

Math 742

Andrei Caldeanu

VV 605

andrei@math

1/23

Textbooks:

"Commutative Alg": Atiyah-Macdonald (Eisenbud, Matsumura)  
Galois Theory - lecture notes by Artin (Dover)

### Commutative Algebra

Ring:  $A$  a set with  $+, \cdot$  s.t.  $(A, +, 0)$  an abel gp,  
mult. is assoc,  $1 \exists 1 \cdot x = x \cdot 1 = x \forall x \in A$ ,  $\exists x(y+z) = xy + xz$

Commutative Ring:  $xy = yx \forall x, y \in A$

Ring homomorphism: If  $A, B$  rings,  $f: A \rightarrow B$  is a ring homo. if

a)  $f(a+b) = f(a) + f(b)$  b)  $f(xy) = f(x)f(y)$ , c)  $f(1) = 1$ .

$\downarrow$   
 $f(0) = 0$

Note: We don't assume  $0 \neq 1$ . But if  $0 = 1 \Rightarrow A = \{0\}$ , the zero ring. The zero ring can't map into any nonzero ring b/c  $f(1) = 1$ .

$f, g$  ring hom.  $\Rightarrow f \circ g$  is

Ex: ①  $\mathbb{Z}$

②  $K[x_1, \dots, x_n]$ ,  $K$  a field

\*  $\forall$  ring  $R$ ,  $\exists!$  hom  $f: \mathbb{Z} \rightarrow R$ . ( $1 \mapsto 1$ ,  $7 \mapsto \overbrace{1+1+\dots+1}^7$ )

$\mathbb{Z}$  is an initial object in category of rings

$\exists!$  hom  $g: R \rightarrow 0$

$0$  is a terminal object in cat. of rings

③  $K[x] \hookrightarrow K[x, y]$

④  $\mathbb{Z} \rightarrow \mathbb{Z}/n\mathbb{Z}$

⑤  $\mathbb{Z}/8\mathbb{Z} \rightarrow \mathbb{Z}/4\mathbb{Z}$

Ideals:  $I \subseteq A$  is an ideal if:

- (1)  $x+y \in I \quad \forall x, y \in I$
- (2)  $ax \in I \quad \forall x \in I \quad \forall a \in A$

Ex: If  $x \in A$ , the principal ideal gen by  $x$ ,  $(x) = \{ax \mid a \in A\} \subseteq A$ .

Ex:  $\{f \in \mathbb{Z}[X] \mid f(0) \in 2\mathbb{Z}\}$  is a non-principal ideal.

$$I = (2, X) = \{2f + Xg \mid f, g \in A = \mathbb{Z}[X]\}$$

Note: Very rarely does  $1 \in I$ . In fact, if  $1 \in I$ , then  $I = A$ .

Facts:

\* ① If  $f: A \rightarrow B$  a ring hom &  $I \subseteq B$  an ideal, then  $f^{-1}(I) \subseteq A$  is an ideal.

②  $(0) = \{0\} \subseteq B$  is always an ideal.

③  $f^{-1}(0) := \ker f \subseteq A$  is an ideal.

(analogue to  $\ker$  of sp hom is normal, & preimage of normal is normal.)

④  $f(A) \subseteq B$  is a subring (contains 1, closed under  $+$  &  $\cdot$ )  
&  $A/\ker f \cong \text{Im } f$  (First Iso. Thm)

Sketch of PF: Check the sp hom's respect.

Ex: ①  $K[X] \xrightarrow{\phi} K$  a ring hom.  
 $f \mapsto f(1)$

Sur?  $\text{Im } \phi = K$ , so yes.

$$\ker \phi = (x-1)$$

$$\{f \mid f(1) = 0\} \Leftrightarrow \{f \mid (x-1) \mid f\} = (x-1)$$

$$\Rightarrow K[X]/(x-1) \cong K \quad (\text{not a unique quotient, ex: } K[X]/(x-2) \cong K \quad f \mapsto f(2))$$

Zero-divisors:  $x$  is a zero-div. if  $\exists y \neq 0$  s.t.  $xy = 0$ .

(0 is always a zero-div.)

A ring  $A$  s.t.  $A \neq 0$  and  $\forall x \in A, x \neq 0, x$  is not a zero div. is called an integral domain.

Ex:  $\mathbb{Z}, K[x, \dots, x_n]$

Non-ex:  $\mathbb{Z}/6\mathbb{Z}$ , b/c  $2 \cdot 3 = 0$

$$K[X]/(x-1)(x-2) \quad \text{b/c } (x-1)(x-2) = 0$$

$x$  is nilpotent if  $\exists n > 0$  s.t.  $x^n = 0$ .

$x$  nilp  $\Rightarrow x$  a zero-div. ( $x \cdot x^{n-1} = 0$ )

Unit:  $x$  is a unit if  $\exists y$  s.t.  $xy = 1$

Ex: In  $\mathbb{Z}$ , units are  $\{\pm 1\}$ .

In  $\mathbb{Q}$ , units are  $\mathbb{Q} \setminus \{0\}$ .

• The set of units forms an abelian gp.

• If  $p$  is prime, units in  $\mathbb{Z}/p\mathbb{Z}$  form a cyclic gp. (Thm)

(nontrivial,  $\frac{1}{2}$  hard to find a generator)

(true for any finite field)

•  $a$  is a unit iff  $(a) = (1)$

Fields:  $A$  is a field if  $\forall x \in A$ ,  $x \neq 0$ ,  $x$  is a unit, and  $A \neq 0$ .

Prop:  $A$  a ring,  $A \neq 0$ . TFAE:

(1)  $A$  is a field

(2) The only ideals in  $A$  are  $(0)$  &  $(1)$

(3) If  $B$  is any non-0 ring &  $f: A \rightarrow B$  a ring hom, then  $f$  is injective. (all ring homs from field are inclusions)

Pf: (1)  $\Rightarrow$  (2): If  $I$  a non-0 ideal then  $\exists x \neq 0, x \in I$

$\Rightarrow (x) \subseteq I$ , but  $(x) = (1) = A \Rightarrow I = (1)$

(2)  $\Rightarrow$  (3): Given  $f$ , look at  $\ker f \subseteq A$ .  $\ker f \neq A$ , b/c

$1 \notin \ker f$  ( $f(1) = 1$ , &  $1 \neq 0$  in  $B$  b/c  $B \neq 0$ )  $\Rightarrow \ker f = (0)$

$\Rightarrow f$  is injective.

(3)  $\Rightarrow$  (1): If  $x \in A$ ,  $x \neq 0$ , look at  $(x) \subseteq A$ .

$A \rightarrow A/(x)$  not injective b/c  $x \mapsto 0$ . By (3),

$A/(x) = 0 \Leftrightarrow (x) = A \Rightarrow x$  a unit.  $\square$

1/25

## Prime & Maximal Ideals

Def: An ideal  $\mathfrak{p} \subseteq A$  is prime if:

- (1)  $\mathfrak{p} \neq A$ .
- (2)  $ab \in \mathfrak{p} \Rightarrow a \in \mathfrak{p} \text{ or } b \in \mathfrak{p}$ .

(analogous to  $p \in \mathbb{Z}$  prime iff  $p|ab \Rightarrow p|a \vee p|b$ ).

• in  $\mathbb{Z}$ ,  $(p)$  prime iff  $p$  prime, b/c  $ab \in (p) \Leftrightarrow p|ab$   
 $\xrightarrow[\text{prime}]{\text{if } p}$   $p|a \text{ or } p|b \Leftrightarrow a \in (p) \text{ or } b \in (p)$ .

•  $(0) \subseteq \mathbb{Z}$  is definitely prime.

Prop:  $(0) \subseteq A$  is prime iff  $A$  is an integral domain.

Claim: If  $I \subseteq A$  an ideal,  $\exists$  a bijective corresp. btwn  
 $\{\text{ideals in } A/I\} \longleftrightarrow \{\text{ideals } J \subseteq A \text{ s.t. } I \subseteq J\}$

$$p: A \rightarrow A/I$$

$$\bar{J} \longmapsto p^{-1}(\bar{J}) \text{ ideal in } A \text{ (b/c preimage of ideal is ideal)}$$

contains  $J$  b/c  $(0) \subseteq \bar{J}$ .

$$p(\bar{J}) \longleftarrow J$$

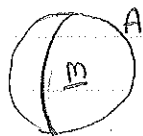
Thm:  $\mathfrak{p}$  is prime iff  $A/\mathfrak{p}$  is an int. domain.

Pf:  $A/\mathfrak{p}$  int. dom.  $\Leftrightarrow (\bar{x}\bar{y} = 0 \Rightarrow \bar{x} = 0 \text{ or } \bar{y} = 0 \text{ for } \bar{x}, \bar{y} \in A/\mathfrak{p})$   
 and  $A/\mathfrak{p} \neq 0$ .

$$\Leftrightarrow (xy \in \mathfrak{p} \Rightarrow x \in \mathfrak{p} \text{ or } y \in \mathfrak{p} \text{ for } x, y \in A, \mathfrak{p} \neq A)$$

$$\Leftrightarrow \mathfrak{p} \text{ prime.}$$

Def: An ideal  $\mathfrak{m} \subseteq A$  is called maximal if  $\mathfrak{m} \neq A$   
 and  $\mathfrak{m} \subseteq \mathfrak{a} \Rightarrow \mathfrak{a} = \mathfrak{m} \text{ or } \mathfrak{a} = A$ .



Ex: ① If  $p$  a prime  $\neq$  in  $\mathbb{Z}$  ( $p \neq 0$ ), then  $(p)$  is max. in  $\mathbb{Z}$ !  
 Wc If not, add coprime  $\neq$ , so all lin combs in ideal,  $\neq$   
 so  $1$  in ideal, so ideal =  $\mathbb{Z}$ .

- ②  $(x-a) \subseteq k[x]$  is max. for any  $a \in k$ . (same proof -  
in  $k[x] \exists$  div. alg. w/ remainder)
- ③  $(x-a, y-b) \subseteq k[x, y]$  is max. for  $a, b \in k$ . (prove later)
- ④  $(x-a) \subseteq k[x, y]$  is prime but not max.
- ⑤  $(0) \subseteq \mathbb{Z}$  is prime but not max.

Thm:  $\mathfrak{m} \subseteq A$  is max. iff  $A/\mathfrak{m}$  is a field.

Cor:  $\mathfrak{m}$  max  $\Rightarrow \mathfrak{m}$  prime (b/c fields are int. dom)

Pf:  $\mathfrak{m}$  max  $\Leftrightarrow$  no ideals btwn  $\mathfrak{m}$  &  $A$ .  
 $\Leftrightarrow$  only ideals in  $A/\mathfrak{m}$  are  $(0)$  &  $A/(\mathfrak{m}) \Leftrightarrow A/\mathfrak{m}$  field.

Thm: If  $A \neq 0$ ,  $\exists$  max. ideals in  $A$ . In fact,  $\forall I \subseteq A$ ,

$\exists \mathfrak{m}$  max s.t.  $\mathfrak{m} \supseteq I$ .

Pf: Look at part ordered set of all <sup>proper</sup> ideals containing  $I$ .

This sat. conditions of Zorn's Lemma, b/c given increasing ideals, union of all is an ideal, not everything (b/c  $1 \notin$  any, so  $1 \notin$  union), so all chains have max  $\Rightarrow$  max. elt of set.

Cor: Every non-unit is contained in some max ideal.

Pf: the principal ideal gen by nonunit is  $\subseteq$  max ideal (by thm).

Digression: What are max. & prime ideals in  $\mathbb{C}[x_1, \dots, x_n]$ ?

(1) Max. ideals:  $(x_1 - a_1, \dots, x_n - a_n)$  for  $a_1, \dots, a_n \in \mathbb{C}$ .

(2) Prime ideals: If  $I \subseteq \mathbb{C}[x_1, \dots, x_n]$  an ideal, define

$Z(I) \subseteq \mathbb{C}^n$  by  $Z(I) = \{(x_1, \dots, x_n) \in \mathbb{C}^n \mid f(x_1, \dots, x_n) = 0 \forall f \in I\}$

ex:  $Z((x_1 - a_1, \dots, x_n - a_n)) = \{(a_1, \dots, a_n)\}$

For  $\mathbb{C}[x, y]$ :  $Z((x)) = 0 \times \mathbb{C} = y$ -axis

$\{\text{max. ideals in } k[x_1, \dots, x_n]\} \xleftrightarrow{Z} \{\text{pts in } \mathbb{C}^n\}$

Ex:  $\mathbb{R}[x]/(x^2+1) \cong \mathbb{C}$

$\mathbb{R}[x] \rightarrow \mathbb{C}, \text{ Ker} = (x^2+1)$

$x \mapsto i$

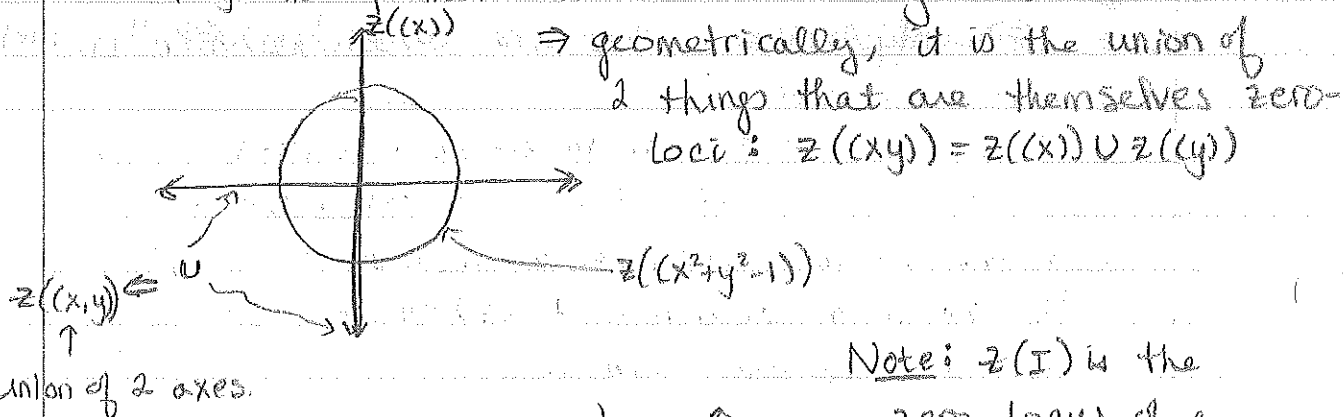
so  $(x^2+1) \subseteq \mathbb{R}[x]$  is max. (b/c quot. is field).  
but not of form  $(x-a)$ !

Ex of primes & nonprimes in  $\mathbb{C}[x,y]$ :

(1)  $(x)$  prime: If  $x|fg$ , then  $x|f$  or  $x|g$ .

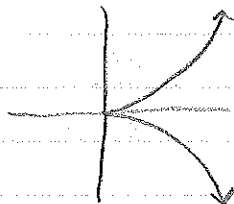
(2)  $(x^2+y^2-1)$  prime

(3)  $(xy)$  not prime. (b/c neither  $x$  nor  $y$  in ideal)

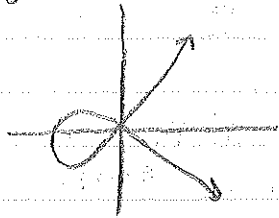


Note:  $z(I)$  is the zero locus of a set of polynomials.

(4)  $(x^2-y^3)$  prime



(5)  $(y^2-x^2(x-1))$  prime



Def: Subsets of  $\mathbb{C}^n$  of the form  $z(I)$  are called closed in the Zariski topology.

Exercise: These are the closed sets in a topology on  $\mathbb{C}^n$

- <sup>closed</sup> A set is irreducible if  $Y \neq Y_1 \cup Y_2$  w/  $Y_1, Y_2$  closed,  $Y_1 \neq Y, Y_2 \neq Y$ .

Prop:  $\mathfrak{p}$  is prime  $\Rightarrow z(\mathfrak{p})$  is irred.

Ex:  $(x^2) \subseteq k[x]$  not prime (b/c  $x \notin (x^2)$ ) but  $z((x^2)) = z((x)) = \{0\} \subseteq \mathbb{C}$  is irred, so not  $\Leftrightarrow$  in prop.

1/28 Fact: If  $f: A \rightarrow B$  a ring hom &  $\mathfrak{p} \subseteq B$  prime, then  $f^{-1}(\mathfrak{p}) \subseteq A$  is prime.

PF:  $A/f^{-1}(\mathfrak{p}) \rightarrow B/\mathfrak{p}$  is injective ring hom.

[ $A \rightarrow B \rightarrow B/\mathfrak{p}$   $f^{-1}(\mathfrak{p}) \rightarrow 0$ , so  $\exists$  this map]

$\Rightarrow A/f^{-1}(\mathfrak{p})$  is a subring of an integral dom, (b/c  $B/\mathfrak{p}$  int dom), so  $A/f^{-1}(\mathfrak{p})$  an int dom  $\Rightarrow f^{-1}(\mathfrak{p})$  prime.

[can also be done directly]

- Same fails for max. ideals.

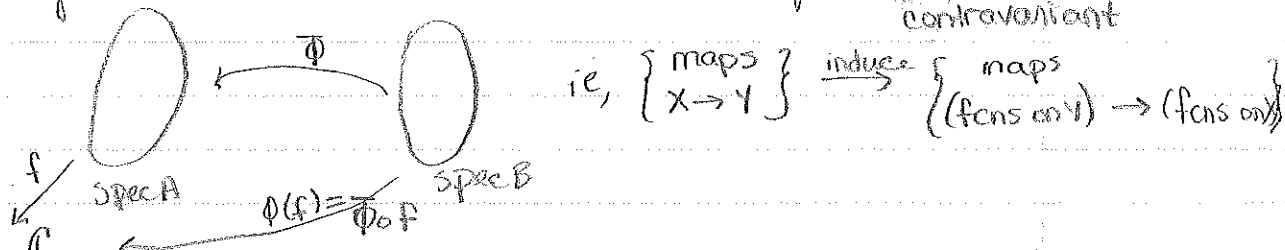
ex:  $\mathbb{Z} \hookrightarrow \mathbb{Q}$   
 $\begin{matrix} \cup \\ (0) \end{matrix}$   $\begin{matrix} \cup \\ (0) \end{matrix}$  is max,  
 not max.

Big Idea: Given ring  $A$ , make up a 'space',  $\text{Spec } A$  s.t. elts of  $A$  are "fns" on  $\text{Spec } A$ .

• First approx: If  $A = \mathbb{C}[x_1, \dots, x_n]$ , would like  $\text{Spec } A$  to be close to  $\mathbb{C}^n$ . Define  $\text{Spec } A = \{ \mathfrak{m} \subseteq A \mid \mathfrak{m} \text{ maximal} \}$ . [ $\text{Spec } A = \{ \mathfrak{p} \subseteq A \mid \mathfrak{p} \text{ prime} \}$ ]

$\text{Spec } \mathbb{C}[x_1, \dots, x_n] = \mathbb{C}^n$ .

• We'd also like to assoc. to a map  $A \xrightarrow{\phi} B$  of rings a map  $\text{Spec } A \xleftarrow{\Phi} \text{Spec } B$  (define a functor)   
 contravariant



Indeed, a hom. of rings  $\phi: A \rightarrow B$  induces  $\bar{\phi}: \text{Spec } B \rightarrow \text{Spec } A$   
 $\mathfrak{p} \mapsto \phi^{-1}(\mathfrak{p})$ .

Def: A ring  $A$  is said to be local iff  $\exists!$  maximal ideal in  $A$ .

(ie,  $\text{Spec } A$  is a single pt)

Prop: If  $A$  is a ring &  $\mathfrak{m} \subseteq A$  an ideal:

(1)  $\mathfrak{m}$  is max. &  $A$  is local iff  $\forall x \notin \mathfrak{m}$ ,  $x$  is a unit.

(2) If  $\mathfrak{m}$  is max. &  $\forall x \in \mathfrak{m}$ ,  $1+x$  is a unit  $\Leftrightarrow A$  local!

Pf: (1):  $\Rightarrow$ : Assume  $x \notin \mathfrak{m}$  is not a unit. Then

$\exists \mathfrak{m}'$  max. s.t.  $x \in \mathfrak{m}'$ . But then  $\mathfrak{m} \neq \mathfrak{m}'$ ,

contradicting  $A$  local.

$\Leftarrow$ : Assume  $\forall x \notin \mathfrak{m}$ ,  $x$  a unit. Then every

ideal  $\mathfrak{m}' \neq \mathfrak{m}$  has a unit, so  $\mathfrak{m}' = (1)$ . So

$\mathfrak{m}$  is max. If  $\mathfrak{m}'$  is another max ideal,

we can't have  $\mathfrak{m}' \subseteq \mathfrak{m}$ , so  $\mathfrak{m}'$  contains an

$x \notin \mathfrak{m} \Rightarrow \mathfrak{m}'$  contains a unit, contradiction.

(2):  $\Leftarrow$ : If  $x \in \mathfrak{m}$ , then  $1+x \notin \mathfrak{m}$  (b/c else  $\mathfrak{m} = (1)$ ),

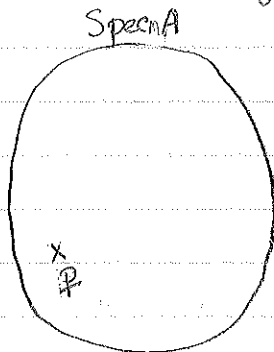
so  $1+x$  is a unit.

$\Rightarrow$ : Let  $y \in A \setminus \mathfrak{m}$ . Then  $(\mathfrak{m}, y) = A$

$\Rightarrow 1 = x + by$ ,  $x \in \mathfrak{m}$ ,  $b \in A$ . (b/c  $1 \in A$ )

$\Rightarrow by = 1 - x$  a unit  $(1 + (-x)) \Rightarrow y$  a unit.

$\Rightarrow$  by (1), done.



• each fn has local operation near each pt  $\mathfrak{p}$ .

• localization: Start w/ ring  $A$  & prime ideal  $\mathfrak{p}$

& produces a local ring  $A_{\mathfrak{p}}$  which

captures the behavior of fns on  $\text{Spec } A$

only around  $\mathfrak{p}$ . (focus on germ at  $\mathfrak{p}$ )



Ex: (1) A field is a local ring

~~$R = \{ \frac{m}{2^n} \mid m \in \mathbb{Z}, n \in \mathbb{N} \} \subseteq \mathbb{Q}$~~  is <sup>NOT</sup> a local ring

(not a field b/c w/ inversion  $m \neq 2^n$ , nec.)

•  $\frac{1}{2}$  is a unit,  $2$  is a unit.

→ pick set of all nonunits

Let  $I = \{ \frac{m}{2^n} \mid \frac{m}{2^n} \text{ not a unit} \}$  i.e. in lowest terms,  $m \neq \pm 1$   
 $= \{ \frac{m}{2^n} \mid \text{if } 2 \nmid m \text{ then } m \neq \pm 1 \}$

Units in  $R$  are  $2^i, i \in \mathbb{Z}$ .

Max. ideal:  $(3)$  max,  $(5)$  max, ... num. div. isible by 3  $\mathbb{Z}$  throws out  $2$ .

(2)  $R = \{ \frac{m}{n} \mid 2 \nmid n \} \subseteq \mathbb{Q}$  is a local ring

(throws out all prime ideals of  $\mathbb{Z}$  except  $(2)$ )

•  $(2)$  is the only max. ideal.

• CK!  $(2)$  is set of fracs in lowest terms w/  $2 \nmid m$ .

If  $x \notin (2)$ ,  $m$  is <sup>not</sup> even, so a unit.

• works for any prime  $(p \nmid n)$ .

→ Given int. dom., embed in its field of fracs, given prime ideal, look at things w/ denom. not in prime ideal  $\Rightarrow$  will be local ring.

## 1/30 Nilradical

Def:  $\mathcal{N} = \{ x \in A \mid x \text{ is nilpotent, i.e. } \exists n \geq 0 \text{ s.t. } x^n = 0 \}$  is the nilradical.

• This is an ideal.

We'll say a ring  $A$  is reduced if it has no nilpotents ( $\neq 0$ )

•  $A/\mathcal{N}$  is reduced. If  $\bar{x} \in A/\mathcal{N}$  is nilp,  $\Rightarrow \bar{x}^n = 0$  for some  $n$

$\Rightarrow x^n \in \mathcal{N} \Rightarrow \exists k \text{ s.t. } (x^n)^k = 0 \Rightarrow x \text{ is nilp} \Rightarrow x \in \mathcal{N} \Rightarrow \bar{x} = 0$ .

