull back.

$L$  does not sep. pts  $\rightarrow$  choose  $P, Q$  on exceptional divisor.

\* If  $L$  does not sep. pt, then each set of pts that cannot be sep. will map to same pt.

Ex: Consider the curve  $C \subseteq \mathbb{P}^3$  which is the image of the map  $\mathbb{P}^1 \rightarrow \mathbb{P}^3$

$$(s:t) \mapsto (s^3 : s^2t : st^2 : t^3)$$

• This map comes from the linear system (deg 3 b/c curve is twisted cubic, & any div. of deg 3 is equiv - b/c  $\text{Pic}(\mathbb{P}^1) = \mathbb{Z}$ , so only deg matters)

$D = 3H$  on  $\mathbb{P}^1$ . Is this lin. sys. complete?

map to  $\mathbb{P}^3$ , so must be 4 sections, &  $h^0(\mathbb{P}^1, \mathcal{O}(3H)) = 4$ , <sup>from before</sup> so is complete. If map had been to  $\mathbb{P}^2$ , then would be 3 sections,  $\Rightarrow$  not complete.

Ex:  $\text{Aut}(\mathbb{P}^1) = \text{PGL}(2)$  has  $\dim 3 \Rightarrow$  Möbius Transformations  
 $(s:t) \mapsto (as+bt:cs+dt)$  s.t.  $ad-bc \neq 0$

in finite coord,  $s \mapsto \frac{as+b}{cs+d}$

\* for any 3 pts in  $\mathbb{P}^1$ ,  $\exists!$  auto of  $\mathbb{P}^1$  which maps them to  $0, 1, \infty$ . [i.e. any 3 pts are same as any other 3]

\* 4 pts give some info, the cross-ratio, i.e., where does the 4th pt go under the above! auto?

Thm: (Riemann-Roch) Let  $C$  be a smooth proj. curve of genus  $g$ . Then  $h^0(D) - h^0(K-D) = \deg D + 1 - g$ ,  $\forall D \in \text{Div}(C)$ , where  $K$  = canonical divisor.

Ex:  $D=0$ :  $h^0(D) = 1$  (global sections, on trivial line bundle, are global fns on  $C$  & so constant, so there are a k's worth)  
 $\Rightarrow 1 - h^0(K) = 0 + 1 - g \Rightarrow \boxed{h^0(K) = g}$  ← definition of genus  $g$ .

Ex:  $D=K$ :  $\frac{h^0(K)}{g} - \frac{h^0(0)}{1} = \deg K + 1 - g$   
 $\Rightarrow g - 1 = \deg K + 1 - g \Rightarrow \boxed{\deg K = 2g - 2}$

Ex:  $K_{\mathbb{P}^1}$  = canon. div. on  $\mathbb{P}^1$ . Let's try to understand the line bundle  $\omega$  of differential 1-forms on  $\mathbb{P}^1$ . [i.e. smth that, at each pt of  $\mathbb{P}^1$ , eats a tan. vect & produces number - so an elt of dual of vect sp. "global"  $\Rightarrow$  can do it  $\forall$  pts in a smooth/differentiable manner]  $\rightarrow$  Line bundle b/c get a line at each pt on curve.

To understand which divisor it comes from, we'll take a meromorphic section & look at its zeros & poles.

Cover  $\mathbb{P}^1$  by  $U_0, U_1$ . A regular diff. 1-form on coords  $\rightarrow (z) \quad (1/z)$ .  $U_0$  is:  $dz$ . On  $U_0$ ,  $dz$

has no zeros & no poles. Try to write  $dz$  as a 1-form (maybe w/ poles) on  $U_1$ .

coeff of  $\frac{dx}{dy}$  times coord  
 $x dx$ , or  $x dy$   
 $a \cdot \frac{dx}{dy} + b \cdot \frac{dy}{dy}$   
 $=$  tan. vector

$dz$  is dual of  $d/dz$

$z dz$  has origin,  $1/z dz$  has pole at origin.

Claim:  $d(1/z) = -1/z^2 dz$

Conclusion,  $dz$  has pole of order 2 at  $\infty$ .

$\Rightarrow \omega = \mathcal{O}(-2H)$ . [i.e.  $\exists$  pole of order 2 somewhere]

$\Rightarrow$  genus of  $\mathbb{P}^1 = 0$  by R.R.