Def: If  $I \subseteq A$  is an ideal, the radical of  $I$ ,

$r(I) = \sqrt{I} = \{ x \in A \mid \exists n \geq 0 \text{ s.t. } x^n \in I \}$

•  $r(I) \supseteq I$  ( $n=1$ ), but in general it's bigger.

Prop:  $A/r(I)$  is reduced.

b/c  $r(r(I)) = r(I)$ , &  $\bar{x} \in \sqrt{r(I)}$  nilp of  $\bar{x} \in r(r(I)) \Rightarrow \bar{x} = 0$ .

Ex: If  $x = p_1 \dots p_n \in \mathbb{Z}$ , then  $r(x) = (p_1 p_2 \dots p_n)$

Def:  $I$  is said to be radical iff  $I = \sqrt{I}$ .

If  $I \subseteq \mathbb{C}[x_1, \dots, x_n]$ , we associated a set  $Z(I) \subseteq \mathbb{C}^n$ ,  
where  $Z(I) = \{x \mid f(x) = 0 \forall f \in I\}$  ( $x = (x_1, \dots, x_n)$ ).

Given a subset of  $\mathbb{C}^n$ , can we produce an ideal?

If  $S \subseteq \mathbb{C}^n \xrightarrow{?} I \subseteq \mathbb{C}[x_1, \dots, x_n]$ .

Define  $I(S) = \{f \in \mathbb{C}[x_1, \dots, x_n] \mid f(x) = 0 \forall x \in S\}$ . This is an ideal, always.

In  $\mathbb{C}$ , what sets can be  $Z(I)$ ? everything (if  $f=0$ ) or a finite set of pts. (not  $Z \subseteq \mathbb{C}$ , for example).

• A subset of  $\mathbb{C}^n$  is said to be algebraic if it is of the form  $Z(I)$  for some  $I$  (equivalently if it is closed in the Zariski top. on  $\mathbb{C}^n$ ).

ideal  $\Rightarrow$  algebraic set & any set  $\Rightarrow$  ideal.

Not inverses...  $Z(I(S)) = \bar{S}$  in Z. top.

$I(Z(a)) = \sqrt{a}$  must contain  $a$

$\rightarrow$  If instead of  $\mathbb{C}$  we put  $\mathbb{R}$ , this is no longer true.

ex:  $Z(x^2+1) = \emptyset$ , so  $I(Z(x^2+1)) = (1)$

but  $\sqrt{(x^2+1)} = (x^2+1)$  (b/c  $(x^2+1)$  max & prime)

Note: If  $I$  is a prime ideal, it is a radical.

b/c if  $a^n \in I$ ,  $a(a^{n-1}) \in I \Rightarrow a \in I$  or  $a^{n-1} \in I$  & continue.

Prop:  $I$  is radical iff  $A/I$  has no nilpotents

(\*no nilp's in an int. dom.)

Note: ①  $\underline{a} \subseteq I(Z(\underline{a}))$  ②  $I(S)$  is radical  $\forall S$ .

b/c if  $f^n$  vanishes,  $f$  vanishes

$\{ \text{alg. subsets of } \mathbb{C}^n \}$ 
 $\xrightarrow{\quad \mathbb{I} \quad}$ 
 $\{ \text{radical ideals of } \mathbb{C}[x_1, \dots, x_n] \}$ 
 $\xleftarrow{\quad \mathbb{Z} \quad}$ 
 (inverses)

Fact:  $\mathbb{Z}(\sqrt{a}) = \mathbb{Z}(a)$ .

Ex:  $\mathbb{Z}(x^2) = \{ x \in \mathbb{C} \mid x^2 = 0 \}$   
 $= \{ x \in \mathbb{C} \mid x = 0 \}$   
 $= \mathbb{Z}(x)$

Thm:  $\mathcal{N} = \bigcap_{\substack{P \in \mathcal{A} \\ P \text{ prime}}} P$ , i.e., the nilrad is the int<sup>r</sup> of all prime ideals.

Pf: " $\subseteq$ ": If  $x^n = 0 \Rightarrow x^n \in P_i \forall P_i \Rightarrow x \in P_i \forall P_i \Rightarrow x \in \bigcap P_i$   
 " $\supseteq$ ": Let  $\mathcal{N}' = \bigcap P$ . [WTS if  $f$  not nilp  $\Rightarrow f \notin \mathcal{N}'$ ,  
 i.e.  $\exists P$  prime s.t.  $f \notin P$ ].

Let  $\Sigma = \{ \mathfrak{a} \subseteq \mathfrak{A} \mid \mathfrak{a} \text{ ideal s.t. } f^n \notin \mathfrak{a}, \forall n \}$ .

- $\Sigma \neq \emptyset$  b/c  $(0) \in \Sigma$ . (b/c  $f$  not nilp)
- $(\Sigma, \subseteq)$  satisfies the chain condition in Zorn's

lemma b/c given chain, the union an ideal (b/c nested) & is maximal  $\because f^n \notin \cup$ .

$\Rightarrow \exists$  max. elements of the ordered set  $(\Sigma, \subseteq)$ .

Let  $\mathfrak{q}$  be such a max. elt. [WTS  $\mathfrak{q}$  prime - if ok, done b/c if  $f^n \in \mathfrak{q} \forall n, f \in \mathfrak{q}$ ].

\* alt. charac. of prime  $\Rightarrow$  To show  $\mathfrak{q}$  prime, it's enough to show that

if  $x \notin \mathfrak{q} \wedge y \notin \mathfrak{q} \Rightarrow xy \notin \mathfrak{q}$ .

Let  $x, y \notin \mathfrak{q}$ . Then  $\mathfrak{q} + (x) \neq \mathfrak{q} \Rightarrow \mathfrak{q} + (x) \in \Sigma$

$\Rightarrow \exists n$  s.t.  $f^n \in \mathfrak{q} + (x)$ . Similarly,  $\exists n'$  s.t.

$f^{n'} \in \mathfrak{q} + (y) \Rightarrow f^{n+n'} \in \mathfrak{q} + (xy)$  b/c:

$$f^n = q_1 + ax \quad f^{n'} = q_2 + by \Rightarrow f^{n+n'} = \underbrace{q_1}_{\in \mathfrak{q}} + \underbrace{q_2}_{\in \mathfrak{q}} + \underbrace{q_1 by}_{\in \mathfrak{q}} + \underbrace{q_2 ax}_{\in \mathfrak{q}} + abxy \in (xy) \checkmark$$

$\Rightarrow \mathfrak{q} + (xy) \in \Sigma$

$\Rightarrow (xy) \in \mathfrak{q} \quad \square$

Cor:  $\sqrt{a} = \bigcap_{\substack{P \text{ prime} \\ P \supseteq a}} P$ .

Pf: Look at  $A \xrightarrow{\phi} A/\underline{a}$ .  $\phi^{-1}(\mathcal{N}_{A/\underline{a}}) = \sqrt{a}$  b/c

•  $A/\phi^{-1}(\mathcal{N}_{A/\underline{a}}) \cong (A/\underline{a})/\mathcal{N}_{A/\underline{a}}$  & this is a reduced ring; and

•  $\phi^{-1}(\mathcal{N}_{A/\underline{a}}) \supseteq \underline{a}$ , & is smallest radical that contains  $\underline{a}$ .  
(details...)

• Read rest of Ch. 1

• Start problems #15-22

before Friday, when there will be a discussion.

Two important pieces:

(1)  $a_1, \dots, a_n \in A$  ideals. Have map:

$$A \rightarrow A/a_1 \times A/a_2 \times \dots \times A/a_n$$

$a \mapsto (a \bmod a_1, \dots, a \bmod a_n)$  neither inj. or surj.

surj: ideals are rel. prime (Chinese Remainder Thm)

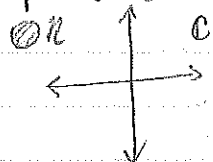
inj:  $\bigcap a_i = (0)$

(2) Extension & contraction of ideals, esp. example of  $\mathbb{Z} \subseteq \mathbb{Z}[i]$ .

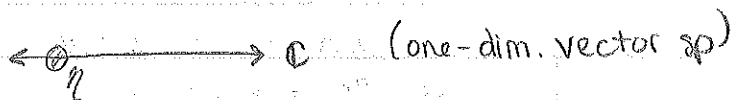
2/1

(0)  $\rightarrow$  represented by  $\eta$ , the generic pt  $\odot$

$\text{Spec } \mathbb{C}[X]$



or, better:



What is the topology on  $\text{Spec } \mathbb{C}[X]$ ?

• closed sets:  $V(\underline{a})$  - closed for any  $\underline{a} \subseteq \mathbb{C}[X]$  an ideal

$$V(\underline{a}) = \{p \in \text{Spec } \mathbb{C}[X] \mid \underline{a} \subseteq p\}$$

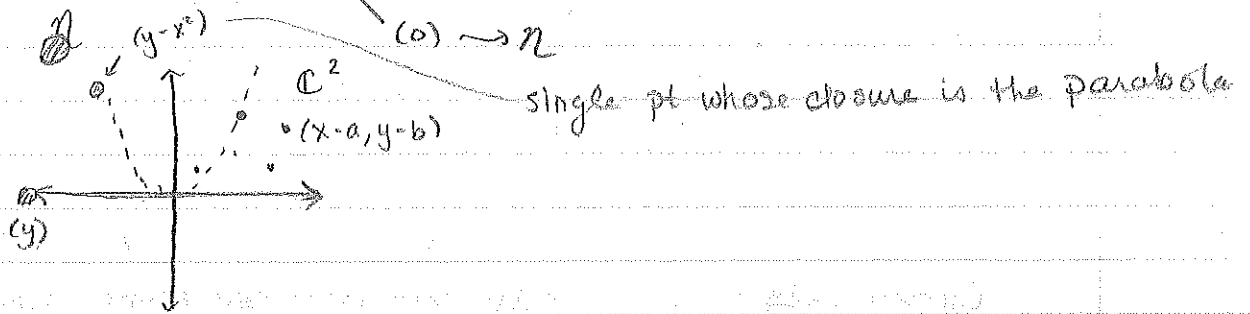
If  $\underline{a} = (f)$ ,  $f \neq 0$ , then  $V(\underline{a}) = \{(x-a) \mid f(a) = 0\}$ , finite

$\Rightarrow$  closed sets are finite subsets of closed pts (ie  $(x-a)$ )

If  $\underline{a} = (f)$ ,  $f = 0$ , then  $V(\underline{a}) = X$ . or  $X$ .



Two-dim example:  $\text{Spec } \mathbb{C}[x, y]$   
 prime ideals  $\begin{cases} (x-a, y-b) \rightarrow \text{pts in } \mathbb{C}^2 \Rightarrow \text{only closed pts} \\ (x), (y-x^2), (y^2-x(x-1)(x-2)) \Rightarrow \text{non-closed pts} \end{cases}$



$$V(x-y^2) = \{(x-y^2), (x-a, y-b) \text{ s.t. } a=b^2\}$$

Is  $(x-y^2) \subseteq (x-a, y-b)$ ? yes, for all  $a, b$  that satisfy the eqn

$$(x-a, y-b) \supseteq (x-y^2) = V(x-y^2)$$

•  $(x-y^2)$  is a non-closed pt whose closure gives an irreducible subvariety.

(Schemes: Manifolds: Comm. rings:  $\mathbb{R}^n$ )  $\leftarrow$  local vs. global props

Ex:  $\mathbb{C}[x, y]/(x-y^2)$  an integral domain b/c  $(x-y^2)$  prime

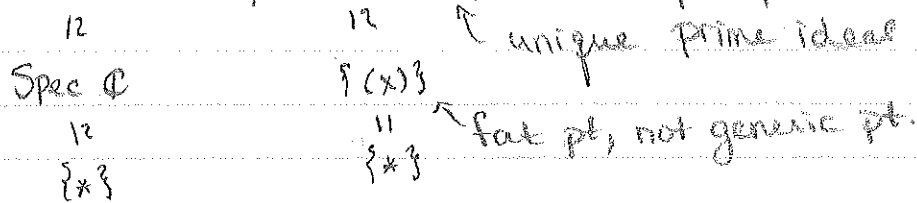
$\text{Spec}(\mathbb{C}[x, y]/(x-y^2)) = \text{prime ideals in } \mathbb{C}[x, y] \text{ which contain } (x-y^2) = V(y-x^2) = \text{parabola} + \text{generic pt } (y-x^2)$

• If  $R$  is a ring f.g. over  $\mathbb{C}$ , i.e.  $\mathbb{C}[x_1, \dots, x_n]/I$

(map each gen. to  $x_1, \dots, x_n$ ; map's kernel identifies  $I$ )

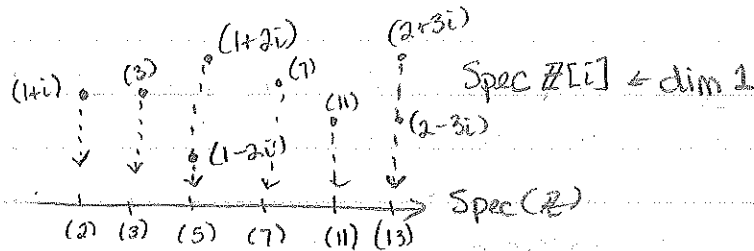
Then  $\text{Spec}(\quad) = V(I) \subseteq \text{Spec } \mathbb{C}[x_1, \dots, x_n] = \mathbb{C}^n \cup \text{extra nebulous pts.}$

•  $\text{Spec } \mathbb{C}[x]/(x) \cong \text{Spec } \mathbb{C}[x]/(x^2)$  as top. sp's



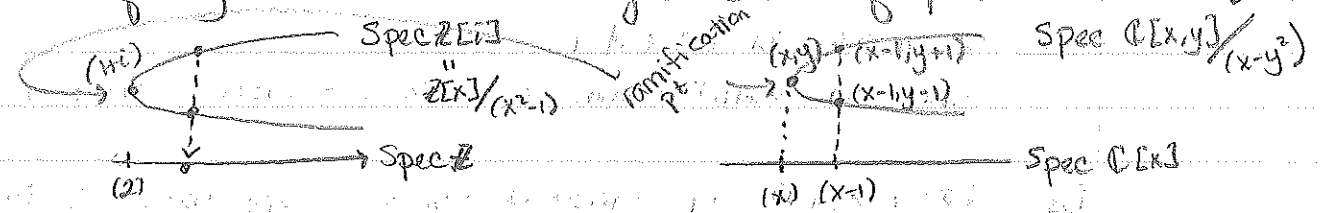
But fens on 2 sets are different - schemes give this extra info.

$\mathbb{Z} \hookrightarrow \mathbb{Z}[i]$



- $(1+i)^2 = 2 \Rightarrow (2) \subseteq (1+i)^2$ . Actually, only get even ints, so  $(2) = (1+i)^2$
- $5 = 1+4 = 1^2+2^2 = (1+2i)(1-2i)$ , both are prime
- $13 = 4+9 = 2^2+3^2 = (2+3i)(2-3i)$  (3 mod 4)...

dimension 1: (0), max ideals only. ie,  $\mathbb{C}[x]$   
 dimension 2: (0), prime, max, ie  $\mathbb{Z}[x] \xrightarrow{\text{ex}} (0) \subseteq (x) \subseteq (2, x)$   
 codim. of ring is 1 less than longest chain of prime ideals.



- $\mathbb{Z}[i]/(3)$  &  $\mathbb{Z}[i]/(1+2i)$  give different fields, b/c  $\mathbb{Z}$  not alg. closed & allows finite field extensions
- $\text{Spec } \mathbb{Z}[i]$  is parabola b/c in a field extension, ie  $\mathbb{Q}$ , (3) will split into 2 pts. (1+i) never will split - it's 2 copies of  $\mathbb{Z}$  (1+2i) & (1-2i)
- (1+i) already.  $(1+i)^2 = 2$ .

## Modules

module: ring :: vector bundle: space

abelian gp (+) & operation ( $\circ$ ) of ring on it.

### Examples:

- (1) If  $I \subseteq A$  is an ideal, then  $I$  is a submodule of  $A$ .
- (2)  $A$  is a module of itself.
- (3) Vector sp. over a field.
- (4)  $\mathbb{Z}$ -modules  $\Leftrightarrow$  abelian gp (ie,  $2x = x+x$ ).
- (5) If  $G$  a gp,  $k[G]$  (the gp algebra) over a field  $k$ .  
left  $k[G]$ -modules are  $G$ -representations.  
(needs to be  $k$ -mod, ie vector sp. w/ an action of  $G$ )

Def:  $\phi: M \rightarrow N$  is an  $A$ -mod. homomorphism if  
 $\phi(m+n) = \phi(m) + \phi(n)$  &  $\phi(am) = a\phi(m) \forall a \in A,$   
 $m \in M, n \in N.$

- $\ker \phi = \{m \in M \mid \phi(m) = 0\}$  is a submodule of  $M$ .
- If  $N \subseteq M$  a submod, then  $M/N$  a mod. if  
 $a \cdot \bar{m} := \overline{a \cdot m}$ . well-def b/c if  $m \equiv m' \pmod{N}$ ,  $\Rightarrow m' = m+n$  for some  $n \in N$   
then  $a \cdot \bar{m}' = \overline{a \cdot m'} = \overline{a(m+n)} = \overline{am+an} = \overline{am} = \bar{a}m \checkmark$ .
- $\text{Im } \phi = \{x \in N \mid \exists m \in M \text{ s.t. } \phi(m) = x\} \subseteq N$  submod.
- Cokernel:  $\text{coker } \phi = N/\text{Im } \phi$ .
- $\text{Hom}(M, N) = \{\phi: M \rightarrow N \mid \phi \text{ a mod. hom.}\}$  an  $A$ -mod.  
( $(a\phi)(m) = a \cdot \phi(m)$ ).
- $M \xrightarrow{f} M' \xrightarrow{\text{(induces)}} \text{Hom}(M', N) \rightarrow \text{Hom}(M, N)$   
 $\phi \longmapsto \phi \circ f$

ie,  $\text{Hom}(-, N)$  is a contravariant functor:

$A\text{-mod} \rightarrow A\text{-mod}$ , but in opp. direction.

- $N \xrightarrow{g} N' \xrightarrow{\text{(induces)}} \text{Hom}(M, N) \rightarrow \text{Hom}(M, N')$   
 $\phi \longmapsto g \circ \phi$

ie,  $\text{Hom}(M, -)$  is a covariant functor:  
direction of induced map is same.



Can take sum of submods, their intersection (a submod),  
not their product, only prod. of ideal & submod.,  
can do quotients.

• If  $M, N \subseteq P$  submodules, then  $[M:N] = \{a \in A \mid aN \subseteq M\} \subseteq A$   
is an ideal. In part,  $[0:N] = \text{Ann } N$ , the annihilator  
of  $N$ .

• If  $S \subseteq M$ ,  $S$  a subset of  $M$  a module, then  
 $\langle S \rangle = \{x \in M \mid x = \sum_{i=1}^n a_i s_i \text{ for some } n \geq 0, a_i \in A, s_i \in S\} \subseteq M$  is  
the smallest submod. of  $M$  containing  $S$ .

Def:  $M$  is finitely generated if  $\exists$  an  $S \subseteq M$ ,  $S$  finite,  
s.t.  $\langle S \rangle = M$ .

(somewhat analogous to f.d. vector sp's)

Ex:  $\mathbb{Z}/2\mathbb{Z}$  as a  $\mathbb{Z}$ -module. does not have a basis.  $1$  is a  
generator, but not lin. ind,  $\forall c: 2 \cdot 1 = 0$  (if  $2x = 0 \nRightarrow x = 0$ ),  
so not a basis.

Prop:  $M$  is f.g.  $\Leftrightarrow M \cong$  quotient of  $A^n$

( $A^n = \bigoplus_{i=1}^n A$ )

Pf: If  $M = A^n/N$  for some  $N \subseteq A^n$  a submod, then  
 $\{\bar{e}_i\}_{i=1}^n$ ,  $e_i = (0, \dots, 0, \overset{i^{\text{th}} \text{ position}}{1}, 0, \dots, 0) \in A^n$  form a finite set  
of generators.

If  $M$  is f.g. by  $\{x_1, \dots, x_n\} \subseteq M$ , then map  
 $A^n \rightarrow M$  by  $\phi(e_i) = x_i$ , & extend by linearity.  
Then  $\phi$  a mod. hom, & onto.

$\Rightarrow M \cong A^n / \ker \phi$  ✓

2/6

Nakayama's Lemma: (NAK Lemma)  $\rightarrow$  Goal

Prop: Let  $M$  be a f.g.  $A$ -mod,  $\mathfrak{a} \subseteq A$  an ideal,

$\phi: M \rightarrow M$  a mod. hom. w/ property  $\phi(M) \subseteq \mathfrak{a}M$ .

Then  $\exists a_1, \dots, a_n \in \mathfrak{a}$  s.t.  $\phi^n + a_1\phi^{n-1} + \dots + a_n = 0$ .

(formal eqn in  $\text{Hom}(M, M) = \text{End}(M) \leftarrow$  a comm. ring)

[similar to "a matrix satisfies its characteristic poly"]

Pf: Let  $x_1, \dots, x_n$  be a set of generators of  $M$ .

Write  $\phi(x_j) = \sum_{i=1}^n a_{ij}x_i$ ,  $a_{ij} \in \mathfrak{a}$ .

$$\Rightarrow \sum_{j=1}^n (\phi \cdot \delta_{ij} - a_{ij})(x_j) = 0$$

$$\Rightarrow \underbrace{\begin{pmatrix} \phi - a_{11} & -a_{12} & -a_{13} & \dots & -a_{1n} \\ -a_{21} & \phi - a_{22} & -a_{23} & \dots & \dots \\ \dots & \dots & \dots & \dots & \dots \\ \dots & \dots & \dots & \dots & \dots \\ \dots & \dots & \dots & \dots & \phi - a_{nn} \end{pmatrix}}_X \begin{pmatrix} x_1 \\ \vdots \\ x_n \end{pmatrix} = 0$$

Mult by  $X$ -adjoint (take all det's of minors)

[Given sq. matrix  $X$ ,  $\exists$  adjoint  $X^+$  s.t.  $X^+X = \det X \cdot I$ ]

$$\Rightarrow (\det X) \begin{pmatrix} x_1 \\ \vdots \\ x_n \end{pmatrix} = 0 \Rightarrow \det X = 0 \in \text{End}(M) \quad (\text{b/c } = 0 \forall \text{ gens})$$

$\uparrow$   
End(M)

$\Rightarrow \det X = \text{product of diag.} = 0 \rightarrow$  eqn of correct form.  $\square$

Cor 1: If  $\mathfrak{a}M = M$ , then  $\exists x \in A$ ,  $x \equiv 1 \pmod{\mathfrak{a}}$  s.t.  $xM = 0$ .

Pf: Take  $\phi = \text{id} \Rightarrow \underbrace{(1 + a_1 + \dots + a_n)}_{=x} m = 0 \quad \forall m \in M$

Then  $x \equiv 1 \pmod{\mathfrak{a}}$  &  $xm = 0 \quad \forall m \in M \quad \square$

Jacobson radical,  $\mathcal{R} = \bigcap \mathfrak{m}$ ,  $\mathfrak{m} \in A$ ,  $\mathfrak{m}$  max'l.

Fact: If  $x \equiv 1 \pmod{\mathcal{R}} \Rightarrow x$  unit.

Nakayama's Lemma: Let  $M$  be f.g.  $A$ -mod,  $\mathfrak{a} \subseteq A$  an ideal,  $\mathfrak{a} \subseteq \mathcal{R}$ . Then  $\mathfrak{a}M = M \Rightarrow M = 0$ .

Pf 1:  $\mathfrak{a}M = M \Rightarrow \exists x \in A$   $x \equiv 1 \pmod{\mathfrak{a}}$ , s.t.  $xM = 0$ ,

But  $x \equiv 1 \pmod{\mathcal{R}} \Rightarrow x$  a unit,  $yx = 1 \Rightarrow M = yxM = y(0) = 0$ .  $\checkmark$

Pf 2: Assume  $M \neq 0$ . Then let  $\{x_1, \dots, x_n\}^{\neq \emptyset}$  be a minimal set of generators (minimal exists b/c  $M$  f.g.)

( $\neq \emptyset$  b/c  $M \neq 0$ ).  $x_n \in \mathfrak{a}M = M \Rightarrow x_n = a_1x_1 + \dots + a_nx_n$ ,  $a_i \in \mathfrak{a}$

$\Rightarrow (1 - a_n)x_n = a_1x_1 + \dots + a_{n-1}x_{n-1}$ . But  $1 - a_n$  is a unit,

so mult by inverse  $\frac{1}{1 - a_n}$   $x_n = a_1(1 - a_n)^{-1}x_1 + \dots + a_{n-1}(1 - a_n)^{-1}x_{n-1}$

$\Rightarrow x_n \in \text{span}\{x_1, \dots, x_{n-1}\}$ , contradiction of minimality.

(i.e., can throw out all gen's, so  $M = 0$ )

Cor: If  $M$  f.g.,  $N \subseteq M$  submodule,  $\mathfrak{a} \subseteq \mathcal{R}$ , then  $M = \mathfrak{a}M + N \Rightarrow M = N$ .

Pf: Mod out by  $N$ :  $\downarrow$  ?

$$M/N = (\mathfrak{a}M + N)/N \stackrel{\downarrow}{=} \mathfrak{a}(M/N) \Rightarrow M/N = 0 \Rightarrow M = N.$$

Prop: Let  $A$  be a local ring w/ max. ideal  $\mathfrak{m}$ ,  $M$  a f.g.  $A$ -mod.

$A/\mathfrak{m} = k$  (residue field). Notice that  $M/\mathfrak{m}M$

is a  $k$ -vector sp. (b/c action of  $\mathfrak{m} = 0$ ; so really an action of  $A/\mathfrak{m} = k$ ).

Let  $x_1, \dots, x_n \in M$  s.t.

$\bar{x}_1, \dots, \bar{x}_n$  generate  $M/\mathfrak{m}M$  as a  $k$ -vector sp. Then

$x_1, \dots, x_n$  gen.  $M$ .

Pf: Let  $N = \langle x_1, \dots, x_n \rangle$ . WTS  $M = N$ . [Note that

$N/\mathfrak{m}(N) \subseteq M/\mathfrak{m}M$ , and the assumption that  $\bar{x}_1, \dots, \bar{x}_n$  implies that they are  $=$ .]

Claim:  $M = N + \mathfrak{m}M$ .

Pf: Let  $x \in M$ , look at  $\bar{x} \in M/\mathfrak{m}M$ . Then

$$\bar{x} = \bar{a}_1\bar{x}_1 + \dots + \bar{a}_n\bar{x}_n \quad (\bar{a}_i \in k)$$

$$\Rightarrow x - (a_1x_1 + \dots + a_nx_n) \in \mathfrak{m}M$$

$$\Rightarrow x \in N + \mathfrak{m}M, \quad \text{Since } \mathfrak{m} = \mathcal{R} \text{ (local)} \Rightarrow M = N \quad \square$$

This prop. gives a way to choose basis of module, b/c we know how to pick basis of vector sp's: just lift it to  $M$ .

## Short Exact Sequences

$$0 \rightarrow \mathbb{Z} \xrightarrow{\cdot 2} \mathbb{Z} \rightarrow \mathbb{Z}/2\mathbb{Z} \rightarrow 0$$

• if these were vector sp's,  $0 \rightarrow A \rightarrow B \rightarrow C \rightarrow 0$ , then  $B = A \oplus C$ , i.e.  $\mathbb{Z} = \mathbb{Z} \oplus \mathbb{Z}/2\mathbb{Z}$ .

• But in modules,  $B$  is not uniquely determined by  $A \oplus C$ .

Also true that  $0 \rightarrow \mathbb{Z} \rightarrow \mathbb{Z} \oplus \mathbb{Z}/2\mathbb{Z} \rightarrow \mathbb{Z}/2\mathbb{Z} \rightarrow 0$  is a s.e.s.

So s.e.s.'s give us way to build new modules (extensions) from  $A \hat{=} C$ , i.e.  $B$ .

or a way to decompose a more complicated module,  $B$ , into  $A \hat{=} C$ .

2/8

$$0 \rightarrow A \rightarrow B \rightarrow C \rightarrow 0 \quad \text{exact means } \text{Ker} = \text{Im}$$

$\uparrow$  injective  $\uparrow$  surj.  
 $B$  is an extension of  $C$  by  $A$

$$B \supseteq A \text{ and } B/A \cong C.$$

Ex: (Abelian gps) In  $\mathbb{Z}$ -mod, extensions of  $\mathbb{Z}/2$  by  $\mathbb{Z}/2$  are:  $\mathbb{Z}/2 \oplus \mathbb{Z}/2$  and  $\mathbb{Z}/4$  (these are the only 2 gps of order 4)  $\uparrow$   $C \oplus A$  always works (not  $\cong$ )

Exactness: We say a functor  $F: R\text{-Mod} \rightarrow S\text{-Mod}$  is left/right exact if whenever we have a c.e.s.

$$0 \rightarrow A \rightarrow B \rightarrow C \rightarrow 0, \text{ the sequence}$$

$$0 \rightarrow f(A) \rightarrow f(B) \rightarrow f(C) \rightarrow 0 \text{ is still exact. or } f(A) \rightarrow f(B) \rightarrow f(C) \rightarrow 0$$

$\uparrow$  i.e., may not be surj.  $\uparrow$  may not be inj.  $\uparrow$  still exact

for a covariant (direction of arrows stays same) functor.

For a contravariant functor  $G$ ,  $G$  is left exact:  $0 \rightarrow G(C) \rightarrow G(B) \rightarrow G(A)$  exact  
 $G$  is right exact:  $G(C) \rightarrow G(B) \rightarrow G(A) \rightarrow 0$  exact

Thm: ①  $\text{Hom}(-, M): R\text{-mod} \rightarrow R\text{-mod}$  is contravariant left exact  
 ②  $\text{Hom}(N, -): R\text{-mod} \rightarrow R\text{-mod}$  is covariant left exact

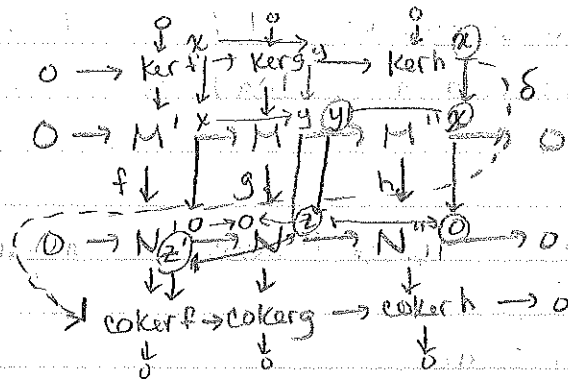
PF (part) Let  $0 \rightarrow M' \xrightarrow{g} M \rightarrow M'' \rightarrow 0$  exact &  $N$  an  $R$ -mod: ②:  $0 \rightarrow \text{Hom}(N, M') \xrightarrow{\phi} \text{Hom}(N, M) \rightarrow \text{Hom}(N, M'')$

WTS: exact. First, show  $\phi$  is injective.

$$\phi(f) = g \circ f \quad \text{for } f \in \text{Hom}(N, M')$$

If  $\phi(f) = \phi(f') \Rightarrow g \circ f = g \circ f'$  but  $g$  was injective  
 $\Rightarrow f = f'$

Snake Lemma: Let  $\mathcal{D}$  w/ all squares commute



$\exists z' \in N'$  b/c  $z \mapsto 0$ , b/c  $x \in \text{ker } h$   
 so can get from  $x$  to  $z'$ .

well-def? (check  $0 \rightarrow 0$ )

(b/c  $z'$  must =  $f(y)$ ,)  
 so  $z' \in \text{Im } f \Rightarrow z' \rightarrow 0$ .

Then  $\exists$  a long exact sequence:

$$0 \rightarrow \text{Ker } f \rightarrow \text{Ker } g \rightarrow \text{Ker } h \xrightarrow{\delta} \text{coker } f \rightarrow \text{coker } g \rightarrow \text{coker } h \rightarrow 0$$

"Diagram Chasing" Why  $\text{Ker } f \rightarrow \text{Ker } g$ ?

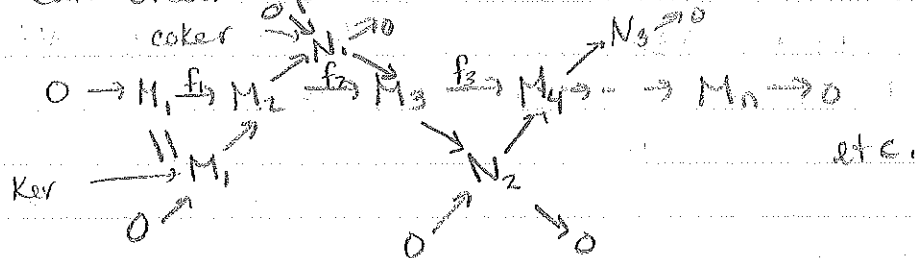
If  $\lambda: R\text{-mod} \rightarrow \mathbb{Z}$  s.t.  $\lambda(M) = \lambda(M') + \lambda(M'')$   $\forall$  s.e.s,

$$0 \rightarrow M' \rightarrow M \rightarrow M'' \rightarrow 0$$

(ex:  $\dim: k\text{-mod} \rightarrow \mathbb{Z}$  applies)

Prop: If  $\lambda$  as before, &  $0 \rightarrow M_1 \rightarrow M_2 \rightarrow \dots \rightarrow M_n \rightarrow 0$  is exact, then  $\sum_{i=1}^n (-1)^i \lambda(M_i) = 0$ , (alternating sum = 0)

Pf: Can break up l.e.s. into ses's:



$$N_1 = M_2 / \text{Im } f_1 = M_2 / \text{Ker } f_2 \cong \text{Im } f_2, \text{ so } N_1 \hookrightarrow M_3$$

$$N_2 = M_3 / \text{Im } f_2 = M_3 / \text{Ker } f_3 \cong \text{Im } f_3, \text{ so } N_2 \hookrightarrow M_4$$

So break up the l.e.s. into ses's. So,

$$\lambda(M_2) = \lambda(M_1) + \lambda(N_1)$$

$$-\lambda(M_3) = \lambda(N_1) + \lambda(N_2)$$

$$-\lambda(M_1) + \lambda(M_2) - \lambda(M_3) = -\lambda(N_2)$$

$$+\lambda(M_4) = \lambda(N_2) + \lambda(N_3)$$

$$-\lambda(M_1) + \lambda(M_2) - \lambda(M_3) + \lambda(M_4) = \lambda(N_3)$$

⋮

etc.

Read p. 24-27 and review. (Tensor products of modules)

Def:  ${}_A M_B$  means  $A$  ring,  $B$  ring,  $M$  is a left  $A$ -mod,  $M$  right  $B$ -mod,  $\exists (a \cdot m) \cdot b = a \cdot (m \cdot b)$   
 $\forall a \in A, b \in B, m \in M$ .

$M$  is called an  $A$ - $B$  bimodule.

Thm If  ${}_A M_B \text{ \& } {}_B N_C$ , then  ${}_A (M \otimes_B N)_C$  is an  $A$ - $C$  bimod.

Pf:  $a \cdot (m \otimes n) = (a \cdot m) \otimes n$  and  $(m \otimes n) \cdot c = m \otimes (n \cdot c)$

( $\exists$  Some more checking - is this well-def?)

(NTS)

$$\begin{aligned}
 a(mb) \otimes n &\stackrel{\text{def}}{=} a(mb \otimes_B n) = a(m \otimes_B n) \stackrel{\text{def}}{=} (am) \otimes n \\
 &= (am)b \otimes n
 \end{aligned}$$

OK, b/c  $M$  is  $A$ - $B$  bimod.

Reason this is important:

If  $M \in A\text{-Mod}$ , then  $M \otimes_B \_ : B\text{-mod} \rightarrow A\text{-mod}$  functor.  
 If  $N \in B\text{-mod}$ ,  $B \otimes_{\mathbb{Z}} \_ \in A\text{-Mod}$   
 $\rightarrow (M \otimes_B N) \in A\text{-Mod}$

2/11  $A\text{-Mod}$  gives rise to a functor,  $A, B$  not nec. comm. rings  
 Ob:  $\text{Mod-}A \rightarrow \text{Mod-}B$

$N \mapsto N \otimes_A M$   
 Morph:  $f: N_1 \rightarrow N_2 \mapsto N_1 \otimes_A M \rightarrow N_2 \otimes_A M$  by  $f \otimes \text{id}$

### Rudiments of Morita Theory

Def: Two rings,  $A \neq B$ , are said to be Morita equivalent if the categories  $\text{Mod-}A$  &  $\text{Mod-}B$  are equivalent.

• Two categories  $C$  &  $D$ .

$F: C \rightarrow D$  is a functor if it associates each object,  $C \in \text{Ob}(C)$ ,  $F(C) \in \text{Ob}(D)$  & for every  $C_1, C_2 \in C$  a map  $\text{Hom}_C(C_1, C_2) \rightarrow \text{Hom}_D(F(C_1), F(C_2))$  s.t. compositions are respected.

[Given  $M_1 \in N_1$ , get  $M_1 \otimes N_1 \xrightarrow{(f \otimes g)} (m \otimes n)$   
 $\downarrow f \quad \downarrow g \quad \downarrow f \otimes g \quad = f(m) \otimes g(n)$   
 $M_2 \quad N_2 \quad M_2 \otimes N_2$  ]

• Two categories are equivalent if there are functors in both directions s.t. the composition is isomorphic to the id. in both ways. (see below)

ex:  $\text{Mod-}A \rightarrow \text{Mod-}A$

$M \mapsto M \otimes_A A \cong M$ , but  $M \neq M \otimes_A A$

$\rightarrow$  A pair of functors  $F: C \rightarrow D$  &  $G: D \rightarrow C$  are said to be inverse equivalences if

$G \circ F: C \rightarrow C$  is isomorphic to  $1_C$  &  $F \circ G \cong 1_D$ .

ie,  $\forall C \in C$  a choice of iso.  $(G \circ F)(C) \cong C$

s.t.  $C \xrightarrow{\phi_C} C'$  commutes. one for each  $C$ .  
 $(G \circ F)(C) \xrightarrow{\phi_{G \circ F(C)}} (G \circ F)(C')$

ex: 2 categories w/ only one obj. & all morph's are iso (ie, each is a gp). Need the commutative diagram b/c only looking at functor's action on obj's is trivial. Here, need functors to be gp homomorphisms - ie inverse equivalences.

Look at functors induced by bimods.

Start w/ 2 rings  $A$  &  $B$ , & try to understand how they could be Morita equiv:

$$\text{Mod-}A \xrightleftharpoons[G = \cdot \otimes_B N]{F = \cdot \otimes_A M} \text{Mod-}B$$

$M = A \otimes_B N$ ,  $N = B \otimes_A M$   
 $M \otimes_B N \leftarrow A$ -A bimod.

$$G \circ F(X) = (X \otimes_A M) \otimes_B N \cong X \otimes_A (M \otimes_B N)$$

Would like  $M \otimes_B N \cong A$  as an  $A$ - $A$  bimod.

(b/c then  $G \circ F \cong \text{id}$ , ie  $X \otimes_A (M \otimes_B N) \cong X$ )

Similarly, want  $N \otimes_A M \cong B$  as  $B$ - $B$  bimod.

Example (fundamental)  $A = k$ , a field,  $B = M_n(k) = \text{End}_k(V)$ , for  $V \in A\text{-Mod}$ . (b/c an  $A$ -mod is a vector sp) & f.g.

$$\text{Want to write } \text{End}(V) = \underbrace{V^\vee \otimes_k V}_{\uparrow V\text{-dual}}$$

In general, there is a map

$$V^\vee \otimes V \rightarrow \text{End}(V)$$

$$\phi = \sum_i f_i \otimes v_i \mapsto (\tilde{\phi} \cdot V \rightarrow V, \tilde{\phi}(v) = \sum_i f_i(v) \cdot v_i)$$

$f_i \in \text{Hom}(V, k)$   
 $v_i \in V$

Claim: This is an iso. ( $\phi \mapsto \tilde{\phi}$ ) of  $V$ 's if  $V$  f.d.

Pick a basis  $e_1, \dots, e_n$  of  $V$ . Given any  $\psi: V \rightarrow V$ , define  $\phi$  as follows.

$\forall v \in V, \psi(v) = \sum_i a_i(v) \cdot e_i$ . Note,  $a_i(v)$  is a linear fcn of  $v$ !! Take  $\phi = \sum_i a_i \otimes e_i$ , then

$\psi = \tilde{\phi}$ , so map is surj. & is an iso.

$$\Rightarrow M = V \text{ & } N = V^\vee \text{ so } N \otimes_A M \cong B$$



as  $k$ - $k$  bimod.

Is  $M \otimes_B N \cong A$ ? i.e., What is  $V \otimes_{\text{End}(V)} V^v$ ?

If  $k = k$ , then  $k \cong M_n(k)$  are Morita equivalent. ( $V$  a left  $k$ -mod. why a right  $M_n(k)$  mod? mult by matrices on right. So  $V^v$  is the approp. bi-mod)

Ex:

Prove that  $V \otimes_{\text{End}(V)} V^v$  is the same thing as matrices in  $\text{End}(V)$  which commute w/ everything, i.e.  $k$ .

HW

2/13

$\text{Spec } A = Z_1 \cup Z_2$ ,  $Z_1 = V(I_1)$ ,  $Z_2 = V(I_2)$

$Z_1 \cup Z_2 = \text{Spec } A \Rightarrow I_1 \cap I_2 \subseteq \mathfrak{N}$

$Z_1 \cap Z_2 = \emptyset \Rightarrow I_1 + I_2 = A$

$I_1, I_2$  coprime,  $A/I_1 \times A/I_2 \cong A/I_1 \cap I_2$

$\Rightarrow A/\mathfrak{N}$  there are nontrivial idempotents

so  $\bar{x}^2 = \bar{x}$  in  $A/\mathfrak{N} \Rightarrow x^2 = x + n$  for some

$x \in A, n \in \mathfrak{N}$

Hint: Try to find smth in  $A$  which is idempotent up to  $\mathfrak{N}^2$ . Repeat process, & through induction will get a true idempotent. Try  $y = x + an$  for some  $a \in A$ . (good choice of  $a$ !)

$k$  a field,  $V = \text{f.d. } k\text{-vector sp.}$  Think of elts of  $V$  as column vectors.  $\text{End}(V) = B$  ( $B = M_n(k)$ )

$BV_k$ . Claim:  ${}_k V_B \cong B$  iff  $M \in B, f: V \rightarrow k$  ( $f \in V^v$ )

define  $f \cdot M: V \rightarrow k$  by  $(f \cdot M)(v) = f(M \cdot v)$ , a

Check this is a right action.

Get functors

$$\begin{array}{ccc} \text{Mod-}k & \xrightarrow{x \mapsto x \otimes_k V^v} & \text{Mod-}B \\ & \xrightarrow{\quad \quad \quad} & \\ Y \otimes_B V & \xleftarrow{\quad \quad \quad} & Y \end{array}$$

" $\hookrightarrow$ ": easy:  $Y \mapsto Y \otimes_B V \rightarrow Y \otimes_B (V \otimes_k V^v) \cong Y \otimes_B B = Y$

$$" \hookrightarrow " : X \rightarrow X \otimes_{\mathbb{K}} V^V \rightarrow X \otimes_{\mathbb{K}} (V^V \otimes_B V) \simeq X \otimes_{\mathbb{K}} \mathbb{K} \simeq X$$

$$V^V \otimes_B V \ni \sum_i \alpha_i \otimes_B v_i, \alpha_i \in V^V, v_i \in V$$

?

If  $B$  were  $\mathbb{K}$ , can turn it into a lin. transf.  $\phi$ .  
 $x \mapsto \sum_i \alpha_i(x) \otimes v_i$

This  $\phi$  is constrained by  $\otimes_B$  b/c need

$$(\alpha_i \cdot M) \otimes_B v_i = \alpha_i \otimes_B (M \cdot v_i) \quad \forall M \in B.$$

So  $\phi(Mx) = M \cdot \phi(x)$ , i.e.  $\phi$  commutes w/ any matrix  $M \Rightarrow \phi$  is a scalar matrix.

\* This argument is not quite correct, b/c suggested

$$\exists \text{ map } V^V \otimes_B V$$

$\downarrow$

$$V^V \otimes_{\mathbb{K}} V$$

$\uparrow$  but arrow actually goes in other direction, b/c

$$V^V \otimes_B V = V^V \otimes_{\mathbb{K}} V / \text{relation } \alpha_i M \otimes v_i = \alpha_i \otimes M v_i$$

Ex:

So  $\exists$  surj  $\uparrow$ ,  $\exists$  NTS every endomorphism gets identified w/ a scalar matrix in  $V^V \otimes_B V$ .

## Applications of Tensor Product in Comm Alg

### ① Extension of Scalars

$A \xrightarrow{\phi} B$  a map of rings

| restriction of scalars

$B\text{-Mod} \longrightarrow A\text{-mod}$  :  $a$  acts via  $\phi(a)$

$\psi$  (i.e. restricting to scalars in  $\text{im } \phi$ )

$B^M$  Then  $A^M$  b/c  $a \cdot m = \phi(a) \cdot m$ .

How to get from an  $A$ -mod to a  $B$ -mod?

If  $N \in \mathcal{A}$ , we could look at pairs  $(b, n)$  s.t.  
 $b \in B, n \in N$ . This is a  $B$ -mod, b/c  $B$  acts on 1<sup>st</sup>  
 component. Naive, b/c forgets about action of  $A$ .  
 Better, look at  $b \otimes_A n$ . Get a functor,  
 $A\text{-mod} \rightarrow B\text{-mod}$

$$N \longmapsto B \otimes_A N$$

(we just use  ${}_B B_A$ , where right  $A$ -mod. structure  
 comes from  $\phi$ ).

Ex:

Prove:

← extension

$$\text{Hom}_{B\text{-mod}}(B \otimes_A N, N') \cong \text{Hom}_{A\text{-mod}}(N, N')$$

for any  $N \in A\text{-mod}, N' \in B\text{-mod}$

We say extension is left adjoint to restriction <sup>(restriction of scalars)</sup>

Def: If  $F: \mathcal{C} \rightarrow \mathcal{D}$  &  $G: \mathcal{D} \rightarrow \mathcal{C}$ ,  $F$  is left adjoint to  
 $G$  if  $\text{Hom}_{\mathcal{D}}(F(C), D) \cong \text{Hom}_{\mathcal{C}}(C, G(D)) \quad \forall C \in \mathcal{C}, D \in \mathcal{D}$ .

(can be substitute for inverse, if inv. doesn't exist)

Ex:

Check that the functor "Forget": Groups  $\rightarrow$  Sets  
 admits a left adjoint  $F: \text{Sets} \rightarrow \text{Groups}$ , w/  $F(S)$   
 $F(S) = \text{free gp on } S$ .

(Simply unwinding the def. of free gp)

Natural isomorphism:  $F, G$  2 functors  $\mathcal{C} \rightarrow \mathcal{D}$  w/

s.t.  $F(A) \cong^{f_A} G(A) \quad \forall A$  a natural iso. means

$\forall A \xrightarrow{\phi} A'$  in  $\mathcal{C}$ , the following diagram commutes

$$\begin{array}{ccc} F(A) & \xrightarrow{f_A} & G(A) \\ F(\phi) \downarrow & \cong_{f_{A'}} & \downarrow G(\phi) \\ F(A') & \xrightarrow{f_{A'}} & G(A') \end{array} \quad (F = \vee, G = \text{id})$$

Ex:

Thm: If  $A$  a f.d. vector sp,  $A^{\vee} \cong A$ , but not naturally.

However,  $A^{\vee\vee} \cong A$  naturally

( $F = \vee\vee, G = \text{id}$ .)

Thm: The functor  $- \otimes N$  is right exact,  $\forall N$ .

Def: We say  $N$  is flat if the functor  $- \otimes N$  is exact.

Ex:  $\mathbb{Z}/2\mathbb{Z}$  is not a flat  $\mathbb{Z}$ -mod.