$\frac{1}{2}$  true, that  $h^0(\mathcal{O}(-2H)) = 0$  b/c  $-2 < 0$ , i.e.  $\nexists$  fcn w/ no poles but zero of order 2.

12/5  $K_{\mathbb{P}^1} = \mathcal{O}(-2)$ :

Cover  $\mathbb{P}^1$  by  $U_0$  w/ coord  $z$  &  $U_1$  w/ coord  $1/z$ .

Note: On  $U_1$ , there is the nowhere vanishing 1-form

$\omega = d(1/z)$ . On  $U_1 \cap U_0$ , we have 2 possible coords,

$z$  &  $1/z$ , therefore  $dz$  &  $d(1/z)$  are coords for the space of 1-forms.

Apply Leibnitz rule:  $d(1/z) = -1/z^2 dz$ , so the 1-form  $\omega$  on  $U_1$  has a pole of order 2 when we try to extend it over 0.

$\Rightarrow$  A global meromorphic section of  $K_{\mathbb{P}^1}$  has no zeros & a pole of order 2 at the origin

$\Rightarrow K_{\mathbb{P}^1} = \mathcal{O}(-2 \cdot 0) = \mathcal{O}(-2)$

$\Rightarrow h^0(K_{\mathbb{P}^1}) = 0$  (A divisor of negative degree can never have sections)

$\Rightarrow$  genus of  $\mathbb{P}^1 = 0$  b/c  $g(C) := h^0(K_{\mathbb{P}^1})$

Ex: Elliptic curve =  $\mathcal{O}$  (triv.) (genus should be 1, so deg should be 0  $\rightarrow$  know triv. line bundle has deg 0)

ell. curves gp  $\Rightarrow$  tangent bundle trivial  $\Rightarrow$  cotan. bundle (its dual) also triv.  $\hookrightarrow K$

triv  $\Rightarrow \exists$  global way to id fibers at one pt w/ fibers at any other pt w/o choices - in a gp, can use gp law to get from origin to any other pt.

$\Rightarrow h^0(K) = 1 \Rightarrow g(\text{ell. curve}) = 1$ .  
 (only fcn's are const)

\* If a curve has a gp structure, then its genus must be 1 \*  $\uparrow$  smooth, proj

The converse is also true:

Idea of pf: WTS: every smooth curve of genus 1 can be embedded in  $\mathbb{P}^2$  as a smooth cubic.

Then we'd be done.

We need a linear system to get a map to  $\mathbb{P}^2$ ,  $\xi$  that needs a divisor - what degree should the divisor have? deg of divisor = intersection # of image w/ hyperplane in  $\mathbb{P}^2$ , so we want deg 3.

Pick a divisor  $D$  on  $C$  of deg 3. Take the linear system which has all sections of  $D$  (this gives the best shot of map being an embedding).

How many are there?

RR:  $h^0(D) - h^0(K-D) = 1 - g + \deg D = 3$

# of sections of  $D$        $\deg K = 2g - 2 = 0$

$\deg(K-D) = -3 \Rightarrow K-D$  cannot have sections,

so  $h^0(K-D) = 0$

$\Rightarrow h^0(D) = 3 \Rightarrow 3$  sections, so if  $D$  is BPF, get map to  $\mathbb{P}^2$ ,  $\xi$  the 3 sections will be the coords of  $\mathbb{P}^2$ .

$\hookrightarrow D$  is BPF, sep pts,  $\xi$  sep tan  $\Rightarrow$  get embedding.  
 Black Box



topological genus 3

What's the connection btwn our notion of genus & this top. notion?

Over  $\mathbb{C}$ , a curve is a surface

(every smooth cubic in  $\mathbb{P}^2$  has gp)



Thm in top:  $\chi(C) = 2 - 2g$ ,  $g = \text{top genus}$

$\Rightarrow g(C) = 1 \Rightarrow C$  a torus.

[Form of Hurwitz Thm in alg. geom.]

Ex: Consider the map  $\mathbb{P}^1 \rightarrow \mathbb{P}^1$   
 $[x:y] \mapsto [x^2:y^2]$

In finite part, it's  $x \mapsto x^2$  ( $y=1$ )

This map is 2:1 except it is branched over

$[0:1] \neq [1:0]$  (preimage of  $\infty$  only  $\infty$ )

From previous calculation, we get

$$\chi(\mathbb{P}^1) = 2\chi(S^2) - 2 = 0 \Rightarrow \chi(\mathbb{P}^1) = 2 \Rightarrow g(\mathbb{P}^1) = 0,$$

as we know.