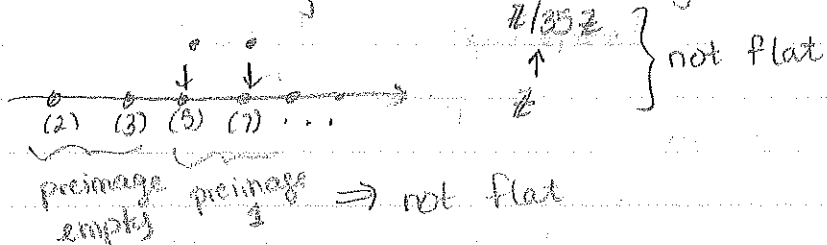
$$0 \rightarrow \mathbb{Z} \xrightarrow{\cdot 2} \mathbb{Z} \rightarrow \mathbb{Z}/2\mathbb{Z} \rightarrow 0 \quad | \quad \otimes \mathbb{Z}/2\mathbb{Z}$$

$$\Rightarrow \quad \mathbb{Z}/2\mathbb{Z} \xrightarrow{\cdot 2} \mathbb{Z}/2\mathbb{Z} \rightarrow \mathbb{Z}/2\mathbb{Z} \rightarrow 0$$

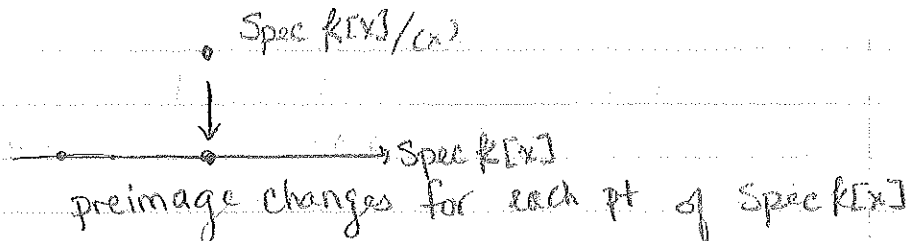
0 map, so can't be injective.

2/15 Ex: ①  $\mathbb{Z}/n\mathbb{Z}$  is not a flat  $\mathbb{Z}$ -mod.

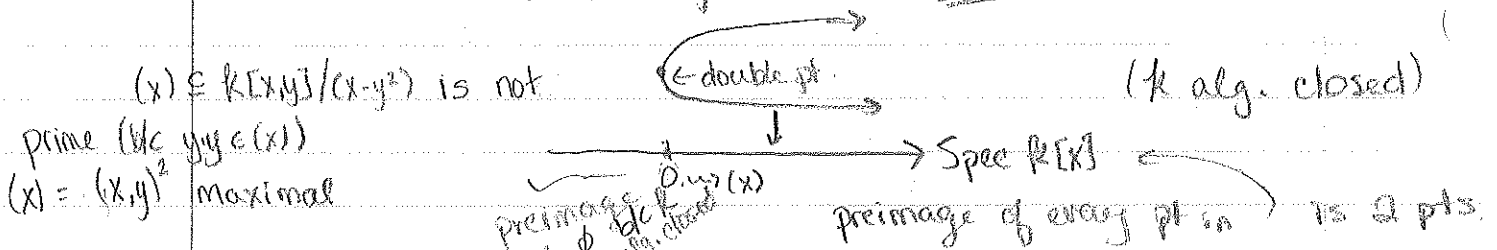
but  $\mathbb{Z}$  is. b/c tensoring w/  $\mathbb{Z}$  is identity functor



②  $K[X]/(x)$  is not a flat  $K[X]$ -mod, but  $K[X]$  is (same argument)



③ Consider the ring map:  $K[X] \rightarrow K[X, Y]/(x-y^2)$ . This makes  $K[X, Y]/(x-y^2)$  into a flat  $K[X]$  mod.



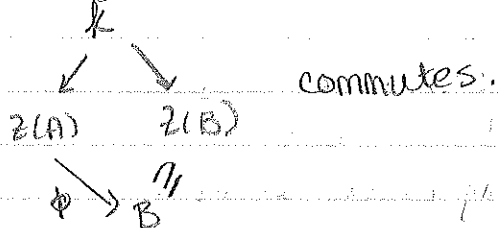
Def: Let  $k$  be a commutative ring. A  $k$ -algebra is a ring (possibly non-comm.)  $A$  and a ring hom.  $k \rightarrow Z(A)$

• Know how to act on  $A$  by scalars (elts of  $k$ ) by moving them into the center & then multiplying.

Ex: ①  $M_n(k)$  is a  $k$ -alg. w/  $k \rightarrow \begin{pmatrix} k & & 0 \\ & \ddots & \\ & & k \end{pmatrix}$   
 ②  $k[x,y]/(x-y^2)$  is a  $k[x]$ -alg.

Geometrically, if  $A$  is commutative, a  $k$ -alg. is a space /  $\text{Spec } k$ , i.e. a space  $X$  plus a map  $X \rightarrow \text{Spec } k$ .

Def: A  $k$ -alg. map  $A \rightarrow B$  is a ring hom  $A \xrightarrow{\phi} B$  st.



Thm: If  $A$  &  $B$  are  $k$ -algs, then we can make  $A \otimes_k B$ , which is also a  $k$ -algebra.

Pf: Define  $(a \otimes b) \cdot (a' \otimes b') = aa' \otimes bb'$

Ex: Check well-def. & assoc.

Ex: ① Say smth interesting about  $\mathbb{C} \otimes_{\mathbb{R}} \mathbb{C}$

• vector sp. over  $\mathbb{R}$  of dim. 4

• comm. ring

• not a field:  $\mathbb{C} \otimes_{\mathbb{R}} \mathbb{C} = \mathbb{R}[x]/(x^2+1) \otimes_{\mathbb{R}} \mathbb{R}[y]/(y^2+1)$

coprime ideals

Chinese remainder Thm

•  $i \otimes 1, 1 \otimes i$  are lin. ind.

$$\begin{aligned}
 &= \mathbb{R}[x,y]/(x^2+1, y^2+1) \\
 &= \mathbb{C}[y]/(y^2+1) \cong \mathbb{C}[y]/(y-i) \times \mathbb{C}[y]/(y+i) \\
 &\quad (\text{Spec is 2 pts})
 \end{aligned}$$

• has 0 divisors:  $(i \otimes 1 + 1 \otimes i)(i \otimes 1 - 1 \otimes i) = (i \otimes 1)^2 - (1 \otimes i)^2$

non-zero b/c  $\mathbb{C}$  has basis over  $\mathbb{R}$

$$= (-1 \otimes 1) - (-1 \otimes 1) = 0$$

$(i \otimes 1)$

so basis

$(i \otimes 1, 1 \otimes i, 1 \otimes 1, i \otimes i)$

## Detour through: Non-comm. Alg

Def: An irreducible <sup>(simple)</sup> module (left/right)  $M$  is one which has no other (l/r) submods but  $0$  or  $M$ .  
(irred. mods correspond exactly to irreps of  $\mathfrak{g}$  algs)

Schur's Lemma: If  $M$  is simple &  $\phi: M \rightarrow M$  is a nonzero module hom, then  $\phi$  is an iso.

Pf: Note:  $\text{Ker } \phi$  &  $\text{Im } \phi$  are submods of  $M$ .

$\phi \neq 0 \Rightarrow \text{Im } \phi \neq 0 \Rightarrow \text{Im } \phi = M \Rightarrow \text{surj.}$

$\phi \neq 0 \Rightarrow \text{Ker } \phi \neq M \Rightarrow \text{Ker } \phi = 0 \Rightarrow \text{inj.}$

(Also true  $\phi: M \rightarrow N$ ,  $M$  &  $N$  are both simple)

\* Simple mods are  $\cong$ , or there are no homs btwn them.  
     $\cong \nexists$ , all homs are isos.

Cor: If  $M$  is simple,  $\text{End}(M)$  is a division algebra (or a skew-ring - a field, but not nec. comm. : a poss. non-comm. ring where every  $\neq$  elt. is invertible)

Pf: all ends are iso's  $\checkmark$

Ex: If  $D$  is a division alg (simple as a mod over self - b/c submods = ideals & all ideals contain unit) then  $D^n$  is a simple  $M_n(D)$ -mod.

(module - multiply vectors by matrices)

simple; can get all matrices from one vector & elementary matrices.

Def: A ring  $A$  is simple if it is simple as a 2-sided mod over itself.

$\rightarrow$  2-sided submods = 2-sided ideals of  $A$ .

(similar to that of  $\mathfrak{g}$ s)

Ex: Show  $M_n(D)$  is simple.

2/18

Simple ring: no 2-sided ideals (other than  $0 \neq R$ )

Simple, f.d.  $k$ -alg's,  $k$  a fixed field

Ex:  $k = \mathbb{R}, \mathbb{C}, \mathbb{H} : \{ \text{all f.d. div. alg's over } \mathbb{R} \text{ (Frobenius)} \}$   
 $\uparrow$  f.d. skew-field over  $\mathbb{R}$  (ie, division alg)

Also  $M_n(\mathbb{R}), M_n(\mathbb{C}), M_n(\mathbb{H})$  } all simple f.d.  $k$ -alg.

Aim: Wedderburn's Thm: If  $k$  a field, every f.d. simple  $k$ -alg is of the form  $M_n(D)$ ,  $D$  is a f.d. division  $k$ -alg.,  $n > 0$ .

Def: A central simple  $k$ -alg is a f.d.  $k$ -alg  $A$ , which is simple &  $Z(A) = k$ .

(always  $k \subseteq Z(A)$ )

-above,  $\mathbb{C} \neq M_n(\mathbb{C})$  are not central.

We will see that if  $A, B$  are central simple  $k$ -alg's, so is  $A \otimes_k B$ .

It follows that we get a gp, Brauer gp of  $k$ :

$Br(k) = \{ \text{central simple alg's} \} / \sim, \otimes$  (up to Morita equivalence)

$A \sim B$  if  $\exists n, m \in \mathbb{Z}$  s.t.  $M_n(A) \cong M_m(B)$ .

(an alg & the matrices over it are same in  $Br(k)$ )

$\Leftrightarrow A \cong B$  Morita equiv.

Ex:  $\mathbb{H} \otimes_{\mathbb{R}} \mathbb{H} \cong M_4(\mathbb{R})$  (prove this) (4 b/c  $\dim \mathbb{H} = 4$ )

$\Rightarrow Br(\mathbb{R}) = \mathbb{Z}/2\mathbb{Z}$

so  $\mathbb{H}$  has order 2, &  $\mathbb{H}$  the only non-trivial elt in  $Br(\mathbb{R})$

Lemma: Let  $A$  be a non-comm.  $k$ -alg. which is f.d. over  $k$ . (in particular, it's a  $k$ -vector sp). Then:

(1)  $A$  has a simple right module

(2) Any non-zero mod. contains a simple sub-mod.

(3) A simple mod has f.d. over  $k$ .

(4) If  $M$  is simple,  $\text{End}_A(M)$  is a  $k$ -division alg.

Pf: [(2)  $\Rightarrow$  (1) b/c  $A \neq 0$ .]

(2): Any minimal  $\neq 0$  submod is simple. Why is there such a submod?

Start w/  $m \in M, m \neq 0$ , look at  $mA \subseteq M$ . ← cyclic submod of  $M$   
 f.d. vector spaces satisfy the  $\neq 0$  descending chain condition. f.d. over  $k$  b/c  $\exists$  surj  $A \rightarrow mA$ , quotient of  $A. \Rightarrow$  f.d.

(3): ( $k$  must be contained in a cyclic submod, which is f.d.) OR

If  $M$  is simple,  $\forall m \in M$ , then  $mA = M$ ,  $\hat{=}$   $mA$  f.d. as above.

(4) Follow's from Schur's Lemma.

Lemma: Assume  $A$  simple,  $M \subseteq A$  a  $\neq 0$  right ideal. Then  $A =$  bicommutant of  $M$ .

Def: If  $M$  an  $A$ -mod,  $A' = \text{End}_A(M)$  is the commutant of  $M$ , &  $A'' = \text{End}_{A'}(M)$  is the bicommutant.  
 $\Rightarrow M$  is an  $A'$ -mod.

Ex:  $k$  a field,  $M$  a f.d. vector sp. over  $k$ . Then

$$A' = \text{End}_k(M) = M_n(k)$$

$$A'' = \text{End}_{M_n(k)}(M) = k$$

Ex: If  $A = M_n(k)$ ,  $M = k^n$  (column vectors)

$$A' = \text{End}_A(M) = k$$

$$A'' = \text{End}_k(M) = A$$

← copy of  $M$   
 $\hat{=}$  left ideal  
 b/c  $\begin{pmatrix} 0 & | & \begin{matrix} x \\ \vdots \\ x \end{matrix} \end{pmatrix} \begin{pmatrix} x & x \\ \vdots & \vdots \\ x & x \end{pmatrix} = \begin{pmatrix} 0 & | & \begin{matrix} x \\ \vdots \\ x \end{matrix} \end{pmatrix}$



Thm (Wedderburn): Any simple f.d.  $k$ -alg is of the form  $M_n(D)$  for  $D$  a division  $k$ -alg.

Pf: Pick a simple <sup>right</sup> submod  $M$  inside  $A$ . ( $M$  a nonzero rt ideal b/c submod)

$A' = \text{End}_A(M)$  is a division alg by Schur's Lemma.

Call  $A' = D$ . So, by lemma,

$$A = \text{End}_D(M).$$

But  $M$  is f.g. over  $D$  b/c f.g. over  $k \subseteq D$  b/c

$M$  a f.d. vector sp over  $k$ . (Every max. set of lin. ind elts is a basis  $\rightarrow$  true for skew-fields, as well)

$D$  a skew-field  $\Rightarrow M$  is free over  $D \Rightarrow$

$$A = \text{End}_D(M) = M_n(D), \text{ where } n = \dim_D M.$$

Ex (of an  $\infty$ -dim. simple ring)  $W =$  Weyl alg.

$$W = \mathcal{D}_{\mathbb{A}^1} = \langle k[x, \partial] / \langle \partial x - x\partial = 1 \rangle \rangle$$

free, n.c. alg over  $k$  in  $x \notin \partial$

Ring of all differential operators on the line.

$$\partial = \frac{\partial}{\partial x}, \quad x = \cdot x, \quad 1 = \cdot 1$$

$$\left. \begin{aligned} \frac{\partial}{\partial x}(x^n \cdot x) &= (n+1)x^n & (n+1)x^n - nx^n &= 1 \cdot x^n \checkmark \\ x \cdot \left(\frac{\partial}{\partial x} x^n\right) &= nx^n \end{aligned} \right\}$$

$$(\partial^{m_1} x^{n_1})(\partial^{m_2} x^{n_2}) = \partial^{m_1+m_2} x^{n_1+n_2} + \text{l.o.t.'s}$$

deformation of polynomial ring

If  $\langle \partial x - x\partial = 0 \rangle$ , get poly. ring in 2 var's:

$$W_t = \langle k[x, \partial] / \langle x\partial - \partial x = t \rangle \rangle$$

$\mathbb{R}^2_{\mathbb{A}^1}$  ← symplectic manifold

Then  $W_0 = k[x, \partial] =$  fens on cotangent bundle of the line.

↳ 2 copies of line

$W_1$  is a quantization of the fens on  $\mathbb{R}^2_{\mathbb{A}^1}$ .

2/20

Lemma (from last time): If  $A$  simple,  $M \subseteq A$  a non-zero right ideal, then  $A'' = A$ , where  $A'' =$  bicommutant,  $A'' = \text{End}_A(M)$ ,  $A' = \text{End}_A(M)$ .

Pf: Define the map  $R: A \rightarrow A''$

$$R(a) \cdot (m) = ma \quad (\text{check this endo over } A')$$

This is a ring hom. WTS inj & surj.

① Injective:  $R(1) = \text{Id}_M \neq 0 \Rightarrow R \neq 0$ , so  $\text{Ker}(R)$  is 2-sided in  $A$  & not everything, so  $\text{Ker}(R) = 0$ .

② Surjective: (i)  $R(M)$  a rt ideal in  $A''$ :

WTS  $\forall a'' \in A''$  and  $m \in M$ , then  $R(m) \cdot a'' = R(m \cdot a'')$

ie WTS  $\forall n \in M$  we have  $a'' \circ R(m) \stackrel{?}{=} a''(m)$

$$(a'' \circ R(m))(n) = (R(m \cdot a''))(n)$$

$$= (nm) \cdot a'' \quad \longleftrightarrow \quad = n(m)a''$$

= b/c  $a''$  an  $A'$ -mod hom., so comm. w/ elt's

ie,  $a''(nm) = n \cdot a''(m)$  ✓ of  $A'$ , & consider  $n$  as a endo. of  $M$ .

(ii)  $R(A)$  a rt ideal in  $A''$ . ( $\Rightarrow$  done, b/c it's a right ideal that contains  $1_{A''}$ , so must be everything.)

$M$  was a right ideal in  $A \Rightarrow A \cdot M$  a 2-sided ideal in  $A$ .  $\Rightarrow A \cdot M \supseteq M \neq 0 \Rightarrow A \cdot M = A$  (since  $A$  simple)  $\Rightarrow R(A) = R(A \cdot M) = R(M) \cdot R(A) = R(M)$

↑  
b/c right mult. switches order.

Thm: The tensor prod. of 2 central simple alg's is again central simple.

Pf: (read in notes)

$\Rightarrow$  The Brauer gp exists.

•  $B_r(\mathbb{R}) = \mathbb{Z}/2\mathbb{Z}$

Thm: If  $k$  an alg. closed field,  $Br(k) = 0$ .

(ex,  $Br(\mathbb{C}) = 0$ )

ie, every <sup>f.d.</sup> div. alg. over  $\mathbb{C}$  must be  $\mathbb{C}$ .

Def: Let  $A$  be a  $k$ -alg.,  $B \subseteq A$  a sub. alg. Then the centralizer of  $B$  is:  $C = \{x \in A \mid xy = yx \forall y \in B\}$ ,  $C$  is a subalg.

Lemma: If  $A, A'$  algs,  $B \subseteq A, B' \subseteq A'$  subalgs w/ centralizers  $C, C'$ , then the centralizer of  $B \otimes B' \subseteq A \otimes A'$  is  $C \otimes C'$ .

Pf:  $C \otimes C' \subseteq C''$  obvious.

read  $C'' \subseteq C \otimes C'$ . □

Lemma: If  $A$  is a simple  $k$ -alg., then  $Z(A)$  is a finite extension of  $k$ , ie  $Z(A)$  is a field,  $k \subseteq Z(A)$ ,  $\frac{1}{2} \dim_k Z(A) < \infty$ .

Pf: Note that  $A = M_n(D)$ ,  $D$  a <sup>f.d.</sup> div. alg. over  $k$ , by Wedderburn.  $M_n(D) = M_n(k) \otimes_k D$  (extension of scalars, By lemma,  $Z(A) = Z(M_n(k)) \otimes_k Z(D)$  check!)  
 $= k \otimes_k Z(D) = Z(D)$ , a field.

$k \subseteq Z(A)$  by def.

f.d. over  $k$  b/c  $A$  was f.d., so its center must be. □

Cor: If  $k = \bar{k}$ ,  $Z(A) = k$ . (b/c no finite field ext's since alg. closed)

Pf of Thm: Let  $D$  be a f.d. div. alg. over  $k$

(assume  $k = \bar{k}$ ). Pick any  $x \in D$ . Look at  $k[x] \subseteq D$  (subring <sup>of  $D$</sup>  gen by  $k$  &  $x$ ).  $k[x]$  f.d. over  $k$  (b/c  $D$  was) Comm. subring, b/c  $k$  are scalars &  $D$  div. alg. over  $k$ , & powers of  $x$  always comm.

Thus  $k[X]$  a f. field ext. of  $k \Rightarrow k[X] = k$   
 $\Rightarrow X \in k. \Rightarrow k = D.$   $\square$

Cor: Any simple alg. over  $k = \bar{k}$  is  $M_n(\bar{k})$  for some  $n$ .

Fact (w/o proof): Let  $A$  be a f.d. alg over a field  $k$ . If  $A \otimes_k \bar{k} = M_n(\bar{k})$ , then  $A$  is central simple over  $k$ .

Almost pf (of existence of Br gp); i.e. c.s.a.  $\otimes$  c.s.a. = c.s.a.

Let  $A, B$  be central simple algs over  $k, \bar{k}$

$\bar{A}, \bar{B}$  be  $A \otimes_k \bar{k}, B \otimes_k \bar{k} \Rightarrow \bar{A} = M_n(\bar{k}), \bar{B} = M_{n'}(\bar{k})$

$\Rightarrow \bar{A} \otimes_{\bar{k}} \bar{B} = M_{nn'}(\bar{k}) = (A \otimes_k \bar{k}) \otimes_{\bar{k}} (B \otimes_k \bar{k})$

$= (A \otimes_k B) \otimes_k \bar{k} = \overbrace{A \otimes B}^{\text{extended}} \otimes_k \bar{k} = \text{matrix alg.}$

By fact,  $A \otimes B$  is central simple.

check!  
 tensor prod of  
 2 matrix algs  
 is a matrix  
 alg.

### Semi-Simplicity

a simple ring has a ! irred. mod., & all other mods are sums of it.

Def: A module is semi-simple if it is a direct sum of irreducibles. A ring is s-s if every f.g. mod. is semi-simple.

2/22

HW

(11b) True that  $m \leq n$ .  $\phi: R^m \rightarrow R^n$  inj  $\Rightarrow m \leq n$ .

(If  $R$  int dom, tensor w/ field of frac of  $R \rightarrow$  exact)

(Baloch) Assume  $m > n$ ,

$$R^m \hookrightarrow R^n \hookrightarrow R^m$$

$\Psi$  is injective &  $\text{Im } \Psi$  has last coord. 0

$\Psi$  an endo of  $R^m$ , so it satisfies a poly:

$$\Psi^k + a_1 \Psi^{k-1} + \dots + a_k = 0 \quad a_i \in R \text{ of minimal degree.}$$

$\Rightarrow a_k \neq 0$  b/c  $\Psi$  inj, else  $\Psi(\Psi^{k-1} + \dots + a_{k-1}) = 0$  & have

left cancelation b/c  $\Psi$  inj.

On the other hand, plug in  $(0, 0, \dots, 1)$

LHS has  $a_k$  in last coord, so  $\neq 0$   $\checkmark$ .

Farb & Dennis, Noncommutative Alg, Ch. 1.

Def: A module  $M$  is s.s. if it is a direct sum of simple modules. It is s.s. & of finite length if this direct sum is finite.

Ex: v.sp's (sum of 1-D v.sp's) & f. length = f. dim.

Prop: Assume  $M_i \subseteq M$  are simple ( $i \in I$ ) s.t.  $\sum_{i \in I} M_i = M$ .

(ie, every elt in  $M$  can be written as sum of  $M_i$ 's, not nec. uniquely), then  $M$  is s.s. &  $\exists J \subseteq I$  s.t.

$$M = \bigoplus_{i \in J} M_i.$$

Pf: Say  $J \subseteq I$  is lin. ind. if  $\sum_{j \in J \text{ finite}} m_j = 0 \Rightarrow m_j = 0 \forall j \in J$  if  $m_j \in M_j \forall j$  (ie, modules form lin. sum  $\rightarrow$  there's no 0)

Let  $J$  be maximal s.t. it's lin. ind. (use Zorn's Lemma to show such a  $J$  exists). Then  $J$

generates, ie.  $\bigoplus_{j \in J} M_j = M$ : Denote  $N = \bigoplus_{j \in J} M_j$

$\forall i \in I$ , look at  $M_i \cap N$  (a submod of  $M_i$ ) if  $= 0$ ,

can add  $i$  to  $J$  & stay lin. ind.  $\checkmark$  (ie  $J \cup \{i\}$  lin. ind.)

$\Rightarrow M_i \cap N = M_i$  b/c  $M_i$  is simple.  $\Rightarrow M_i \subseteq N$ , so

$$M = \sum M_i \subseteq N \checkmark$$

Cor: Submodules & quotients of s.s. are s.s.

Pf: If  $M$  s.s. &  $N \subseteq M$  sub,  $\exists$  surj  $M \xrightarrow{\phi} M/N \rightarrow 0$

$\Rightarrow \phi(M_i)$  generate  $M/N$ , & they are 0 or simple ✓

Look at  $N \cap M_i$ .  $N$  is gen. by  $N \cap M_i$ , which are 0 or simple (b/c they're submods of  $M_i$ , simple)

Cor: If  $N \subseteq M$  sub, then  $N$  is a direct summand of  $M$ .  
( $M$  s.s.)

Pf: Write  $M = \bigoplus M_i$ . Then  $N = \bigoplus (M_i \cap N)$

non-zero for a subset of  $M_i$ 's

Let  $J = \{i \in I \mid M_i \cap N \neq 0\}$ . Then  $M = N \oplus \left( \bigoplus_{i \notin J} M_i \right)$

(exercise)

Prop: Converse is true, ie, if every submod is a direct summand, then  $M$  is s.s.

Prop: If  $M$  s.s. & of finite length, then  $\text{End}(M) = \bigoplus_{i=1}^n M_{n_i}(D_i)$   
 $n_i \in \mathbb{Z}$ ,  $D_i$  is a div. alg.

Pf:  $M = \bigoplus M_i$  (finite). collect all by iso. type. No hom's btwn types.  $M_{n_i}(\text{End}(M_i))$ ,  $n_i = \#$  of  $M_i$ 's of that type.  
 $\uparrow$  a div. alg. by Schur's Lemma.

$$\text{End}(M_i^{\oplus n_i}) = M_{n_i}(D_i)$$

Def:  $R$  is s.s. if it is s.s. as a left module over itself.

Thm: TFAE

(1)  $R$  is s.s.

(2) Every left  $R$ -mod. is s.s.

(3) Every s.e.s. of  $R$ -mod's splits

Detour: Consider a ses

$$0 \rightarrow M' \xrightarrow{i} M \xrightarrow{p} M'' \rightarrow 0$$

TFAE: (1)  $M \cong M' \oplus M''$

(2)  $\exists p': M \rightarrow M'$  s.t.  $p' \circ i = \text{id}_{M'}$

(3)  $\exists i': M'' \rightarrow M$  s.t.  $p \circ i' = \text{id}_{M''}$

ie.  $0 \rightarrow M' \xrightarrow{i} M \xrightarrow{p} M'' \rightarrow 0$



We then say the ses splits if  $i'$  &  $p'$  are called splittings

HW: prove this

Pf of thm:

(1)  $\Rightarrow$  (2): If  $M$  an  $R$ -mod, then  $M$  is a quotient of  $R^I$   
so  $R^I$  s.s. b/c  $R$  is  $\Rightarrow M$  s.s. by prop.

(2)  $\Rightarrow$  (3): obvious b/c  $M' \oplus M$  is a direct summand

(3)  $\Rightarrow$  (1): Pick any submod  $I$  of  $R$  fits in a s.e.s.

$(0 \rightarrow I \rightarrow R \rightarrow R/I \rightarrow 0)$  which splits by (3)  $\Rightarrow$

$I$  is a direct summand,  $\forall$  submods  $I$ .

$\Rightarrow R$  s.s.

Ex: ①  $D$  a div. alg. (b/c all we know a bases carries through to div. algs, so all mod's s.s.)

②  $M_n(D)$   $\leftarrow$  module theory same as that for  $D$   
(Morita equivalent  $\hat{=}$  s.s. is invariant under Morita equivalence)

③  $\mathbb{Z}/n\mathbb{Z}$  s.s. iff  $n$  is square-free. (product of distinct primes to power 1)

④  $k[G]$  is s.s. iff char  $k \nmid |G|$ ; Maschke's Thm  
 $|G| < \infty$  gp. (note, if char  $k = 0$ ,  $k[G]$  is s.s.)

(Artin-Wedderburn Thm)

Structure Thm 1: If  $R$  ss,  $R \cong \prod_{i=1}^n M_{n_i}(D_i)$

$n_i \in \mathbb{Z}$ ,  $D_i$  div. alg.

Pf:  $R = \text{End}_R(R)$  and  $R$  f.g. by 1 so  $R$  fin. length  
 $\frac{1}{\cdot} \nearrow$  is of the correct form (earlier prop.)

$$R = \bigoplus_{i \in I} M_i, M_i \text{ simple}$$

1 = finite sum of elts in  $M_i$ , but can get  $R$   
by mult w/ 1, so no other  $M_i$  can show up  
 $\Rightarrow$  fin. length.

Cor:  $R$  comm & ss  $\Rightarrow R = \prod_{i=1}^n$  fields

Thm: If  $R$  is ss, then  $R = \bigoplus_{i=1}^n R_i$ ,  $R_i$  simple  $R$ -mod  
and these are all the irred (simple)  $R$  mods.

(analogue to regular rep. of gp =  $\oplus$  of all irreps)

Pf: let  $M$  be a simple  $R$ -mod. Then  $\exists$  a map (surj)

$\bigoplus R_i = R \rightarrow M \rightarrow 0$  b/c cyclic submod of  
 $\text{Im}(R_i)$  in  $M$  is either 0 or  $M$ ,  
 $\frac{1}{\cdot}$  at least one nonzero b/c  $M$  gen. by  $m \in M$  is  $M$ .  
map surj. But then that  
nonzero map  $R_i \rightarrow M$  must be  
an iso (Schur's Lemma)



2/25

A an  $R$ -alg,  $R$  comm. - We say  $A$  is Azumaya if  $\forall \underline{m} \in R$  maximal,  $A_{\underline{m}} = A \otimes_R R/\underline{m}$  (a field) is central simple, ie it becomes a matrix alg. after a finite unramified extension of  $R/\underline{m}$ . (A way to extend Brauer gp to schemes)

Recall: Matrix algs over division rings are simple.

Thm (2<sup>nd</sup> Artin-Wedderburn) If  $A$  a ss  $k$ -alg  $\hat{=} A = A_1 \times \dots \times A_m \hat{=} A = A'_1 \times \dots \times A'_n$ ,  $A_i, A'_j$  simple, then  $m=n$   $\hat{=} \forall i \exists j$  s.t.  $A_i = A'_j$  (actually  $=$ , not  $\hat{=}$ !)

Pf: Each  $A_i, A'_j$  are 2-sided ideals in  $A$ . So,

$$A_i = A_i \cdot A = \prod_{j=1}^n \underbrace{A_i \cdot A'_j}_{\text{2-sided ideal in } A_i, \text{ which is simple } \Rightarrow = 0 \text{ or } A_i}$$

If more than 1  $A'_j = A_i$ , it wouldn't be a direct prod. so,  $\exists! j$  s.t.  $A_i \cdot A'_j = A_i \Rightarrow A'_j = A$ . (b/c  $A_i \cdot A'_j$  also a 2-sided ideal in  $A'_j$ .)

Thm: Let  $G$  be a finite gp,  $k$  a field,  $\text{char } k \nmid |G|$ .

Then  $k[G]$  is ss.

Pf: We'll show that any ses. of  $k[G]$ -mods (which are same as  $G$ -reps, so vector sp's w/ a multiplication by  $G$ ) splits. Let

$$0 \rightarrow M' \rightarrow M \xrightarrow{p} M'' \rightarrow 0 \text{ be a ses of } k[G]\text{-mods}$$

B/c every ses of v. sp's splits (pick a basis of  $M''$ , lift it to  $M$  by  $p$ , since  $p$  surj, then define  $s$  by mapping basis elts to basis elts), can always find an  $s$  s.t.  $ps = \text{id}_{M''}$ ,  $\hat{=} s$  is a map of v. sp's. We'd need  $s(g \cdot m'') = g \cdot s(m'')$  for  $s$  to be a map of  $G$ -mods. or, that  $g^{-1}s(g \cdot m'') = s(m'')$   $\forall g \in G$ . No reason for this to be so.

Define  $S: M^n \rightarrow M$  by  $S(m^n) = \sum_{g \in G} g^{-1} s(g m^n)$

Claims: ①  $S$  is  $G$ -equivariant, i.e.  $S(h m^n) = h S(m^n)$ .

$\#$ : Just summing over  $h g$ 's, so same.

②  $p \circ S = |G| \cdot \text{id}_M$ .

$$\begin{aligned} p(S(m^n)) &= \sum_{g \in G} g^{-1} p \circ s(g m^n) \\ &= \sum_{g \in G} g^{-1} g m^n \\ &= |G| m^n \end{aligned}$$

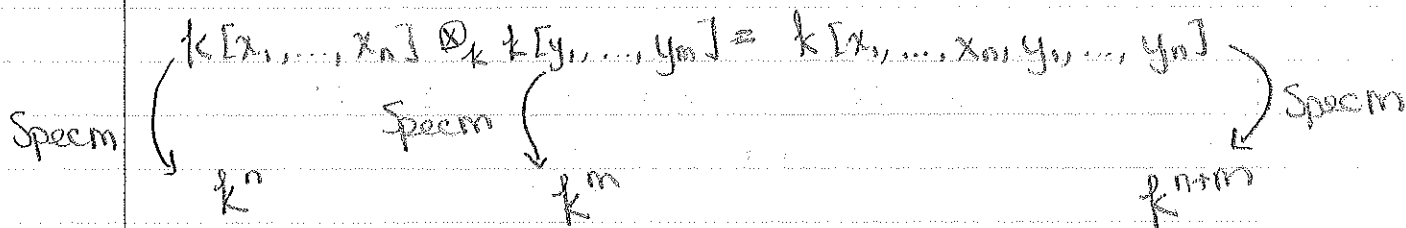
So if  $|G|$  is invertible in  $k[G]$  (i.e.  $\text{char } k \nmid |G|$ ), then  $\frac{1}{|G|} S$  is a  $G$ -equivariant splitting.

Ex: Consider the surj. map of  $k[G]$ -mods, "augmentation"

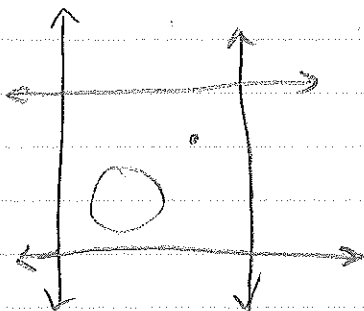
$$\begin{aligned} k[G] &\xrightarrow{\epsilon} k \\ \sum_{g \in G} a_g g &\mapsto \sum a_g \end{aligned}$$

Claim: This splits if  $\text{char } k \nmid |G|$ .

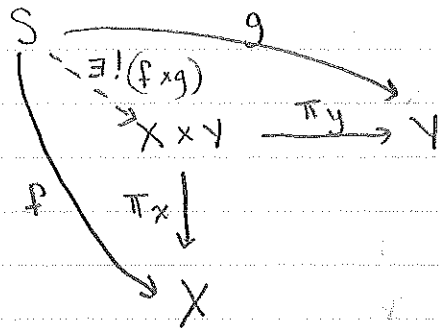
### Tensor Products of Comm Algs



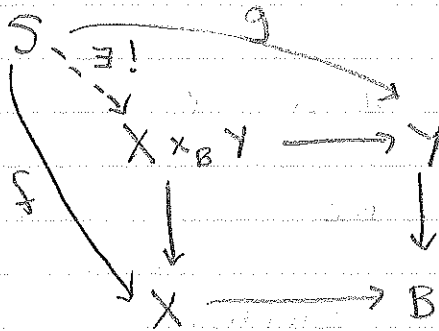
• need Spec, not Spec, b/c in each line, prime ideals are pts, so products are pts & lines, but  $\exists$  more irred. curves (the  $\circ$ , for ex)



For Sets: The universal property of  $X \times Y$ :  $\forall f: S \rightarrow X$   
 $\exists \forall g: S \rightarrow Y, \exists! (f \times g): S \rightarrow X \times Y$  s.t.  $\pi_x \circ (f \times g) = f$  &  
 $\pi_y \circ (f \times g) = g.$



For Fiber Products: Now  $f, g$  are maps over  $B$ , i.e.  
 $= \text{id}$  when go all the way to  $B$ .  
 $X \times_B Y$  is called the fiber product.



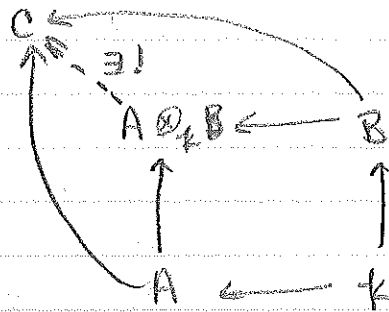
Exercise:

- ①  $X \times_B Y$  is unique up to unique isomorphisms
- ② If  $B = \{*\}$ , then  $X \times_B Y = X \times Y$
- ③ If  $X \subseteq B, Y \subseteq B$ , then  $X \times_B Y = ?$
- ④ If  $X = \{b\}, b \in B, Y \rightarrow B$  arbitrary, then  $X \times_B Y = ?$

Thm!  $\text{Spec}(A \otimes_k B) = \text{Spec } A \times_{\text{Spec } k} \text{Spec } B$  (in affine schemes). i.e.,  $\text{Spec}$  satisfies the universal property for affine schemes.

Pf For  $A, B$  comm. algs over a comm. ring  $k$ ,  
 $\otimes$  is the categorical fiber coproduct in comm. rings.  
 i.e.,  $\hookrightarrow$  arrows reversed.

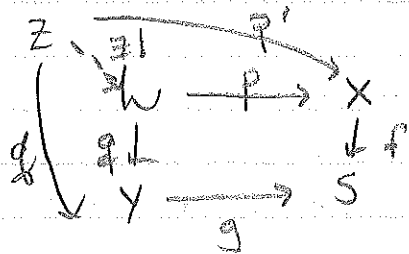
(Check!)



Then replacing everything w/ Spec reverses the arrows, & so it satisfies the univ. prop. for fiber products.

2/27

A space  $W$  with maps  $p \stackrel{\exists!}{\leftarrow} q$  over  $S$  (ie  $f \circ p = g \circ q$ ) is called a fiber product of  $X \stackrel{f}{\leftarrow} Y$  over  $S$  if it satisfies:  $\forall Z \stackrel{p'}{\leftarrow} q'$  over  $S$  (ie  $f \circ p' = g \circ q'$ ) there exists a unique map  $Z \rightarrow W$  making the diagram commute.



So to show the fiber product exists, need to exhibit a  $W$  and maps  $p, q$ .

In the category  $\text{Sets}$ ,  $W = \{(x, y) \in X \times Y \mid f(x) = g(y)\}$

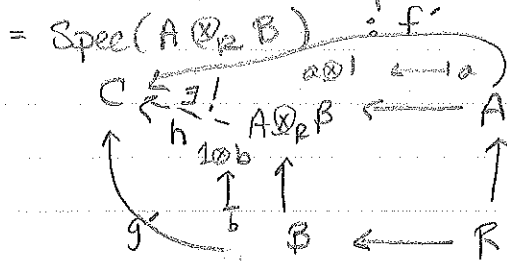
In the category  $\text{Top}$ , same.

Define the category Affine Schemes =  $(\text{Comm Rings})^{\text{op}}$

ie, same objects, but all arrows reversed.

(had an exercise which showed  $X, Y \in \mathbb{A}^n$  (algebraic) a poly (regular) map  $X \rightarrow Y \Leftrightarrow$  ring map  $R_Y \rightarrow R_X$ )

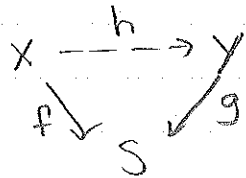
In AffSch, fiber products exist  $\hat{=} \text{Spec } A \times_{\text{Spec } R} \text{Spec } B$



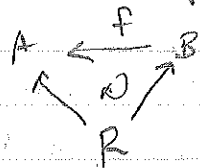
$$h(a \otimes b) = f'(a) \cdot g'(b)$$

(NTC well-def, ring map, unique)

Fix a space  $S$ . A space over  $S$ ,  $X$ , is a pair  $(X, f)$  w/  $f: X \rightarrow S$ . If  $(X, f) \hat{=} (Y, g)$  are spaces over  $S$ , then a map  $h: X \rightarrow Y$  is a map over  $S$  if  $f = g \circ h$  (ie, preserves the structure map)



Similar to a map of  $\mathbb{R}$ -algebras vs. a ring map



• If  $S = \{*\}$ ,  $X \times_S Y = X \times Y$ .

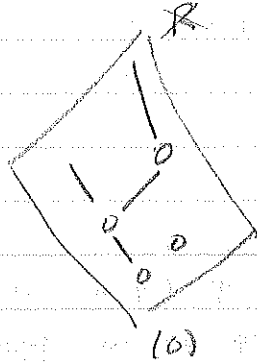
$$\begin{array}{ccc}
 X_S = X \times_S \{s\} & \longrightarrow & X \\
 \downarrow & & \downarrow f \\
 \{s\} & \longrightarrow & S
 \end{array}
 \quad X_S = f^{-1}(s) \text{ (the fiber of } f \text{ over } s)$$

$$\begin{array}{ccc}
 \text{If } X, Y \subseteq S, & X \times_S Y \longrightarrow & X \\
 \downarrow & & \downarrow \\
 Y & \hookrightarrow & S
 \end{array}
 \quad X \times_S Y = X \cap Y \text{ (pts must lie in } X \hat{=} \text{ in } Y)$$

$$\begin{array}{ccccccc}
 \text{If } I, J \subseteq R \text{ ideals} & \rightarrow & R/(I+J) & \leftarrow & R/I & & V(I) \cap V(J) \\
 \text{(in AffSch)} & & \uparrow & & \uparrow & \xrightarrow{\text{Spec}} & \downarrow \\
 & & R/J & \leftarrow & R & & V(J) \hookrightarrow S
 \end{array}$$

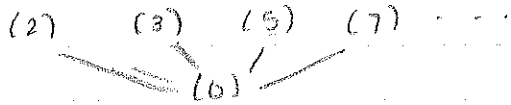
# Rings & Modules of Fractions

$R$  a ring. Draw all prime ideals

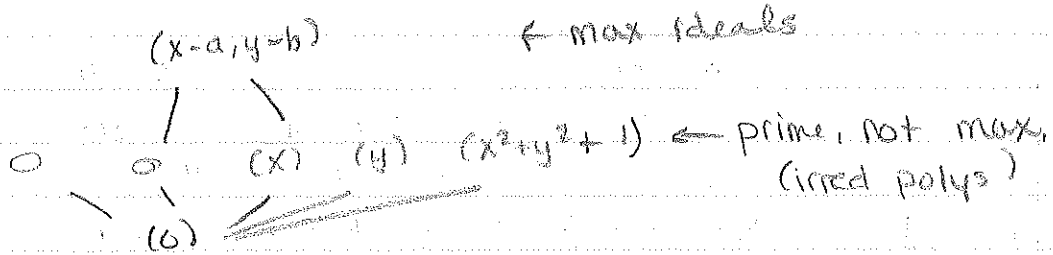


ie, draw the lattice based on inclusion.

$\text{Spec } \mathbb{Z}$ :



$\text{Spec } k[x, y]$



Modding out by an ideal throws out everything below it & keeps only the part of tree from that ideal.

What if want to kill everything not below it?

- Note, the ideal becomes maximal, & the only one b/c rest of that level thrown out  $\Rightarrow$  ring local.

$\text{Spec } \mathbb{Z}$ : Will construct a field, b/c (0) will be max, & only field to assoc. to  $\mathbb{Z}$  is  $\mathbb{Q}$ .

Def:  $A$  a ring.  $S \subseteq R$  is called a multiplicative system if:

(1)  $1 \in S$

(2)  $a, b \in S \Rightarrow a \cdot b \in S$ .

(not nec. cl: under +)

Ex: In  $\mathbb{Z}$ , a mult. sys. is all  $\neq 0$  #'s.

First try at inverting all elts in  $S$ :

Take the set of pairs  $\{(a, s) \mid a \in A, s \in S\}$

- but  $\frac{1}{2} = \frac{2}{4}$ , so can't take all pairs  $(a, s)$ ...

Need:

$(a, s) \sim (b, t) \Leftrightarrow at = bs$ . Doesn't work b/c not

Ch. Obviously sym & refl; transitive...

Trans: Say  $(a, s) \sim (b, t) \neq (b, t) \sim (c, u)$

Then  $at = bs \neq bu = ct$ . Want  $au = cs$

$\Downarrow \qquad \qquad \qquad \Downarrow$

$atu = bsu \neq bsu = cst \Rightarrow t(au - cs) = 0$

If  $t$  not a zero-div, ok, but  $t$  could be zero-div.

Instead:  $(a, s) \sim (b, t) \Leftrightarrow (at - bs) \cdot u = 0$  for some  $u \in S$ .

then, trans. ok. If  $S$  has no 0-div, then

equiv. to original def.

Then  $S^{-1}A \cong$

Introduce  $+$  on  $S^{-1}A$  by:  $\frac{a}{s} + \frac{b}{t} = \frac{at + bs}{st} \quad \neq \frac{a}{s} \cdot \frac{b}{t} = \frac{ab}{st}$

(we write  $\frac{a}{s} = \overline{(a, s)}$ )

Ex: Check this is well-def., makes  $S^{-1}A$  into a ring.

### Universal Property of $S^{-1}A$ :

(1)  $\exists$  canonical ring map  $f: A \rightarrow S^{-1}A$   
 $a \mapsto a/1$  (why needed  $1 \in$  mult. sys)

(2)  $\forall s \in S$ ,  $f(s)$  is a unit in  $S^{-1}A$ .

b/c  $f(s) = \frac{s}{1} \hat{=} \frac{s}{1} \cdot \frac{1}{s} = \frac{s}{s} = \frac{1}{1} = 1_{S^{-1}A}$

(need  $s \in S$  b/c need to write it in denom)

(3) This is universal:

Prop: Let  $A$  be a comm. ring,  $S \subseteq A$  a mult. sys,  $f: A \rightarrow S^{-1}A$  the canonical map. Then given any

comm. ring  $B$  & any ring map  $g: A \rightarrow B$  s.t.

$g(s)$  is a unit  $\forall s \in S$ , then  $\exists!$  ring map  $S^{-1}A \rightarrow B$

s.t. the diagram commutes:

$$\begin{array}{ccc} A & \xrightarrow{f} & S^{-1}A \\ g \downarrow & \searrow \exists! h & \\ B & & \end{array}$$

i.e.  $S^{-1}A$  is the best ring in which have inverted all elts of  $S$  & have a map from  $A$ .

PF: Define  $h(a/s) = g(a)g(s)^{-1}$ .

NTS:  $h$  well-def, ring map,  $\hat{=}!$

$$\begin{aligned} \dagger: h(a/s) &= h(1/s \cdot a) = h(1/s)h(a) = h(f(1))h(f(a)) \\ &= g(1)g(s)^{-1} \quad (\text{b/c ring map takes Inv. to inv of image}). \end{aligned}$$

So this is the only choice for  $h$ .

Ex:  $\textcircled{1}$   $\mathfrak{p} \subseteq A$  prime,  $S = A \setminus \mathfrak{p}$  is a multiplicative sys.

$1 \notin \mathfrak{p}$ , & if  $r \notin \mathfrak{p}$ ,  $r \in \mathfrak{p} \hat{=} s \notin \mathfrak{p}$ .

We define  $A_{\mathfrak{p}} = S^{-1}A$ , we call it the localization of  $A$  at  $\mathfrak{p}$ .

Note:  $A_{\mathfrak{p}}$  is a local ring &  $\mathfrak{p}A_{\mathfrak{p}}$  is its ! max. ideal.

If  $\mathfrak{q} \not\subseteq \mathfrak{p}$ , contains  $s \in S \Rightarrow$  becomes a unit  $\Rightarrow$

$$\mathfrak{q} = A_{\mathfrak{p}}.$$



3/1

Examples of rings of fractions:

①  $\mathfrak{p} \subseteq A$  prime,  $S = A \setminus \mathfrak{p}$ , then  $S^{-1}A = A_{\mathfrak{p}}$ .

$\mathfrak{p}A_{\mathfrak{p}} = \mathfrak{p}^e$  (extension of  $\mathfrak{p}$ )  $A \rightarrow A_{\mathfrak{p}}$   
 $\mathfrak{p} \xrightarrow{U} \mathfrak{p}^e$  a max ideal.

$\mathfrak{p}^e$  is the only max ideal in  $A_{\mathfrak{p}}$ .

Caveat:

②  $f \in A$ ,  $S = \{1, f, f^2, \dots\}$ , then  $S^{-1}A = A_f$  (not a localization)

(If a prime ideal is principal,  $\mathfrak{p} = (f)$ , then it could be a localization - careful of notation)

$\mathfrak{p} = (2) \subseteq \mathbb{Z}$   $S = \mathbb{Z} \setminus \mathfrak{p}$   $2 \in \mathbb{Z}$   $A_2 =$  fracs where denom is power of 2  
 $A_{\mathfrak{p}} =$  fracs where denom is even. (? check?)

③  $S^{-1}A = 0 \Leftrightarrow 0 \in S$

need  $\frac{1}{1} = \frac{0}{s} \Leftrightarrow (1 \cdot 1 - 1 \cdot 0)s = 0$  for some  $s \in S \Leftrightarrow s = 0 \in S$ .