• If  $X \rightarrow Y$  is a 2:1 covering of curves of  $k$  branching pts,

$$\chi(Y) = F_Y - E_Y + V_Y = 2 - 2g_Y$$

$$2 - 2g_X = F_X - E_X + V_X = 2 \cdot \chi(Y) - k \Rightarrow k \text{ must be even}$$

$$= 2(2 - 2g_Y) - k$$

$$\Rightarrow 2g_X = k - 2 + 4g_Y \Rightarrow g_X = 2g_Y + \frac{k-2}{2}$$

Ex: Say  $C \xrightarrow{f} \mathbb{P}^1$  2:1 branched over  $k$  pts. Let's

since  $g_{\mathbb{P}^1} = 0$

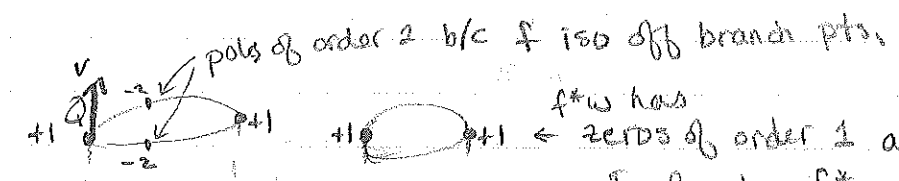
calculate  $\deg K_C$ . From top, should be  $2g_C - 2 = 2(\frac{k-2}{2}) - 2$

Calculate directly:

Pick a meromorphic diff one form  $w$  on  $\mathbb{P}^1$  (d(1/z)) so

that its poles are not at the branching pts.

Look at  $f^*w$  a mero. 1-form on  $C$ .



$f^*w$  has zeros of order 1 at these pts:

Evaluate  $f^*w$  on tangent vector

$$\text{at } Q: f^*w(v) = w(f_*v) = w(0) = 0$$

tan vect becomes pt

given map of manifolds & diff form on target, can pull back to diff form on manifold.

$f^*w$  has  $2k$  as many poles & has  $k$  zeros.  
 $\Rightarrow \deg f^*w = k - 4$  (zeros minus poles)  
 $\uparrow$   
 $2(-2)$

Ex: Curves of genus 2

• First we want to embed it in a space so we need to pick a divisor.

Take a divisor of deg 2:

$$\begin{aligned} \mathbb{R}R \Rightarrow h^0(D) - h^0(K-D) &= 1 - g + \deg D \\ &= 1 - 2 + 2 \\ &= 1 \end{aligned}$$

unlikely this will work

$\deg K-D = 0$ :

$$\deg K = 2g - 2 = 4 - 2 = 2$$

$\deg D = 2$

$$\Rightarrow h^0(K-D) = \begin{cases} 1 \\ 0 \end{cases}$$

If  $\mathcal{O}(K-D)$  has a section (holomorphic) then # of zeros - # of poles = 0 (deg of  $K-D$ )

0 ← no poles b/c holo.

$\Rightarrow$  no zeros  $\Rightarrow \mathcal{O}(K-D)$  is trivial =  $\mathcal{O}$ , which has

only one section.

↑ i.e.  $D \sim K$ .

Thus  $h^0(D) = \begin{cases} 2 \rightarrow \text{map to } \mathbb{P}^1 \\ 1 \rightarrow \text{map to } \mathbb{P}^0 = \text{pt.} \end{cases}$

$\Rightarrow$  every curve of genus 2 admits a map to  $\mathbb{P}^1$ , since  $D$  is basept free, which is 2:1 w/ 6 branching pts. (from  $2g - 2 = k - 4$ )

We've proved that every curve of genus 2 is "hyper-elliptic" i.e. has a 2:1 map to  $\mathbb{P}^1$ .

• How many curves of genus 2 are there?

$$\dim M_0 = 0$$

$$\dim M_1 = 1$$

$$\dim M_2 = ?$$

If we believe that all curves of genus  $g$  are parametrized by the pts of a space,  $M_g$ , what is  $\dim M_g$ ?

• all curves of genus 0 are  $\cong \mathbb{P}^1$

• curves of genus 1 are all cubics in  $\mathbb{P}^2$  & always form a gp.