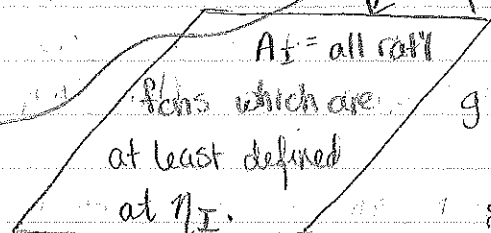
Caveat: In general, the map  $A \rightarrow S^{-1}A$  may not be injective. (true when  $A$  is an integral domain)

④ Let  $A = k[x_1, \dots, x_n]$ ,  $I \subseteq A$  prime. Then

$$A_I = \left\{ \frac{f}{g} \mid g \notin I \right\}$$

$$A^0 = k^n$$

$\forall \eta \in \eta_I$  every non-empty open set  $U \ni \eta$  gives a rat'l fcn on  $k^n$  defined on some open subset.



$g \notin I \Rightarrow \frac{f}{g}$  defined along at least a non-empty open subset of  $V(I)$ .

$V(I)$   
 all prime ideals which contain  $I$   
 - all pts where polys in  $I$  vanish.

$(g \notin I \Rightarrow V(I) \not\subseteq V(g))$   
 $\Rightarrow$  Domain of def. of  $\frac{f}{g}$  is  $A^n \setminus V(g) \supseteq U$  open.  
 $g \notin I \Rightarrow U \cap V(I) \neq \emptyset$   
 (actually defined on most of  $V(I)$  b/c open sets dense)

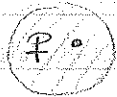
• Geometric Interpretation of Localization:

If  $\mathfrak{p} \subseteq k[x_1, \dots, x_n]$  is max'l, i.e.  $(x_1 - a_1, \dots, x_n - a_n)$  (k alg. cl)

$\frac{f}{g} \in A_{\mathfrak{p}}$  Being  $A_{\mathfrak{p}}$  means it's a rat'l fcn on  $A^n$  which is defined at  $(a_1, \dots, a_n)$ .

→ i.e. rat'l fcn's which are defined around my pt.

→ will allow singularities at pts far away.



look only at germ of space around  $\mathfrak{p}$

→ will retain information that's recovered near  $\mathfrak{p}$

(keep in mind ex. of deriv - only need to know values of fcn in some open set around the pt you're interested in)

⇒ local information

any one will do

i.e. can get info about  $f \in A^n$  near  $\mathfrak{p}$  from its image in  $A_{\mathfrak{p}}$ .

Analogue in Real Analysis:

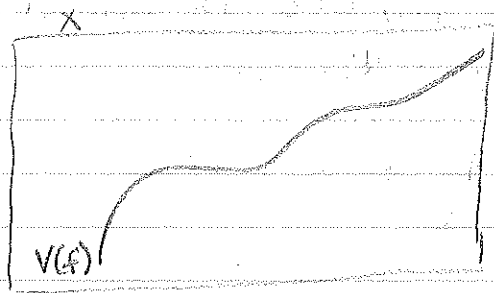
Let  $X = \mathbb{R}^n$ ,  $x = (x_1, \dots, x_n) \in \mathbb{R}^n$ . The ring of germs of fcn's ( $\mathcal{C}^\infty$ , etc, etc) at  $x$  is defined as:

$$\mathcal{C}_x^\infty = \{(U, f) \mid U \subseteq \mathbb{R}^n \text{ open, } x \in U \text{ (nbhd of } x), f \in \mathcal{C}^\infty \text{ defined on } U\} / \sim$$

$$(U, f) \sim (V, g) \Leftrightarrow \exists W, W \subseteq U, W \subseteq V, x \in W, f|_W = g|_W.$$

(if  $f=g$  in some small nbhd of  $x$ , in same germ)

- Passing to  $A_f = \left\{ \frac{g}{f^n} \mid g \in k[x_1, \dots, x_n] \right\}$   
 fens defined on  $X_f = \mathbb{A}^n \setminus V(f)$  (ie, have to avoid  
 $\times$  0's of  $f$ )  
 $X_f = \text{Spec } A_f$ .



$A_f$  = defined everywhere but  
 $V(f)$  (the open set =  $V(f)^c$ )  
 $A_f$  = defined almost everywhere  
 on  $V(f)$

### Modules of Fractions

Let  $A$  a ring,  $M$  an  $A$ -mod,  $S \subseteq A$  a multiplicative set.

Define  $S^{-1}M = \left\{ \frac{m}{s} \mid m \in M, s \in S \right\} / \sim$

↑ same as for rings of fracs.

$$\frac{m}{s} \sim \frac{m'}{s'} \Leftrightarrow (s'm - s'm')s'' = 0$$

for some  $s'' \in S$

(note: don't mult. elts of  $M$ , so doesn't make sense to  
 invert elts of  $M$ )

Note:  $S^{-1}M$  is a module over  $S^{-1}A$ .

If  $f: M \rightarrow M'$  is a map of modules, get a map

$S^{-1}f: S^{-1}M \rightarrow S^{-1}M'$  of  $S^{-1}A$ -mods.

$$\frac{m}{s} \longmapsto \frac{f(m)}{s}$$

$\Rightarrow$   $S^{-1}$  is a functor from  $A\text{-Mod}$  to  $S^{-1}A\text{-mod}$

Prop (1)  $S^{-1}$  is an exact functor

(2)  $S^{-1}M \cong M \otimes_A S^{-1}A$

(3)  $S^{-1}A$  is a flat  $A$ -mod.

Pf:  $(1)$  Let  $M' \xrightarrow{f} M \xrightarrow{g} M''$  be exact, i.e.  $\ker g = \text{im } f$ .

Look at

$$S^{-1}M' \xrightarrow{S^{-1}f} S^{-1}M \xrightarrow{S^{-1}g} S^{-1}M''$$

What is  $\ker(S^{-1}g)$ ?

$$\ker(S^{-1}g) = \left\{ \frac{m}{s} \mid \frac{g(m)}{s} = 0 \text{ in } S^{-1}M'' \right\}. \text{ Let } m/s \in \ker(S^{-1}g)$$

$$\frac{g(m)}{s} = \frac{0}{1} \Leftrightarrow g(m) \cdot t = 0 \text{ for some } t \in S$$

$\Downarrow$

$$g(tm) = 0 \Rightarrow tm \in \ker g = \text{im } f$$

$$\Rightarrow tm = f(m') \text{ for some } m' \in M'$$

$$\Rightarrow S^{-1}f\left(\frac{m'}{ts}\right) = \frac{tm'}{ts} = \frac{m}{s}$$

$$\Rightarrow m/s \in \text{im}(S^{-1}f) \Rightarrow \ker(S^{-1}g) \subseteq \text{im}(S^{-1}f)$$

" $\supseteq$ ": NTS composite map = 0

$$S^{-1}g \circ S^{-1}f = S^{-1}(g \circ f) = 0 \text{ since } g \circ f = 0.$$

(2) Define a map

$$\begin{aligned} M \otimes_A S^{-1}A &\longrightarrow S^{-1}M \\ m \otimes \frac{f}{s} &\longmapsto \frac{fm}{s} \end{aligned}$$

ck: well-def  $(m, \frac{f}{s}) \rightarrow \frac{fm}{s}$  is  $A$ -bilinear

doesn't depend on which rep you pick

Ex: Prove it's an isomorphism:

$$\text{define } S^{-1}M \longrightarrow M \otimes_A S^{-1}A$$

$$\frac{m}{s} \longmapsto m \otimes \frac{1}{s}$$

(3) Automatic.

Ex: If  $A$  an int. dom.,  $A^m \rightarrow A^n$  an injective map  $\Rightarrow m \leq n$

Pf:  $(0) \subseteq A$  prime ( $\Leftrightarrow A$  int dom),  $S = A \setminus \{0\}$

$\Rightarrow K = A_{(0)}$  a field (b/c  $(0)$  max. ideal)

exactness of localization ( $S^{-1}$ )

$\Rightarrow K^m \rightarrow K^n$  is injective  $\Rightarrow m \leq n$  by v.sp. theory

3/4

Tensor products commute w/  $S^{-1}$ :

Prop: If  $M, N$  are  $A$ -mods  $\hat{=} S \subseteq A$  a mult. sys, then

$$S^{-1}(M \otimes_A N) \cong S^{-1}M \otimes_{S^{-1}A} S^{-1}N$$

(modules of  $S^{-1}A$ )

In particular,  $(M \otimes_A N)_{\mathfrak{p}} \cong M_{\mathfrak{p}} \otimes_{A_{\mathfrak{p}}} N_{\mathfrak{p}}$

Pf: " $\rightarrow$ "  $\frac{m \otimes n}{s} \mapsto \frac{m}{s} \otimes \frac{n}{1}$  (map extends by linearity to all tensors)

Define a map  $M \times N \rightarrow S^{-1}M \otimes_{S^{-1}A} S^{-1}N$

$$(m, n) \mapsto \frac{m}{1} \otimes \frac{n}{1}$$

This is an  $A$ -bilinear map  $\Rightarrow$  (univ. prop. of  $\otimes$ )  $\Rightarrow$  ! map

$$M \otimes_A N \rightarrow S^{-1}M \otimes_{S^{-1}A} S^{-1}N \dots$$

(every elt in  $M \otimes N$  maps to an elt which can be divided by any elt of  $S$ )

$\Rightarrow$  this map extends to a ! map  $S^{-1}(M \otimes_A N) \rightarrow S^{-1}M \otimes_{S^{-1}A} S^{-1}N$

" $\leftarrow$ "  $\frac{m}{s} \otimes \frac{n}{s'} \rightarrow \frac{m \otimes n}{s \cdot s'}$  well-def  $\hat{=}$  an inverse.  $\square$

### Local Properties

Prop: TFAE:

(1)  $M = 0$

(2)  $M_{\mathfrak{p}} = 0 \quad \forall \mathfrak{p}$  prime

(3)  $M_{\mathfrak{m}} = 0 \quad \forall \mathfrak{m}$  max.

Pf: (1)  $\Rightarrow$  (2)  $\Rightarrow$  (3): Obvious.

(3)  $\Rightarrow$  (1): Assume by contradiction that  $M \neq 0$

Pick  $x \in M, x \neq 0. \quad \frac{x}{1} = 0 \text{ in } M_{\mathfrak{m}} \Leftrightarrow \exists s \in A, s \notin \mathfrak{m}$

s.t.  $s \cdot x = 0$

Look at  $\text{Ann}(x) = \{a \in A \mid ax = 0\} / \text{units}$ , an ideal

$\cap M \neq \emptyset, \text{Ann}(x) \subsetneq A$  (bc  $1 \notin \text{Ann}(x)$ ). So

let  $\mathfrak{m} \supseteq \text{Ann}(x)$  be a max ideal.

$\Rightarrow$  Then  $\frac{x}{1} \neq 0$  in  $M_{\mathfrak{m}} \neq 0$

Prop: If  $f: M \rightarrow N$  is a map of modules,  $\text{Ker}$  &  $\text{Coker}$  are computed locally:  $(f_p: M_p \rightarrow N_p)$   
 $(\text{Ker } f)_p \cong \text{Ker}(f_p)$  (similarly for  $\text{coker}$ )

Pf:  $0 \rightarrow \text{Ker } f \rightarrow M \xrightarrow{f} N \rightarrow \text{coker } f \rightarrow 0$  is exact  
 Localization is exact; so we get an exact seq  
 $0 \rightarrow (\text{Ker } f)_p \rightarrow M_p \xrightarrow{f_p} N_p \rightarrow (\text{coker } f)_p \rightarrow 0$   
 exactness tells us that  $(\text{Ker } f)_p \cong \text{Ker}(f_p) \cong$  for  $\text{coker}$ .

Prop: Injectivity of a map is a local property, i.e.  
 TFAE:

- (1)  $f: M \rightarrow N$  injective
- (2)  $f_p: M_p \rightarrow N_p$  injective  $\forall p$  prime
- (3)  $f_m: M_m \rightarrow N_m$  injective  $\forall m$  max.

Pf: (1)  $\Leftrightarrow (\text{Ker } f) = 0 \Leftrightarrow (\text{Ker } f)_p = 0 \forall p$  (by prev. prop)  
 $\Leftrightarrow f_p$  inj. (or replace  $p$  by  $m$ )

\* Same for surjectivity. (w/  $\text{coker}$ )

\* "Isomorphic" is not a local prop.

Ex: Flatness is a local property.

Prop: Let  $M$  be an  $A$ -mod. TFAE:

- (1)  $M$  is flat as an  $A$ -mod.
- (2)  $M_p$  is flat as an  $A_p$ -mod  $\forall p$  prime
- (3)  $M_m$  is flat as an  $A_m$ -mod  $\forall m$  max.

Pf: (1)  $\Rightarrow$  (2)  $\Rightarrow$  (3): If  $M$  flat over  $A$ , so is  $M \otimes_A A_p$   
 b/c  $A_p$  always flat.

(3)  $\Rightarrow$  (1) Assume  $M$  is not flat.  $\Rightarrow \exists$  injective  
 map  $N \rightarrow N'$  s.t.  $M \otimes N \rightarrow M \otimes N'$  is not  
 injective. So we have the exact seq.

$$0 \rightarrow N \rightarrow N' \text{ but } 0 \rightarrow K \rightarrow M \otimes N \rightarrow M \otimes N' \quad (*)$$

$\begin{matrix} \#0 \\ \swarrow \\ \text{Kernel} \end{matrix}$

$K \neq 0 \Rightarrow \exists \underline{m}$  max ideal s.t.  $K_{\underline{m}} \neq 0$ . Look at (\*) & localize at  $\underline{m}$ .

$$0 \rightarrow K_{\underline{m}} \rightarrow (M \otimes N)_{\underline{m}} \rightarrow (M \otimes N')_{\underline{m}}$$

$$\text{ie } 0 \rightarrow K_{\underline{m}} \rightarrow M_{\underline{m}} \otimes N_{\underline{m}} \rightarrow M_{\underline{m}} \otimes N'_{\underline{m}}$$

but  $0 \rightarrow N_{\underline{m}} \rightarrow N'_{\underline{m}}$  is exact &  $M_{\underline{m}}$  flat  
 $\Rightarrow K_{\underline{m}} = 0 \quad \square$

3/6 Prop: (i) Every ideal in  $S^{-1}A$  is an extended ideal  
 ie, for every  $\underline{a} \subseteq S^{-1}A$  an ideal,  $\exists \underline{b} \subseteq A$  an ideal s.t.  
 $\underline{b}^e = \underline{a}$ .

(ii)  $\underline{a} \subseteq A, \quad \underline{a}^{ee} = \bigcup_{s \in S} (\underline{a} : s)$

Cor:  $\underline{a}^e = (1) \Leftrightarrow \underline{a} \cap S \neq \emptyset$

(iii) Prime ideals in  $S^{-1}A$  are in 1-1 corresp. w/  $\underline{p} \subseteq A$  prime s.t.  $\underline{p} \cap S = \emptyset$ .

Pf: (i) Let  $\underline{a} \subseteq S^{-1}A$  an ideal. Look at  $\underline{a}^{ee}$ . WTS

$\underline{a}^{ee} = \underline{a}$ . One inclusion always holds:  $\underline{a}^{ee} \subseteq \underline{a}$

Pf:  $f: B \rightarrow A, \quad \underline{a} \subseteq A$   
 $f(\underline{a}^c) = f f^{-1}(\underline{a}) = \underline{a} \cap f(B) \subseteq \underline{a}$   
 So  $\underline{a}^{ee} = \langle f(\underline{a}^c) \rangle \subseteq \underline{a}$

For  $\underline{a} \subseteq \underline{a}^{ee}$ : let  $\frac{x}{s} \in \underline{a} \Rightarrow \frac{x}{1} \in \underline{a}$  (mult. by  $s$ )  
 $\Rightarrow x \in \underline{a}^c$  (b/c  $f(x) = \frac{x}{1} \in \underline{a}$ )  $\Rightarrow \frac{x}{1} \in \underline{a}^{ee}$ . Since  $s$  invert,  $\frac{x}{s} \in \underline{a}^{ee}$   $\square$

Ex:  $\mathbb{Z} \hookrightarrow \mathbb{Q} \quad \left. \begin{array}{l} (0)^{ee} = (0) \\ \mathbb{Q}^{ee} = \langle \mathbb{Z} \rangle = (1) \end{array} \right\} \text{(ii)}$

$\left. \begin{array}{l} (0)^{ee} = (0) \\ (m)^e = (1) \Rightarrow (m)^{ee} = \mathbb{Z} \end{array} \right\} \text{(iii)}$   
 $\swarrow \forall c \text{ invert.}$

(ii) Let  $\underline{a} \subseteq A$  be an ideal,  $x \in \underline{a}^{ee} \Leftrightarrow \frac{x}{1} \in \underline{a}^e = S^{-1}\underline{a}$

[b/c  $0 \rightarrow \underline{a} \rightarrow A \quad S^{-1}: 0 \rightarrow S^{-1}\underline{a} \rightarrow S^{-1}A$ ]

$S^{-1}\underline{a} = \left\{ \frac{a}{s} \mid a \in \underline{a}, s \in S \right\} \Leftrightarrow \frac{x}{1} = \frac{a}{s} \text{ for some } a \in \underline{a}, s \in S$   
 $\Leftrightarrow \exists t \in S \text{ s.t. } (xs - a)t = 0$

$\Leftrightarrow \exists st \in \underline{a}$  for some  $s, t \in S$   
 $\Leftrightarrow x \in (\underline{a} : S')$  for some  $s' \in S$   
 $\quad \quad \quad \{r \in A \mid rs' \in \underline{a}\}$

(iii)  $\mathfrak{q} \mapsto \mathfrak{q}^c$ . If  $\mathfrak{q} \subseteq S^{-1}A$ , then  $\mathfrak{q}^c$  is prime in  $A$   
 $\mathfrak{q} \subseteq S^{-1}A$  prime  $\Leftrightarrow \mathfrak{q}^c \subseteq A$  prime.  $\mathfrak{q}^c \cap S = \emptyset$  b/c if  $s \in \mathfrak{q}^c \cap S$   
 $\Rightarrow \frac{s}{1} \in \mathfrak{q} \Rightarrow 1 \in \mathfrak{q} \Rightarrow \mathfrak{q} = A$

Let  $\mathfrak{p} \subseteq A$  prime s.t.  $\mathfrak{p} \cap S = \emptyset$ . Define  $\mathfrak{q} = \mathfrak{p}^e$ .  
 Look at  $A/\mathfrak{p}$ , an int. dom. Let  $\bar{S}$  = Image of  $S$   
 under  $A \rightarrow A/\mathfrak{p}$ . ( $\bar{S}$  is mult. sep. in  $A/\mathfrak{p}$ )

$S^{-1}(A/\mathfrak{p}) = S^{-1}A/S^{-1}\mathfrak{p}$  b/c:

$0 \rightarrow \mathfrak{p} \rightarrow A \rightarrow A/\mathfrak{p} \rightarrow 0$ , apply  $S^{-1}$ :

$0 \rightarrow S^{-1}\mathfrak{p} \rightarrow S^{-1}A \rightarrow S^{-1}(A/\mathfrak{p}) \rightarrow 0$  is exact

$\Rightarrow S^{-1}(A/\mathfrak{p}) \cong S^{-1}A/S^{-1}\mathfrak{p}$   $\S$   $S^{-1}$  acts on  $A/\mathfrak{p}$  by proj.

$S^{-1}(A/\mathfrak{p})$  is either the 0 ring or  $\cong (A/\mathfrak{p})_w$  then mult, so  $S^{-1}(A/\mathfrak{p}) = S^{-1}(A/\mathfrak{p})$

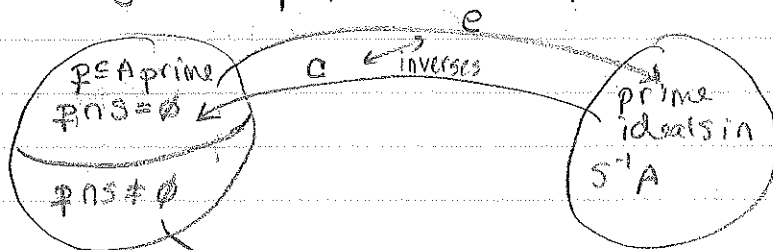
$\uparrow$   
 field of fracs  $\rightarrow$  invert everything  $\S$   $\bar{S}$  only inverted some

$S^{-1}A$   
 So,  $S^{-1}\mathfrak{p}$  is either 0 or int. dom. (b/c  $\subseteq$  field)

$\Rightarrow S^{-1}\mathfrak{p} = \mathfrak{p}^e = \mathfrak{q}$  is either (1) or a prime ideal.

$\Rightarrow \mathfrak{q}$  is either (1) or prime.

By (ii)  $\mathfrak{q} = \mathfrak{p}^e = (1)$  iff  $\mathfrak{p} \cap S \neq \emptyset \Leftrightarrow \mathfrak{q}$  prime.



$\uparrow$   
 $(\leftarrow$  i.e., one of the elts became invertible)



Thm: Let  $f \in A$ ,  $f$  not nilp. Then  $\exists \mathfrak{p} \subseteq A$ , <sup>prime</sup> s.t.  $f \notin \mathfrak{p}$ .

Pf: Take  $S = \{1, f, f^2, \dots\}$ .  $f$  not nilp  $\Rightarrow 0 \notin S$ .  
 $\Leftrightarrow S^{-1}A \neq 0 \Leftrightarrow \exists m$  max'l in  $S^{-1}A$ . Look at  $\underline{m}^c$ .  
 $\underline{m}^c$  is prime in  $A$  &  $\underline{m}^c \cap S = \emptyset \Rightarrow f \notin \underline{m}^c$ , so  $\underline{m}^c$  the desired prime.  
 (different proof in this in pf of nilrad =  $\bigcap \mathfrak{p}$ )

Cor:  $\eta_{S^{-1}A} = S^{-1}\eta_A$

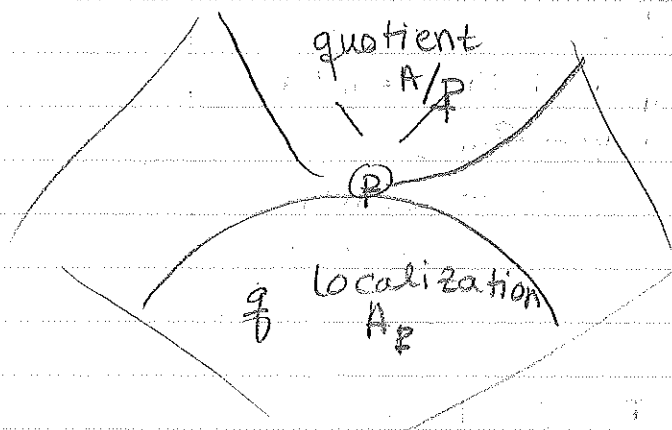
$$\eta_{\mathfrak{p}} = (\eta_{\mathfrak{p}^c})^e$$

subset of  $\mathfrak{p} \subseteq A$ , only those that  $\cap S = \emptyset$ ,  
 but if  $\cap S \neq \emptyset$ ,  $( )^e = (1)$ , so don't contribute  
 to intersection

Cor:  $\{\text{primes in } A_{\mathfrak{p}}\} \xrightarrow{1-1} \{\text{primes } \mathfrak{q} \subseteq A \mid \mathfrak{q} \subseteq \mathfrak{p}\}$

b/c  $S = A \setminus \mathfrak{p}$ , so  $\mathfrak{q} \cap S = \emptyset \Leftrightarrow \mathfrak{q} \subseteq \mathfrak{p}$ .

Lattice



- In quotient, all primes containing  $\mathfrak{p}$  survive.
- In localization, all primes contained in  $\mathfrak{p}$  survive

3/8

Def: Let  $A \subseteq B$  be rings,  $x \in B$  is said to be integral over A if there exists  $a_1, \dots, a_n \in A$  s.t.  
 $x^n + a_1 x^{n-1} + \dots + a_n = 0$ .

• Integral closure - add all elts that are int. over A.