$\dim M_2 = 3$  b/c only need to pick branch pts  $\rightarrow 6$ .

6 pts have 3 pts of info b/c  $\exists$  automorphisms  
 $\hat{=}$  the 1st 3 can be sent to  $\{0, 1, \infty\}$ , & look where last  
3 go.

[can use same reasoning for 4 pts to prove

$$\dim M_1 = 1]$$

Thus  $\dim M_g^{\text{hyperell.}} = 2g + 2 - 3 = 2g - 1$ .

genus  $g \Rightarrow$  branch over  $2g + 2$  pts.

In higher genera, not all curves are hyperelliptic.

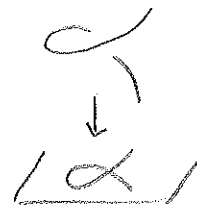
12/10 There exists a space  $M_g$  ( $g \geq 2$ ) whose points  
parametrize all curves of genus  $g$ .

Fun Fact: Not all curves can be embedded in  $\mathbb{P}^2$

• genus 0  $\hookrightarrow \mathbb{P}^2$  as lines or quadrics

• genus 1  $\hookrightarrow \mathbb{P}^2$  as cubics

Idea: A curve in  $\mathbb{P}^2$  has a degree,  $d$ . The genus  
of such a curve is:  $g = \frac{d(d-3)}{2}$ . (notice: gives  
0 for line or quadric, get 1 for cubic) But  
this expression skips integers ( $d=4 \Rightarrow g=3$ ), so  
genus 2 cannot be embedded in  $\mathbb{P}^2$ . But can  
always embed in  $\mathbb{P}^3$  (all curves can) & project  
down, but this won't be an iso, b/c any line  
through random pt in  $\mathbb{P}^3$  will hit curve twice,  
yielding a node.



Ex: Finding genus of curve  $C$  of deg  $d$  in  $\mathbb{P}^2$ . To do so, we need to find  $\deg K_C$  [ $= 2g - 2$ , so done].

Adjunction Formula: If  $X \subseteq Y$  is a hypersurface (all smooth)

then  $K_X = (K_Y + X) \cdot X$ .

↑ lin comb of subvar's of codim 1 on  $X$  b/c divisor

$K_Y \cdot X$  will be codim 1 in  $X$  if things suff. nice

$X \cdot X$  - move  $X$  around inside  $Y$  so it

becomes transversal to  $X$ , then intersect.

This will be a hypersurface in  $X$ .

Let  $C$  be a curve in  $\mathbb{P}^2$  (so is a hypersurface) of deg  $d$

$$K_C = (K_{\mathbb{P}^2} + C) \cdot C$$

• What is  $K_{\mathbb{P}^2}$ ? Answer:  $\mathcal{O}(-3H)$

deg - in general  $K_{\mathbb{P}^n} = \mathcal{O}(-n-1)$

•  $K_{\mathbb{P}^2} \cdot C = -3d$  (-3 hyperplanes int. curve, each at  $d$  pts)

$$\deg C \cdot C = d^2$$

$$\Rightarrow \deg K_C = -3d + d^2$$

$$\Rightarrow 2g - 2 = -3d + d^2 \Rightarrow g = \frac{d^2 - 3d + 2}{2} = \frac{(d-1)(d-2)}{2} \checkmark$$

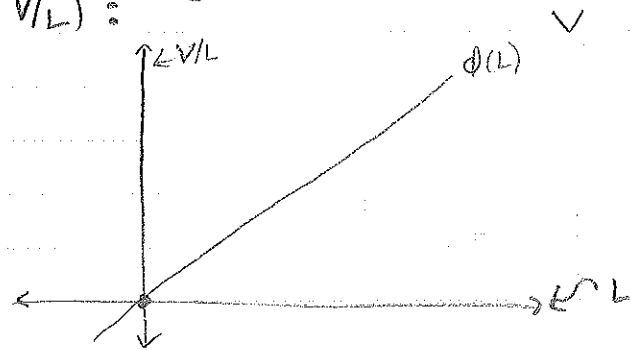
How do we understand the tangent space to  $\mathbb{P}^n$ ?

Idea: Fix a vector sp  $V$  of dim  $n+1$  s.t.  $\mathbb{P}^n = \mathbb{P}(V)$ , i.e.