Ex: ①  $\mathbb{Z} \subseteq \mathbb{Q}$ . Assume  $\frac{m}{n} \in \mathbb{Q}$  is integral over  $\mathbb{Z}$ .  
 Wlog,  $(m, n) = 1$ .  $\frac{m}{n}$  int. over  $\mathbb{Z} \Rightarrow \exists a_1, \dots, a_t \in \mathbb{Z}$   
 s.t.  $\frac{m^t}{n^t} + a_1 \frac{m^{t-1}}{n^{t-1}} + \dots + a_t = 0$ . Clear denominators!  
 $m^t + a_1 m^{t-1} n + \dots + a_t n^t = 0$   
 $\Rightarrow n | m^t$  (b/c  $m^t = (-a_1 m^{t-1} - \dots - a_t n^t)$ )  
 $\Rightarrow n = \pm 1$  b/c  $\gcd(m, n) = 1$   
 $\Rightarrow \frac{m}{n} \in \mathbb{Z}$ .

Obs: Always  $a \in A$  is integral over A (it satisfies  $x - a = 0$ )

We say a ring  $A$  is integrally closed in B if:

$$A = \{x \in B \mid x \text{ int. over } A\}$$

We say A is integrally closed if it's int. cl. in its field of fractions.

• Thus  $\mathbb{Z}$  is integrally closed.

②  $A = k[x, y] / (y^2 - x^3)$

an integral domain

Is A int. cl? (in its field of fracs)

(localization commutes w/ quotients).

'F of F of  $k[x, y] = \text{rat'l fns in } x, y$ .

Look at  $f = y/x$ .

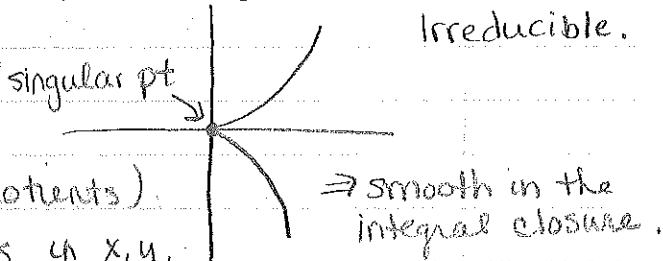
$$f^2 = y^2/x^2 = x^3/x^2 = x$$

This  $f \in k = \text{F of F}$  in A. is int over A b/c satisfies

$$z^2 - x = 0, \text{ but } f \notin A.$$

Thus A is not integrally closed.

Spec A:  $y = \pm x^{3/2}$



In yfd,  
 $\mathbb{Z}(x) \supset \text{prime int}$   
 $\mathbb{Z}(x) \text{ int}$

integral closure = normalization.

Prop: For  $A \subseteq B$ ,  $x \in B$ , TFAE:

- (1)  $x$  is  $\text{int}/A$
- (2)  $A[x] = (\text{Subring of } B \text{ gen. by } A \text{ \& } x)$  is a f.g.  $A$ -mod.
- (3)  $A[x] \subseteq C \subseteq B$  where  $C$  is a subring of  $B$  which is a f.g.  $A$ -mod.
- (4)  $\exists$  a faithful  $A[x]$ -mod  $M$  that is f.g. as an  $A$ -mod.

Pf: (1)  $\Rightarrow$  (2):  $A[x]$  is gen. by  $\{1, x, x^2, \dots\}$  but integral dependence/A  $\Rightarrow x^n \in \text{span}\{1, x, \dots, x^{n-1}\} \Rightarrow A[x]$  is gen. by  $\{1, x, \dots, x^{n-1}\}$ .

(2)  $\Rightarrow$  (3) Take  $C = A[x]$ .

(3)  $\Rightarrow$  (4) Take  $M = C$ .  $C$  clearly an  $A[x]$ -mod b/c  $A[x] \subseteq C$ . Faithful: If  $y \in A[x]$  is such that  $cy = 0 \forall c \in C$ , then  $1 \cdot y = 0 \Rightarrow y = 0 \Rightarrow$  faithful.

(4)  $\Rightarrow$  (1): Take  $\underline{a} = A$ ,  $\phi = \cdot x$ .  $\phi$  an automorph. of  $M$ . (Regard  $M$  as an  $A$ -mod) By prop.  $\exists$  an identity  $\phi^n + a_{n-1}\phi^{n-1} + \dots + a_0 = 0$  for  $a_i \in A$ . But  $(\phi^n + \dots + a_0)(m) = (x^n + \dots + a_0) \cdot m = 0 \forall m \in M \Rightarrow x^n + \dots + a_0 = 0$  b/c  $M$  faithful  $\Rightarrow x$  is  $\text{int}/A$ .  $\square$

Prop: If  $x_1, \dots, x_n$  are  $\text{int}/A$ , then  $A[x_1, \dots, x_n]$  f.g./ $A$ .

Pf: by induction on  $n$ .  $\square$  (in book)

Cor:  $C = \{x \in B \mid x \text{ int}/A\}$  is a subring of  $B$ .

Pf:  $x, y \in C \Rightarrow A[x, y]$  f.g./ $A \Rightarrow A[x, y]$  and  $A[x \cdot y]$  f.g./ $A \Rightarrow x+y, x \cdot y \text{ int}/A$ .

Def: If  $A \subseteq B$ ,  $C = \{x \in B \mid x \text{ int}/A\}$ . We say

(1) if  $C = A$ ,  $A$  is integrally closed.

(2) if  $C = B$ ,  $B$  is integral over  $A$ .

Prop:  $A \subseteq B \subseteq C$ ,  $B$  int./ $A$ ,  $C$  int./ $B \Rightarrow C$  int./ $A$ .

Pf: Let  $x \in C$ . WTS  $x$  int./ $A$ . Because  $C$  int./ $B$ ,

$\exists$  an eqn  $x^n + b_1 x^{n-1} + \dots + b_n = 0$  w/  $b_i \in B$ .

look at  $B' = A[b_1, \dots, b_n] \subseteq B$ .  $B'$  is a f.g.  $A$ -mod

(by prop.) &  $x$  is int./ $B' \Rightarrow B'[x]$  is a f.g.

$B'$ -mod  $\Rightarrow$  f.g.  $A$ -mod (which is obviously faithful over  $A[x]$ ) & is a subring of  $C$  containing  $A$ .

$\Rightarrow x$  int. over  $A$  (Lemma part (3)).

Prop: If  $A \subseteq B$ ,  $C$  is the integral closure of  $A$  in  $B$ ,

Then  $C$  is integrally closed in  $B$ .

(ie.  $\bar{C} = C$ )

by prev. prop.

Pf: Let  $b \in B$  be integral over  $C$ .  $\Rightarrow b$  int. over  $A$

$\Rightarrow b \in C$

Prop: If  $A \subseteq B$ ,  $B$  int. over  $A$

(1) If  $\underline{b} \subseteq B$  an ideal,  $\underline{a} = \underline{b} \cap A$ , then  $B/\underline{b}$  is integral over  $A/\underline{a}$ .

(2) If  $S$  is multiplicatively closed in  $A$ . Then  $S^{-1}B$  is int. over  $S^{-1}A$ .

Pf (1) Let  $\bar{x} \in B/\underline{b}$ . Then  $x$  is int./ $A \Rightarrow \exists a_1, \dots, a_n$  s.t.

$x^n + a_1 x^{n-1} + \dots + a_n = 0$  in  $B$ .  $\Rightarrow \bar{x}^n + \bar{a}_1 \bar{x}^{n-1} + \dots + \bar{a}_n = 0$  in  $B/\underline{b}$ , (w/  $\bar{a}_i \in A/\underline{a}$ ).

(2) Let  $\frac{x}{s} \in S^{-1}B$ .  $x$  int. over  $A \Rightarrow \exists a_1, \dots, a_n$  s.t.

$x^n + a_1 x^{n-1} + \dots + a_n = 0$  ( $\div s^n$ )

$\Rightarrow (\frac{x}{s})^n + \frac{a_1}{s} (\frac{x}{s})^{n-1} + \dots + \frac{a_n}{s^n} = 0$ ,  $\frac{a_i}{s^i} \in S^{-1}A \Rightarrow$

$x/s$  int. over  $S^{-1}A$ .  $\square$

3/11  $f$  surj  $\Rightarrow f^*$  inj. ;  $f$  inj  $\Rightarrow f^*$  dominant, image is dense in  $\text{Spec}(A)$ . (not nec. surj.)  
 $\uparrow$   
 today:  $f: A \rightarrow$  field of fracs.  
 $\nexists f^*$  is not just dom., but surj.

Going Up

Prop: Let  $A \subseteq B$  be an int. doms,  $B$  integral /  $A$ . Then  $A$  is a field iff  $B$  is a field.

Pf: ( $\Rightarrow$ ): Assume  $A$  is a field,  $y \in B$ . B/c  $y$  is integral over  $A$ ,  $\exists y^n + a_1 y^{n-1} + \dots + a_n = 0$ ,  $a_i \in A$ . Pick it of minimal degree.  $\Rightarrow a_n \neq 0$  (else  $y(y^{n-1} + \dots + a_{n-1}) = 0$  & no zero div  $\Rightarrow y^{n-1} + \dots + a_{n-1} = 0$ ).  $\Rightarrow a_n^{-1} \in A$  b/c  $A$  field.  $-a_n = y(y^{n-1} + \dots + a_{n-1})$ . Mult. by  $\frac{1}{-a_n}$ :  
 $1 = y \underbrace{(y^{n-1} + \dots + a_{n-1})}_{\in B} \cdot \frac{1}{-a_n} \Rightarrow y$  a unit.  $\checkmark$

( $\Leftarrow$ ): Assume  $B$  a field,  $x \in A$ . Then  $x^{-1} \in B \Rightarrow x^{-1}$  integral /  $A \Rightarrow x^{-n} + a_1 x^{-n+1} + \dots + a_n = 0$   
 $x^{-n} = -a_1 x^{-n+1} - \dots - a_n$ . Mult. by  $x^{n-1}$   
 $x^{-1} = \underbrace{-a_1 - \dots - a_n x^{n-1}}_{\in A} \Rightarrow x^{-1} \in A$ .  $\checkmark$

Cor: Let  $A \subseteq B$  be rings,  $B$  int /  $A$ ,  $\mathfrak{q} \in B$  prime,  $\mathfrak{P} = \mathfrak{q}^c = \mathfrak{q} \cap A$  prime in  $A$ . Then  $\mathfrak{q}$  is maximal iff  $\mathfrak{P}$  is maximal.

(ie, closed pts go to closed pts under integral maps)

Pf: Look at  $B/\mathfrak{q} \supseteq A/\mathfrak{P}$  ( $A \rightarrow B \rightarrow B/\mathfrak{q} \Rightarrow A/\mathfrak{P} \rightarrow B/\mathfrak{q}$  inj.  $\text{Ker} = \mathfrak{P}$ )

By what we did last time,  $B/\mathfrak{q}$  is int. over  $A/\mathfrak{P}$

$\nexists$  both are int. domains. Apply prop.  $\parallel$

$\mathfrak{q}$  max  $\Leftrightarrow B/\mathfrak{q}$  field  $\Leftrightarrow A/\mathfrak{P}$  field  $\Leftrightarrow \mathfrak{P}$  max.  $\square$

Cor:  $A \subseteq B$  rings,  $B$  int./ $A$ ,  $\mathfrak{q} \subseteq \mathfrak{q}'$  prime in  $B$ . s.t.

$$\mathfrak{q}^c = \mathfrak{q}'^c. \text{ Then } \mathfrak{q} = \mathfrak{q}'.$$

(ie, if containment is strict, then containment of ideals is strict).

Pf: Let  $\mathfrak{p} = \mathfrak{q}^c = \mathfrak{q}'^c \subseteq A$  prime. Look at  $S = A \setminus \mathfrak{p} \subseteq A$   
 $S^{-1}A = A_{\mathfrak{p}}$ .  $S^{-1}B = B_{\mathfrak{p}}$  (although  $\mathfrak{p}$  not nec. prime ideal of  $B$ )  
 $\uparrow$  book's notation.

We have  $B_{\mathfrak{p}}$  int. over  $A_{\mathfrak{p}}$ . Let  $\mathfrak{m}$  = extension of  $\mathfrak{p} \subseteq A$   
 to  $B_{\mathfrak{p}}$  ( $\mathfrak{m} = \mathfrak{p}A_{\mathfrak{p}}$ ) = unique max. ideal of  $A_{\mathfrak{p}}$ .

Let  $\mathfrak{n}, \mathfrak{n}'$  be extensions of  $\mathfrak{q}, \mathfrak{q}'$  to  $B_{\mathfrak{p}}$ .

$$\text{So } \mathfrak{n} \subseteq \mathfrak{n}'. \text{ But } \mathfrak{q} \cap A = \mathfrak{p} \Rightarrow \mathfrak{n} \cap A_{\mathfrak{p}} = \mathfrak{m} = \mathfrak{n}' \cap A_{\mathfrak{p}}$$

$\Rightarrow$  (by cor)  $\mathfrak{n}, \mathfrak{n}'$  max'l (b/c their restrictions are max)

But  $\mathfrak{n} \subseteq \mathfrak{n}' \Rightarrow \mathfrak{n} = \mathfrak{n}'$ . (So  $\mathfrak{q}, \mathfrak{q}'$  did not meet mult sup, so they survived localization, so there's a 1-1 corresp.). By thm on primes in rings of fracs,

$$\mathfrak{q} = \mathfrak{q}'. \quad \square$$

Thm: Let  $A \subseteq B$  be rings,  $B$  int./ $A$ ,  $\mathfrak{p} \subseteq A$  prime. Then

$$\exists \mathfrak{q} \subseteq B \text{ prime s.t. } \mathfrak{q}^c = \mathfrak{p}.$$

(ie  $f^*$  is surj).

\* Not generally true:  $\mathbb{Z} \hookrightarrow \mathbb{Q}$ ,  $f^*$  not surj. \*

Pf:  $B_{\mathfrak{p}}$  still int./ $A_{\mathfrak{p}}$ , & have diagram of rings

$$\begin{array}{ccc} \mathfrak{p} \subseteq A & \hookrightarrow & B \\ \alpha \downarrow & \circlearrowleft & \downarrow \beta \\ A_{\mathfrak{p}} & \hookrightarrow & B_{\mathfrak{p}} \supseteq \mathfrak{m} \end{array}$$

• Pick  $\mathfrak{m} \subseteq B_{\mathfrak{p}}$  max.

$$\mathfrak{p}A_{\mathfrak{p}} = \mathfrak{m} \cap A_{\mathfrak{p}} \leftarrow \text{contraction}$$

$$\text{prime} \& \text{max'l} \Rightarrow \mathfrak{m} \cap A_{\mathfrak{p}} = \mathfrak{p}A_{\mathfrak{p}}$$

So  $\alpha^{-1}(\mathfrak{p}A_{\mathfrak{p}}) = \mathfrak{p} \Rightarrow$  if we let  $\mathfrak{q} = \beta^{-1}(\mathfrak{m})$ , we have

$$\mathfrak{q}^c = \mathfrak{p}. \quad \square$$

Read Going Up Thm & proof. Read Going Down Thm (skip proof)

## Valuations

Def: Let  $B$  be an int. dom,  $K = \text{field of fr.}$  We say  $B$  is a valuation ring (of  $K$ ) if  $\forall x \neq 0$  in  $K$ , either  $x \in B$  or  $x^{-1} \in B$  or both.

(ie, the ring fills up a lot of the field). procs whose denoms <sup>not div</sup> by 2

Ex:  $\mathbb{Z}$  not a valuation ring of  $\mathbb{Q}$ ,  $\mathbb{Z}_{(2)}$  is a val. ring of  $\mathbb{Q}$ .

Prop: (1)  $B$  is a local ring.

(2) If  $B \subseteq B' \subseteq K \Rightarrow B'$  a valuation ring.

(3)  $B$  int. closed in  $K$ .

$x \in \mathbb{Q}$ , either  
2 | denom  $\Rightarrow x \in \mathbb{Z}_{(2)}$ , or  
2 | denom  $\Rightarrow$  2 | num  
 $\Rightarrow x^{-1} \in \mathbb{Z}_{(2)}$ .

Pf: (1) Let  $\mathfrak{m} \subseteq B$  be the set of all non-units.

WTS  $\mathfrak{m}$  an ideal (in a non-local ring, this is not an ideal).

If  $x \neq 0$ ,  $x \in \mathfrak{m}$ ,  $a \in B$ . Then  $ax$  not a unit, (b/c if  $ax$  unit,  $x^{-1} = a$ ,  $(ax)^{-1} \in B$  contr.  $x \in \mathfrak{m}$ ).

$\Rightarrow ax \in \mathfrak{m}$ . If  $x, y \in \mathfrak{m}$ ,  $x, y \neq 0$ .

$xy^{-1}$  or  $x^{-1}y \in B$  (b/c  $B$  val. ring).

If  $xy^{-1} \in B$ ,  $y(1+xy^{-1}) = \underbrace{y}_{\in \mathfrak{m}}(1+\underbrace{xy^{-1}}_{\in B}) \in \mathfrak{m}$

(2) Obvious

(3) If  $x \in K$  is s.t.  $x$  is int over  $B$ . (WTS  $x \in B$ )

$\Rightarrow \exists x^n + b, x^{n-1} + \dots + b_n = 0$ ,  $b_i \in B$

if  $x \in B$ , done. if  $x^{-1} \in B$ , then

$x^n = -b, x^{n-1} - \dots - b_n$ . mult by  $x^{1-n}$

$\Rightarrow x = -b_1 - b_2 x^{-1} - \dots - b_n x^{1-n} \in B$  b/c  $x^{-1} \in B$

□

Define a fun  $v_2: \mathbb{Q} \rightarrow \mathbb{Z}$

$v_2(m/n) = (\text{highest power of 2 dividing } m) - (\text{highest power of 2 dividing } n)$

( $v_2(4/6) = 1$ )

1)  $v_2(xy) = v_2(x) + v_2(y)$

2)  $v_2(x+y) \leq \max(v_2(x), v_2(y))$

Such fens called a valuation

Exercise: All valuations on  $\mathbb{Q}$  are like  $v_2$  for some prime (ie  $v_p$ )

$$\mathbb{Z}_{(2)} = \{x \in \mathbb{Q} \mid v_2(x) \geq 0\}$$

$v_p \Rightarrow$  valuation ring as above.

3/13 Valuation Rings:

$K =$  field, subring  $B \subseteq K$  is called a valuation ring if any  $x \in K - B$  satisfies  $x^{-1} \in B$ .

Properties:  $B$  is local & integrally closed.

Ex:  $K = \mathbb{Q}$   
 ①  $B = \{ \frac{m}{n} \mid m \in \mathbb{Z}, p \nmid n \}$   $p$  a fixed prime.  
 ②  $B = \mathbb{Q}$

① & ② are discrete valuation rings, val. ring that came from a map  $K \setminus \{0\} \rightarrow \mathbb{Z}$  (that satisfies certain props) [as set of elts in which map non-neg  $\rightarrow$  in the map from last time]

max ideal  $\underline{m} \subseteq B$ , field of fracs  $B/\underline{m} \rightarrow$  for ①,  $\underline{m} = pB$ ,  $B/\underline{m} = \mathbb{Z}/p\mathbb{Z}$  ( $\underline{m} = pB$  b/c of univ. in  $B$ , need num  $\div$  by  $p$ )

for ②,  $\underline{m} = (0)$ , field of fracs =  $\mathbb{Q}$ .

③ $K$	$B \subseteq K$	$\underline{m} \subseteq B$	$B/\underline{m}$
$\mathbb{C}(z)$	$\{ \frac{f}{g} \mid g(z) \neq 0 \}$	$\{ \frac{f}{g} \mid \begin{smallmatrix} f(z) = 0 \\ g(z) \neq 0 \end{smallmatrix} \}$	$\mathbb{C}$

$\mathbb{C}$  a fixed.

$\uparrow$   
if invert, not in  $B$

$\mathbb{C}(z)$

0

$\mathbb{C}(z)$

(These are only val. rings in  $\mathbb{Q}$  &  $\mathbb{C}(z)$ , resp.)

Fix  $K$  a field, and fix an alg cl. field  $\Omega$ . Consider pairs  $(A, f)$ ,  $A \subseteq K$  subring,  $f: A \rightarrow \Omega$ .

Ex:  $B \subseteq K$  (local) val. ring,  $B \rightarrow B/\underline{m} \subseteq \overline{(B/\underline{m})}$   
 $\parallel$   
 $\Omega$

These pairs are max such pairs.

$\mathbb{Q}$

$\cup$

$\mathbb{Z} \rightarrow \mathbb{Z}/p\mathbb{Z}$

$\rightarrow$  map can be extended to a val. ring  $B$  (from ①), but no further.



Order  $(A, f)$ 's:  $(A_1, f_1) \geq (A_2, f_2)$  if  $\textcircled{1} A_1 \supseteq A_2$  &

$\textcircled{2} f_1|_{A_2} = f_2$ .

Thm: A pair  $(B, g)$  is maximal iff  $B$  is a val. ring &  $\text{Ker}(g) = \underline{m}$  (ie  $g: B \rightarrow B/\underline{m} \hookrightarrow \Omega$ ; so  $g$  factors through  $B/\underline{m}$ ).

Pf: ( $\Leftarrow$ ): Suppose  $g$  extends to  $B' \supset B$ . Take  $x \in B' - B, \in K - B$ .

Then  $x^{-1} \in B$  (b/c val. ring) & not a unit

$\Rightarrow x^{-1} \in \underline{m} \Rightarrow g(x^{-1}) = 0$ , but  $g(x) = (g(x^{-1}))^{-1} \neq 0$ .

ie.  $g(x^{-1}) = 0$ .

"  
g(x)

( $\Rightarrow$ ):  $g: B \rightarrow \Omega$  is max'l.

Step 1:  $B$  is local, &  $\text{Ker}(g)$  is the max ideal  
ie  $\text{Ker}(g) = \underline{m}$ .

Pf:  $g$  extends easily to  $B_{\underline{m}} = \left\{ \frac{x}{y} \mid x \in B, y \in B \setminus \underline{m} \right\}$

So  $B_{\underline{m}} = B$ , b/c  $B$  max'l. ie,  $(B - \underline{m})^{-1} \subset B$ .

$\Rightarrow$  claim.

(all elems not in  $\underline{m}$  are invert).

Take  $x \in K - \{0\}$

Step 2: Either  $1 \notin \underline{m}[x]$  or  $1 \notin \underline{m}[x^{-1}]$ . (ie, one of the 2  
 $B \subset B[x]$   $\cap$   $B[x]$  is a proper ideal)

Pf: Suppose  $1 = a_0 + a_1 x + \dots + a_m x^m$   $a_i \in \underline{m}$

and  $1 = b_0 + b_1 x^{-1} + \dots + b_n x^{-n}$   $b_i \in \underline{m}$

Assume  $m, n$  minimal, and  $m \geq n$ .

Mult. 2<sup>nd</sup> by  $x^m$ :  $x^m = b_0 x^m + b_1 x^{m-1} + \dots + b_n x^{m-n}$

$(1 - b_0) x^m = \dots$

$\uparrow$  invertible b/c  $b_0 \in \underline{m}$  (& all  $1 + \underline{m}$  are units)

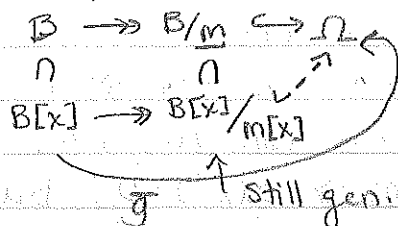
$(1 - b_0)^{-1} \in B$

$\Rightarrow x^m = * x^{m-1} + \dots + * x^{m-n}$ , & simplifies 1<sup>st</sup> eqn

which contradicts  $m$  minimal.

Step 3: Suppose  $m[x] \neq 1$ , so  $B[x] \neq m[x]$ . If  $x$  is transcendental, then  $g$  extends to  $B[x]$  as  $B[x] \rightarrow B \xrightarrow{g} \Omega$ ,  $p(x) \mapsto p(0)$ . Contradicts maximality of  $(B, g)$ .

If  $x$  is algebraic,



$\bar{g}$  is an extension of  $g$  unless  $x \in B$ .  $\exists B[x] = B$ .  
 By symmetry, if  $\exists f \in m[x^{-1}]$ ,  $x^{-1} \in B$ .  $\square$

Cor:  $A \subset K$ ,  $A$  a ring,  $K$  a field. The integral closure  $\bar{A} = \bigcap_{\substack{B \subset K \text{ val. ring} \\ A \subset B}} B$  (ie intersection of all val. rings containing  $A$ )

Pf: ( $\subseteq$ ):  $B/c$   $\bar{B} = B$ , so must contain  $\bar{A}$ .