$= \{ L \subseteq V \mid L \text{ has dim } 1 \text{ is a linear subsp} \}$

Observation: The tangent space to  $\mathbb{P}^n$  at a pt  $[L]$  is canonically isomorphic to the vect sp.

$\text{Hom}(L, V/L)$ :



Given  $\phi: L \rightarrow V/L$ , draw its graph. These give all lines in  $V$  except  $V/L$  ( $\perp$  to  $L$ ). But these lines are far away from  $L$ ,  $\neq$  the tangent vector at  $L$

only cares about lines  $\delta(L)$  close to  $\mathbb{P}^n$ .

• There is a SES of vector bundles on  $\mathbb{P}^n$   
 $0 \rightarrow \mathcal{O}_{\mathbb{P}^n} \rightarrow \mathcal{O}_{\mathbb{P}^n}(1)^{\oplus n+1} \rightarrow T_{\mathbb{P}^n} \rightarrow 0$  (global version of the observation)

Another version of this would be:

$0 \rightarrow \mathcal{O}_{\mathbb{P}^n}(-1) \rightarrow \mathcal{O}^{\oplus n+1} \rightarrow T_{\mathbb{P}^n}(-1) \rightarrow 0$   
 fiber over a pt  $[L]$  is  $V$  (doesn't change from pt to pt, so trivial line bundle)  
 fiber over a pt  $[L]$  of  $\mathcal{O}(-1)$  is  $L$  (recall from previous class)

(tautological bundle)

$L \subseteq V$ , so this first map is an inclusion:  $L$  moves inside  $V$ .

Since  $T_{\mathbb{P}^n}(-1) = \mathcal{O}^{\oplus n+1} / \mathcal{O}_{\mathbb{P}^n}(-1)$ , the fiber of  $T_{\mathbb{P}^n}(-1)$  over  $[L]$  is  $V/L$ . The  $-1$  comes from fiber =  $V/L$  & not  $\text{Hom}(L, V/L) = T_{\mathbb{P}^n}$ .

Call  $T_{\mathbb{P}^n}(-1) = E$

At each pt  $[L]$ , the tangent sp at  $[L]$  is  $\text{Hom}(L, V/L) = \text{Hom}(\text{fiber of } \mathcal{O}(-1) \text{ at } [L], \text{fiber of } E \text{ at } [L])$

$\Rightarrow T_{\mathbb{P}^n} = \text{Hom}(\mathcal{O}(-1), E) = E \otimes \mathcal{O}(1)$  (dual of  $\mathcal{O}(-1)$ )

$\left[ \begin{array}{l} \text{Hom}(Z, E) = E \otimes Z^\vee \quad (Z \text{ a line bundle}) \\ \text{(globalized version of } \text{Hom}(V, W) = V^\vee \otimes W) \end{array} \right]$

$\Rightarrow$  1<sup>st</sup> SES from 2<sup>nd</sup> SES can be obtained by  $\otimes \mathcal{O}(1)$  & tensoring is exact

The 1<sup>st</sup> SES is called the Euler Exact Sequence.

Now dualize:  $0 \rightarrow \Omega_{\mathbb{P}^n}^1 \rightarrow \mathcal{O}(-1)^{\oplus n+1} \rightarrow \mathcal{O} \rightarrow 0$   
 (b/c dual an contravariant functor of vector sp's)

Fact: If  $0 \rightarrow V' \rightarrow V \rightarrow V'' \rightarrow 0$  is a SES of v.s.,  
 Then  $\Lambda^{\text{top}} V \cong \Lambda^{\text{top}} V' \otimes \Lambda^{\text{top}} V''$

↑ top exterior power

Express top exterior power of one in terms of top exterior power of other

$$\mathcal{O}(K_{\mathbb{P}^n}) = \Lambda^{top} \Omega^1_{\mathbb{P}^n} \otimes \Lambda^{top} \mathcal{O} \cong \Lambda^{top} (\mathcal{O}(-1)^{n+1}) = \mathcal{O}(-n-1)$$

$$\Rightarrow \mathcal{O}(K_{\mathbb{P}^n}) = \mathcal{O}(-n-1) \checkmark$$

Riemann-Roch:  $h^0(D) - h^0(K-D) = \deg D + 1 - g$

• 1<sup>st</sup> pretend  $h^0(K-D) = 0$ . Then we can say:

if  $D = \emptyset$ , know formula:  $h^0(\emptyset) - \underbrace{g}_{\substack{1 \\ \text{correction factor}}} = 0 + 1 - g \checkmark$

- if add 1 more pt to  $D$  RR says # of sections goes up by 1.

"Prove"  $h^0(D+P) = h^0(D) + 1$ , if we hope  $h^0(K-D)$  goes away for  $D$  large enough

[So # of sections of a divisor goes linear w/ deg of  $D$ , corrected by smth connected to genus]  
 $h^0(D+P)$  are mer. fns on  $C$ , allowed to have poles at  $D$  & at  $P$

$h^0(D)$  are mer fns on  $C$ , allowed poles at  $D$  only.

In fact, have an injective map of line bundles

$$0 \rightarrow \mathcal{O}(D) \rightarrow \mathcal{O}(D+P) \quad \& \quad \text{it gives rise to an inj map of global sections } 0 \rightarrow \Gamma(\mathcal{O}(D)) \rightarrow \Gamma(\mathcal{O}(D+P))$$

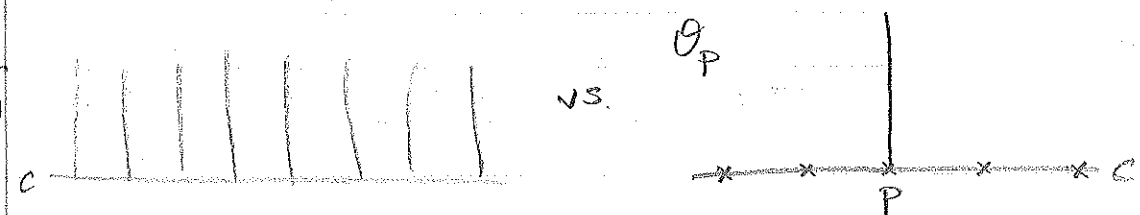
To know dim increases by 1, we need to make a SBS:

$$0 \rightarrow \mathcal{O}(D) \rightarrow \mathcal{O}(D+P) \rightarrow \mathcal{O}_P \rightarrow 0$$

(skyscraper sheaf)  
 $\uparrow$  no longer a vector bundle

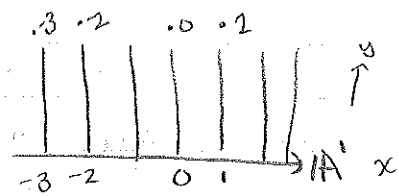
Think of this as:

line bundle on  $C$



0-diml vect bundle at all pts except  $P$ , then a line at  $P$

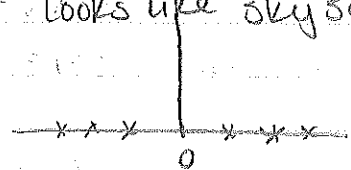
Ex: On  $A^1$ , consider the map of line bundles  $\mathcal{O} \rightarrow \mathcal{O}$  which at the pt  $x$  is multiplication in the fibers by  $x$ .



$$A^2 \rightarrow A^2 \quad \text{cts.}$$

$$(x, y) \mapsto (x, xy)$$

This map is an  $\cong$  when  $x \neq 0$ , so ker is trivial in almost all fibers. But at  $x=0$ , ker & coker is 1 dim. So ker looks like skyscraper.



Fns on  $A^1$  is  $k[x]$ , so can think of this map  $0 \rightarrow k[x] \xrightarrow{\cdot x} k[x] \rightarrow k[x]/(x) \rightarrow 0$   
 $\exists$  corresp. between line bundles & proj. modules  
 proj. module.      not proj. module

12/12 Try to relate  $\mathcal{O}(D)$  &  $\mathcal{O}(D+P)$ ,  $P$  a pt on curve  $C$ .  
 Serre, [F.A.C] (algebraic coherent sheaves):

$\uparrow$  form an abel. category

Embed vector/line bundles into a larger category, "coherent sheaves" which is abelian.

$\exists$  SES

$$0 \rightarrow \mathcal{O}(D) \rightarrow \mathcal{O}(D+P) \rightarrow \mathcal{O}_P \rightarrow 0$$

$\uparrow$  skyscraper sheaf

Q: Is  $h^0(D+P) = h^0(D) + 1$ ? (cannot always be true b/c

- but we expect this to be true for  $D$  big enough (problem.)

but may fail for small  $D$ .

- Know  $h^0(\mathcal{O}_P) = 1$ .

$\exists$  functor  $\Gamma: \text{Coh}(X) \rightarrow \text{Vect}$  (coherent sheaves to vector sp)

Is  $\Gamma$  exact? No. If it were, from SES,

$$\Gamma(\text{SES}) \Rightarrow 0 \rightarrow \Gamma(X, \mathcal{O}(D)) \rightarrow \Gamma(X, \mathcal{O}(D+P)) \rightarrow \Gamma(X, \mathcal{O}_P) \rightarrow 0$$

$\uparrow$   $\dim = h^0(D)$        $\uparrow$   $\dim = h^0(D+P)$        $\uparrow$   $\dim = 1$

but this is not always true. The failure is the 0 on the right. We always have:

$$0 \rightarrow \Gamma(X, \mathcal{O}(D)) \rightarrow \Gamma(X, \mathcal{O}(D+P)) \rightarrow \Gamma(X, \mathcal{O}_P) \rightarrow H^1(X, \mathcal{O}(D))$$

$\uparrow$  cohomology

$$\rightarrow H^1(X, \mathcal{O}(D+P)) \rightarrow H_1(X, \mathcal{O}_P) = 0, \text{ a LES}$$

Define  $\chi(D) = h^0(D) - h^1(D) = \dim(\Gamma(\mathcal{O}(D))) - \dim H^1(\mathcal{O}(D))$

$$\chi(D+P) = h^0(D+P) - h^1(D+P)$$

$$\chi(\mathcal{O}_P) = 1$$

$$\Rightarrow \chi(D+P) = \chi(D) + 1 \quad \leftarrow \text{Always True}$$

R.R. really says: ①  $\chi(D) = \deg D + 1 - g$

$$\text{② } \chi(D) = h^0(D) - h^1(D)$$

$$\text{RR: LHS: } h^0(D) - h^0(K-D)$$

$$\text{By Serre Duality, } h^0(K-D) = h^1(D)$$

$\uparrow$  very similar to Poincaré duality

$$\text{In gen. SD say } H^i(X, \mathcal{O}(D)) \cong H^{n-i}(X, \mathcal{O}(K-D))^\vee$$

for an  $n$ -dim'l cpt variety  $X$ .

\* Correction & Addition to HW

② It is well known that if  $g \geq 2$ ,  $2K_C$  gives an embedding

Addition (E.C.): Show that it could not be true for  $g=2$ .

## Grassmannians & Their Tangent Bundles

Fix a f.d. vect. sp.  $V$  of dim  $n$ , fix  $0 < k < n$ .

$$\text{Gr}(k, V) = \{L \subseteq V \mid \dim L = k\}$$

( $\text{Gr}(k, n)$ )

•  $\text{Gr}(1, V) = \mathbb{P}V$  (i.e., set of lines in  $V$ )

Claim: If  $[L] \in \text{Gr}(k, V)$ , then  $T_{[L], \text{Gr}(k, V)} \cong \text{Hom}(L, V/L)$   
PF:  $\uparrow \dim k(n-k)$

⊙ (Intuitive) Fix a fixed vect. sp.  $W$  of dim  $k$ .

(think of  $L \subseteq V$  as the image of  $W$  under a  $\overset{\text{Linear}}{\text{map}}$ )

$W \rightarrow V$   
Any  $\overset{\text{injective}}{\text{map}} \phi: W \rightarrow V$  gives a pt of  $\text{Gr}(k, V)$

(take  $L = \text{Im}(\phi)$ )

The space  $\text{Hom}(W, V)$  is a vect. sp ( $\cong W^V \otimes V$ )

$U$

$U = \{\phi \mid \phi \text{ injective}\}$ , Zariski open (dense & open)

b/c  $\text{Hom}(W, V)$  consists of  $k \times k$  matrix. Those that are inj have full rank  $\Rightarrow$  no  $\overset{\text{det of}}{\text{minors}}$  vanish. So  $U$  is cut out by the  $\binom{n}{k}$ -eqns that are the dets of minors = 0.

We have a map  $U \rightarrow \text{Gr}(k, V)$ , surjective.

Not injective: We can think of pts of  $U$  as pairs  $(L \subseteq V, \phi: W \xrightarrow{\cong} L)$  (while  $\text{Gr}(k, V)$  doesn't need  $\phi$ ...)

there's a gp acting on this set of choices!

$\exists GL(W)$  action on  $U$ : for  $\psi \in GL(W)$  (i.e. automorph of  $W$ )

$\psi: (L, \phi) \rightarrow (L, \phi \circ \psi)$  (image doesn't change but map does change.)

Thus  $\text{Gr}(k, V) \cong U/GL(W)$  action is free

$$\dim \text{Gr}(k, V) = nk - k^2 = k(n-k).$$

Assume given a pt  $[L] \in \text{Gr}(k, V)$ . Think of

$L = \text{Im}(\phi)$  for some  $\phi: W \rightarrow V$ .

$\phi$  can be deformed to 1st order by picking a map  $\psi: W \rightarrow V$  & taking  $\phi + \epsilon\psi$  for  $\epsilon$  small ( $\epsilon \ll 1$ )

$\Rightarrow T_{\phi, u} \cong \text{Hom}(W, V)$

Under this change of  $\phi$ ,  $L$  does not nec. change:

If  $\psi$  happens to map  $W$  to  $L$ , then  $\text{Im}(\phi + \epsilon\psi) = \text{Im}(\phi) = L$ . ( $\Leftarrow$  statement, actually)

$\Rightarrow T_{[L], \text{Gr}(k, V)} = \frac{\text{Hom}(W, V)}{\text{Hom}(W, L)}$  } these are the  $\psi$ 's that will actually change  $L$  ...

$0 \rightarrow L \rightarrow V \rightarrow V/L \rightarrow 0$  SES

$\Rightarrow 0 \rightarrow \text{Hom}(W, L) \rightarrow \text{Hom}(W, V) \rightarrow \text{Hom}(W, V/L) \rightarrow 0$

[Recall  $\text{Hom}(W, \cdot)$  a covariant exact functor]

$\Rightarrow \text{Hom}(W, V) / \text{Hom}(W, L) \cong \text{Hom}(W, V/L) \cong_{\text{via } \phi} \text{Hom}(L, V/L)$   $\square$

\* This is still a bit hand-wavy.

To be rigorous, look at

$\text{Gr}(k, V) = \mathcal{U} / \text{GL}(W)$

$\Rightarrow T_{[L], \text{Gr}(k, V)} \cong \frac{T\mathcal{U}_u / \mathfrak{gl}(W)}{\mathfrak{Lie} \text{ alg of } \text{GL}(W)} = \frac{\text{Hom}(W, V) / \text{End}(W)}{\mathfrak{gl}(W)} \cong \text{Hom}(W, V/L)$

In general, on  $\text{Gr}(k, V)$ , there are 2 natural bundles!

$\mathbb{T}$  = tautological &  $\mathbb{Q}$  = quotient, defined by

$\mathbb{T}_{[L]} = L$   $\mathbb{Q}_{[L]} = V/L$   
 $\uparrow$  fiber at  $L$

The fact that at each pt of  $\text{Gr}(k, V)$  we have a SES of v.s's:

$0 \rightarrow L \rightarrow V \rightarrow V/L \rightarrow 0$

becomes a SES of vect. bundles:

$0 \rightarrow \mathbb{T} \rightarrow V \otimes \mathbb{O} \rightarrow \mathbb{Q} \rightarrow 0$

$\uparrow$  trivial vector bundle of fiber  $V$

$\Rightarrow T_{\text{Gr}} = \text{Hom}(\mathbb{T}, \mathbb{Q}) \cong \mathbb{T}^V \otimes \mathbb{Q} \Rightarrow$  have a SES  $(\otimes \mathbb{T}^V)$

$0 \rightarrow \underbrace{\mathbb{T} \otimes \mathbb{T}^V}_{\text{End}(\mathbb{T})} \rightarrow V \otimes \mathbb{T}^V \rightarrow T_{\text{Gr}} \rightarrow 0$



For proj. sp,  $\mathbb{P}^n$ ,  $\mathcal{I} = \mathcal{O}(-1)$ . (now a line bundle bc  $k=1$ )  
So this becomes:

$$0 \rightarrow \mathcal{O} \rightarrow \mathcal{O}(-1) \otimes \mathcal{O}(1) \rightarrow T_{\mathbb{P}^n} \rightarrow 0$$

$\mathcal{O}(-1) \otimes \mathcal{O}(1) \xrightarrow{\quad} \mathcal{O}(1)^{\otimes 2}$

This is the Euler exact sequence.