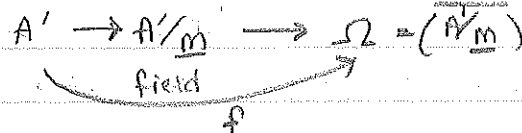
( $\supseteq$ ): Suppose  $x \notin \bar{A}$ . (WTS  $\exists$  val. ring  $B$  s.t.  $x \notin B$ )

Then  $x \notin A[x^{-1}] = A'$

$B/c$   $x^d + \dots$ , divide by  $x^{d-1}$   $\hat{=}$  get poly for  $x^{-1}$   
 $\hat{=}$  vice versa.

$x^{-1} \in A'$  is not a unit (b/c  $x \notin A$ ).

Take max. ideal  $\mathfrak{m} \ni x^{-1}$   $\hat{=}$  consider



- Gives  $(A', f)$ . There is a  $(B, g) \geq (A', f)$ , so

$B \supset A'$   $\hat{=}$   $g$  extends  $f$ ,  $B$  a val. ring.

But  $x \notin B$  ( $f$  annihilated  $x^{-1} \Rightarrow g(x^{-1}) = 0$   
 $\Rightarrow g(x)$  not defined  $\Rightarrow x$  not in domain of  $g \Rightarrow x \notin B$ ).  $\square$

In particular, any hom.  $A \rightarrow \Omega$  ( $\Omega$  alg. cl. field) extends to  $\bar{A}$ .

(b/c can be extended to a val. ring, & any val. ring contains  $\bar{A}$ ).

### Hilbert's Nullstellensatz (Zero-Locus Thm)

$K$  a field,  $B \supset K$  a f.g. alg and a field, then  $B$  is an alg. ext'n of  $K$ , (so  $[B:K] < \infty$ ).

\* any transcendental ext'n from an alg (ie  $\forall$  div) is infinite.

3/15

Prop: Let  $A \subseteq K$  be an inclusion of rings,  $K$  a field. Then

$$\bar{A} = \bigcap_{A' \supseteq A} A' \text{ s.t. } A' \text{ a val. ring of } K.$$

Hartog's Thm: Every holomorphic fcn  $f: B^n \setminus \{0\} \rightarrow \mathbb{C}$  (where  $B^n =$  unit ball in  $\mathbb{C}^n$ ) extends to a holomorphic fcn on  $B^n$  if  $n \geq 2$ .

(all singularities in codim  $\geq 2$  are removable)

(the prop. is the algebraic analogue of the thm)

Algebraic Analogue: If  $X$  is a normal variety

(ex:  $\text{Spec } R$ ,  $R$  int. cl. domain)

[variety  $\rightarrow R$  a domain, normal  $\rightarrow$  integrally closed in FF], then any rat'l fcn on  $X$  regular in codimension 1 is regular  $\Leftarrow$  holo. (meromorphic fcn)

[if  $X = \text{Spec } R$ , rat'l fcn's on  $X$  are elts of the FF  $k = R_{(0)}$ ]

[ex: if  $X = \mathbb{A}^n$  ( $X = \text{Spec } k[x_1, \dots, x_n]$ )  $f \in k[x_1, \dots, x_n]$  are

"regular" fcn's on  $X$ , FF =  $k = k(x_1, \dots, x_n)$  (rat'l fcn's in  $k$ )]

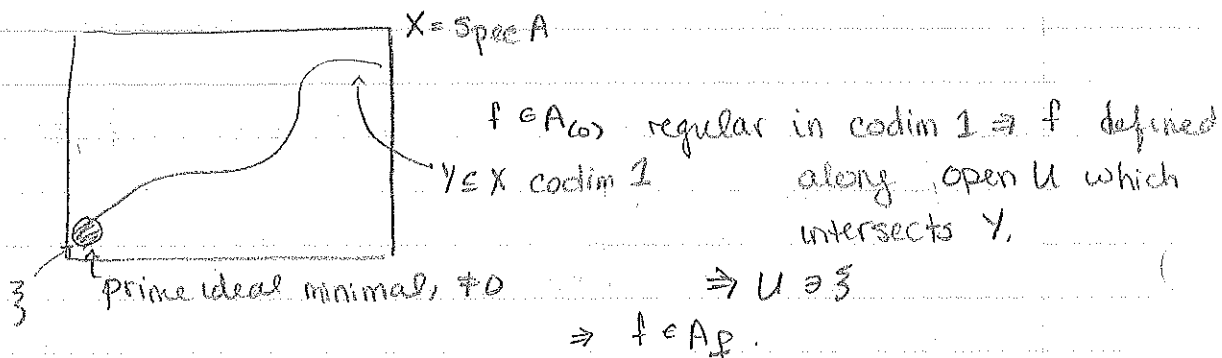
$\frac{x^2+1}{xy}$  a rat'l fcn on  $\mathbb{A}^2$ . singularities on axes, which is codim 1.

Prop: If  $A$  is nice (ex: fg. over a field), then  $\forall \mathfrak{p}$  minimal in  $A$  (above  $(0)$ ),  $A_{\mathfrak{p}}$  is a valuation ring of  $K = A_{(0)}$ .

↑ field of fracs.

Ex:  $\mathbb{Z}_{(p)}$  are val rings of  $\mathbb{Q}$  b/c  $(p)$  are all min. primes.

rat'l fcn  $\Rightarrow$  elt of  $K$ . regular in codim 1  $\Rightarrow$  in every  $A_{\mathfrak{p}}$  for  $\mathfrak{p}$  min. prime.



So rat'l fcn  $f \Leftrightarrow f \in K$

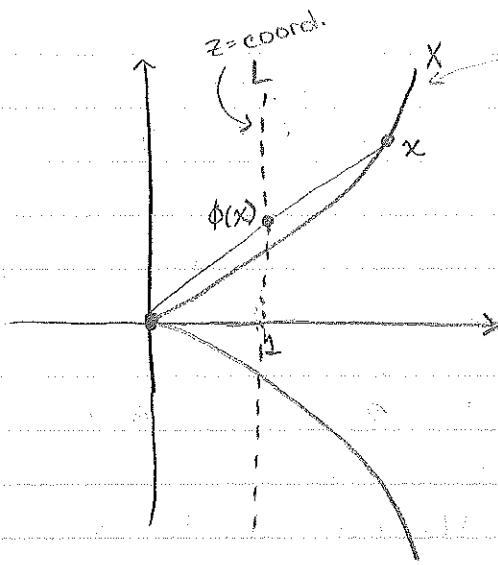
reg. in codim 1  $\Leftrightarrow f \in A_{\mathfrak{p}} \forall \mathfrak{p}$  min.,  $\mathfrak{p} \neq (0)$

$\Rightarrow f \in \bigcap A_{\mathfrak{p}}$ ,  $A_{\mathfrak{p}}$  val. rings of  $K$ ,  $A_{\mathfrak{p}} \supseteq A$ .  
 $\mathfrak{p}$  height 1  $\leftarrow$  minimal above  $(0)$

$\Rightarrow f \in \bar{A}$ . If  $X$  is normal (ie  $A$  is int. closed), then  $f \in A \Rightarrow f$  is regular.

Ex:  $k[x,y]/(y^2-x^3) = A$

Claim:  $\bar{A} \cong k[z]$ ,  $z = y/x$ .



Define a map  $X \setminus \{0,0\} \xrightarrow{\phi} L \setminus \{(1,0)\}$   
 $\phi((x,y)) = (1, y/x)$

$\phi$  is bijective (expect a line to intersect a cubic  $(x^3)$  3 times - but  $(0,0)$  is a double pt - so only one place left to hit curve)

• can write a formula for  $\phi^{-1}$ , only involving rat'l fcn's:  
 $\phi^{-1}((1,z)) = (z^2, z^3)$

Cor:  $\exists$  an iso of rings  
 $A_y \cong k[z]_z$

• removing  $y=0 \iff$  localizing at  $y$ .  
 $\text{Spec}(A_y) = \text{Spec}(A) \setminus V(y) = X \setminus \{(0,0)\}$   
 $\cong \text{Spec}(k[z]_z)$

$\phi^{-1}$  is a regular map (defined everywhere):  $L \rightarrow X$   
 $\rightsquigarrow$  expect a map of rings in the other direction.

$A \xrightarrow{f} k[z]$  (both int. dom's)  
 $k[x,y]_{(y^2-x^3)}$  well-def b/c  $y^2-x^3 \rightarrow 0$ .

$$\begin{aligned} x &\mapsto z^2 \\ y &\mapsto z^3 \end{aligned}$$

Claim:  $f$  injective ( $C_k$ )

- ②  $f$  induces an isom. on rings of fracs
- ③  $k[z]$  is integrally closed. (poly ring in 1 var - same proof as for  $\mathbb{Z}$ )  $\Rightarrow \bar{A} \subseteq k[z]$
- ④  $\bar{A} = k[z]$  b/c  $z$  is integral over  $A$ .

$z = y/x$  satisfies  $z^2 - x = 0$  (integrality eqn /  $A$ )  
 $\Rightarrow k[z] \subseteq \bar{A}$ .  $\checkmark$

(generic pt of  $L \cong X$  are same)

3/18

If  $A$  int. closed,  
integral domain

$$A = \bigcap_{ht \mathfrak{p}=1} A_{\mathfrak{p}}$$

$\Leftrightarrow$

$$A = \bigcap C$$

C: local. ring  
of  $k, C \subseteq A$

$A_{\mathfrak{p}}$  is a val. ring,  
but not all of  
them.

( $k$  the f. of fr. of  $A$ )

Intersection over larger class.

Prop: Let  $A \subseteq B$  be int. dom's,  $B$  a f.g.  $A$ -alg. Let  $\Omega$  be an alg. cl. field  $\exists v \in B$ . Then  $\exists u \in A$  s.t. every hom  $f: A \rightarrow \Omega$  s.t.  $f(u) \neq 0$  can be extended to  $g: B \rightarrow \Omega$  s.t.  $g(v) \neq 0$ .

Cor: (Nullstellensatz) Let  $k$  be a field  $\&$   $B$  a f.g. alg. over  $k$  which is also a field. Then  $B$  is algebraic over  $k$  (ie  $B$  is a finite alg. ext'n of  $k$ ).

( $B$  a f.g. alg. over  $k$ :  $\{b_1, \dots, b_n\}$  s.t.  $\sum k_i b_i^n$  are all  
elts of  $B$  - can't pick inverses  
ie:  $\mathbb{Q}$  is not a f.g.  $\mathbb{Z}$ -alg - b/c only finitely  
many primes in denoms of generators.)

If smth is f.g. as a field, allow inverses as well.  
 $B$  finite alg. /  $k$  - all elts of  $B$  are sol'n's to  
polys in  $k$  of finite degree

$k[x]/(f)$ ,  $f$  irred. poly,  $k[x]$  f.g. alg. over  $k$ ,  
 $\&$   $k[x]/(f)$  a finite alg. ext'n of  $k$   
(b/c  $x$  satisfies  $f$ .)

Pf: Let  $\Omega = \overline{k}$ ,  $A = k$ ,  $B = B$ ,  $v = 1$ . By prop,  $\exists u \neq 0 \in k$   
s.t.  $f: k \rightarrow \Omega$ ,  $f(u) \neq 0$  can be extended to a  
 $g: B \rightarrow \Omega$  s.t.  $g(1) \neq 0$ . Since  $B$  a field, every  
hom. either 0 or injective  $\Rightarrow g$  is injective.  $B \subseteq \Omega$   
 $\Rightarrow$  all elts of  $B$  are alg. over  $k$ . (b/c all elts of  
 $\Omega$  are). □

(every f.g. alg. over a field is integral)

Pf of prop: By easy induction, reduce to  $B$  is gen.

by 1 elt  $x \in B$  over  $A$ .

Case 1:  $x$  is transcendental over  $A$ , i.e. no eqn w/ coeffs in  $A$  is satisfied by  $x$ , i.e.  $B = A[x]$  in the usual sense (i.e.  $A[y] \rightarrow B$  has no kernel)

$A \rightarrow A$   
 $y \mapsto x$   $\uparrow$  surj b/c  $B$  gen by  $x$   
 $\Rightarrow A[y] \cong B$

Look at  $v \in B$ .  $v$  is a polynomial in  $x$ , i.e.  $\exists a_0, \dots, a_n \in A$  s.t.  $a_0 x^n + \dots + a_n = v$ . Pick  $u = a_0$ .

Then given  $f: A \rightarrow \Omega$  s.t.  $f(u) \neq 0$ , i.e.  $f(a_0) \neq 0$ , look at poly  $f(a_0)z^n + \dots + f(a_n)$  w/ coeffs in  $\Omega$ .

This takes at least some non-zero value for some  $z \in \Omega$  b/c it can have at most  $n$  roots, but  $\Omega$  is infinite (b/c alg. closed fields are infinite)

Pick  $\xi \in \Omega$  s.t.  $f(a_0)\xi^n + \dots + f(a_n) \neq 0$ . Extend  $f: A \rightarrow \Omega$  to a  $g: B \rightarrow \Omega$  by setting  $g(x) = \xi$ .

Then  $g(v) = f(a_0)\xi^n + \dots + f(a_n) \neq 0$ .

(We've used: given  $f: A \rightarrow C$  & any elt  $c \in C$ ,  $\exists!$  ring map  $g: A[x] \rightarrow C$  s.t.  $g|_A = f$  &  $g(x) = c$ .)

However, if  $x$  alg. over  $A$ , can't send  $x$  to any elt of  $C$  b/c must satisfy same relations]

Case 2:  $x$  is algebraic over  $A$ , i.e.  $\exists$  an eqn

(\*)  $a_0 x^n + \dots + a_n = 0$  w/  $a_i \in A$  (as before,  $A[y] \rightarrow B$  has kernel, so  $B \cong A[y]/\text{kernel}$ ).

Note:  $v \in B \Rightarrow v^{-1}$  is also algebraic over  $K$ , so

$\exists a'_0, \dots, a'_m \in A$  s.t.  $a'_0 v^{-m} + \dots + a'_m = 0$  (\*\*)

Take  $u = a_0 a'_0 \in A$ . Given any hom  $f: A \rightarrow \Omega$  s.t.

$f(u) \neq 0$ . First extend  $f$  to  $\bar{f}: A[u^{-1}] \rightarrow \Omega$

by  $\bar{f}(a) = f(a)$  for  $a \in A$  &  $\bar{f}(u^{-1}) = f(u)^{-1}$  (ok b/c  $\Omega$  a field, so  $f(u)$  has an inverse).

$x^p - x$  in  $\mathbb{F}_p$   
 is identically 0  
 $v^x$

Version 1: Let  $C$  be the int. closure of  $A[u^{-1}]$ . By prop. proven by Dima,  $\bar{f}$  extends to a  $\bar{g}: C \rightarrow \Omega$ .

Claim (1):  $x \in C$ : In  $(*)$ ,  $a_0$  is invertible in  $C$  (since add  $u^{-1}$ , add  $a_0^{-1} \in a_0^{-1}$ ), so dividing  $(*)$  by  $a_0$  gives an integrality condition for  $x$  over  $C$ . But  $C$  int. cl.  $\Rightarrow x \in C$ .  
 $\Rightarrow B \subseteq C$  (b/c every poly. comb. of  $x$  is in  $C$ )

Define  $g = \bar{g}|_B$ .

Claim (2): Note  $v^{-1}$  is also integral /  $C$ . b/c of eqn  $(**)$  dividing by  $a_0'$ .

$\Rightarrow v^{-1} \in C$ ,  $v \in C$  (b/c  $v \in B \subseteq C$ )

$\Rightarrow g(v) \neq 0$ , b/c  $g(v)g(v^{-1}) = g(1) = 1$ .

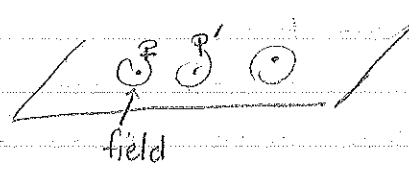
Version 2: with valuations in book

3/20

Spec  $\mathbb{R}[x]$  all ideals, 0 or max  
 max:  $(x-a), a \in \mathbb{R} \leftarrow$  corresp. to pts in  $\mathbb{R}$   $\xrightarrow{\text{res. field}} \mathbb{R}$  ( $\mathbb{R}[x]/(x-a) \cong \mathbb{R}$ )  
 $(x^2+ax+b), \Delta < 0 \leftarrow$  extra pts.  $\leadsto \mathbb{C}$  const. polys survive  
 $(0) \leadsto \mathbb{R}(x)$  (rational fcn)  $\uparrow$  lin. poly's survive

If  $k$  alg. closed,

$\mathfrak{p}$  prime in Spec  $R$ , we defined the residue field at  $\mathfrak{p}$  as  $R_{\mathfrak{p}}/\mathfrak{p}R_{\mathfrak{p}} \cong (R/\mathfrak{p})_{(0)}$  ( $R/\mathfrak{p}$  int. dom.)  
 $\leftarrow$  the unique max ideal in  $R_{\mathfrak{p}}$ . 2 dim ext of  $\mathbb{R}$



Spec  $R$  - attach a field over each prime  
 -elts of ring are fcn's & take values in field above.

In  $\mathbb{R}$ , at gen pt get  $\mathbb{R}$  as field

Null: all residue fields will give, fin. alg. ext'n of  $k$ .  $(k[x_1, \dots, x_n]/\mathfrak{m})$   
 res. field at  $\mathfrak{m}$   $\swarrow$  f.g.  $k$ -alg  
 f.g.  $k$ -alg & field  $\Rightarrow$  fin. alg. ext'n of  $k$   
 If  $k = \bar{k}$ , res. field at  $\mathfrak{m} = k$



Dichotomy of res. fields can't happen if  $k = \bar{k}$ .

### Chain Conditions

Prop: Let  $S$  be an <sup>partially</sup> ordered set,  $\leq$ . TFAE:

- (1) Every increasing sequence eventually stabilizes.
- (2) Every subset has a max. elt.

If  $S \subseteq \mathcal{P}(A)$  (i.e.  $S$  a collection of subsets of  $A$ ), " $\subseteq$ " order &  $S$  satisfies (1) or (2), we say  $S$  satisfies the ascending chain condition (ACC); an "2" descending chain condition (DCC).

Ex: ①  $A = \text{fin. abel. gp}$ ,  $S = \{\text{subgps of } A\}$

$S$  has ACC, DCC.

②  $A = \text{any finite set}$

③  $\mathbb{Z}$  with subgps (or ideals) has ACC but not DCC.

$(52) \subseteq (26) \subseteq (13) \subseteq (2)$  ACC: every integer has only finitely many factors.

$(2) \supseteq (4) \supseteq (8) \supseteq (16) \supseteq \dots$

④  $k[x]$  has ACC but not DCC (same as  $\mathbb{Z}$ )

- any poly. has finitely many prime factors.

⑤  $k[x_1, x_2, \dots]$  has neither ACC nor DCC.

$(x_1) \subseteq (x_1, x_2) \subseteq (x_1, x_2, x_3) \subseteq \dots$

Spec = 1 pt.

⑥  $k[x]/(x^n)$  has both ACC & DCC (one prime ideal =  $(x)$ )

[If a ring has ACC, quot. has ACC.]

fin. dim. as a  $k$ -mod. - no  $\infty$  decr. sequence

b/c  $\dim_k k[x] < \infty$ , so dim. must decrease.

Def: A ring  $A$  is Noetherian if it satisfies ACC for its ideals; it is Artinian if it satisfies DCC for its ideals.

• to be Artinian, must be fin. dim. over a field,

conesp. Ord. dim schemes.

• for modules, "ideals" is replaced by "submodules"

Ex: infinite dim. simple  $k$ -alg. not nec. semi-simple:

$k\langle x, y \rangle / \langle xy - yx = 1 \rangle$  is not a matrix alg, but it is simple (no 1-sided ideals)

Check - not semi-simple!

Thm (Artin-Wedderburn): A simple Artinian ring is a matrix alg over a div. alg,  $M_n(D)$ .

Pf: similar to orig. pf.

(if fid. over field, then Artinian)

Prop: An  $R$ -module  $M$  is Noetherian  $\Leftrightarrow$  every submod.  $N \subseteq M$  is f.g.

Pf: ( $\Rightarrow$ ): Assume by contradiction  $N$  is not f.g. Then  $\{P \subseteq N \mid P \text{ is f.g.}\}$  has no maximum.  $\Rightarrow$  contradicting Acc. (subset of set of submods of  $M$ ).

(Look at max,  $P \neq N$ , add one more elt not in  $P$ )

( $\Leftarrow$ ): Let  $N_1 \subseteq N_2 \subseteq \dots \subseteq N_k \subseteq \dots$  be an increasing chain of submodules of  $M$ . Take  $N = \bigcup N_i \subseteq M$  submodule. By assumption,  $N$  is f.g., so pick

$n_1, \dots, n_k$  which generate.  $\exists t_i$  s.t.  $n_i \in N_{t_i} \forall i$ .

Let  $t = \max_{1 \leq i \leq k} \{t_i\}$ . At  $N_t$ , all generators <sup>have</sup> appeared,

So sequence must stabilize at  $t$ .  $\square$

Thm: If  $0 \rightarrow M' \rightarrow M \rightarrow M'' \rightarrow 0$  is a ses, then  $M$  is Noeth/Artin. iff  $M'$  and  $M''$  are Noeth/Artin.

Pf: ( $\Rightarrow$ ): seq. of submods in  $M''$ , pull back to  $M$ , that stabilizes, for  $M'$ , push it forward

( $\Leftarrow$ ): seq. in  $M$ , proj. to  $M''$  & pull back to  $M'$ , both stabilize take max of times, then seq. stabilizes on  $M$ .

3/22

Lemma: If  $0 \rightarrow N' \xrightarrow{\alpha} N \xrightarrow{\beta} N'' \rightarrow 0$  is short exact and  $M_1, M_2$  are submods  $N$  s.t.  $\alpha^{-1}(M_1) = \alpha^{-1}(M_2)$  and  $\beta(M_1) = \beta(M_2)$ , then  $M_1 = M_2$ .

(use this lemma to finish prev. pf - if stabilizes in  $N''$  &  $N'$ , then stabilizes in  $N$ )

Hint: Look at  $M = M_1 + M_2$  &  $\alpha^{-1}(M) = \alpha^{-1}(M)$ ,  $\beta(M) = \beta(M)$

• If  $M_1 = M_1 + M_2$ , then  $M_1 = M_2$ , so can assume  $M_1 \not\subseteq M_2$  by replacing  $M_2$  by  $M_1 + M_2$ .

Prop If  $A$  is Noetherian,  $\phi: A \rightarrow B$  onto, then  $B$  is Noetherian.

① If  $A \subseteq B$ ,  $A$  is Noeth, &  $B$  f.g. as an  $A$ -mod, then  $B$  is Noeth.

②  $S \subseteq A$  a multiplicative set,  $A$  Noeth  $\Rightarrow S^{-1}A$  is Noeth.

Prop:  $A$  Noeth,  $M$  f.g.  $A$ -mod,  $N \subseteq M$  submod  $\Rightarrow N$  is f.g., ie.  $M$  is Noeth.

Pf: (1)  $A$  Noeth  $\Leftrightarrow A$  is Noeth. as an  $A$ -module

(2)  $A$  Noeth.  $A$ -mod  $\Rightarrow A^{\oplus n}$  Noeth.:

$0 \rightarrow A \rightarrow A \oplus A \xrightarrow{\alpha} A^{\oplus n-1} \rightarrow 0$  a s.e.s., induction.

(3)  $M$  f.g.  $\Leftrightarrow \exists$  surj. map  $A^{\oplus n} \rightarrow M \rightarrow 0$

(map each basis vector in  $A^{\oplus n}$  to a gen. of  $M$ )

$\Rightarrow \exists$  s.e.s.  $0 \rightarrow \ker \rightarrow A^{\oplus n} \rightarrow M \rightarrow 0$

$\Rightarrow M$  Noeth. (if middle is Noeth, 2 sides are)

(4)  $N$  f.g.:

Counterex if  $A$  not Noeth:  $A = k[x_1, x_2, \dots] = M$ ,  $N = \langle x_1, x_2, \dots \rangle$

(ring always f.g. over self  $\rightarrow$  gen. by 1)

Pf of 1<sup>st</sup> prop: (1)  $0 \rightarrow \ker \rightarrow A \rightarrow B \rightarrow 0$  s.e.s.

(ideals in  $B$  are ideals of  $A$  that contain kernel.)

(2)  $B$  f.g. as  $A$ -mod  $\Rightarrow B$  Noeth.  $A$ -mod

ideals in  $B$  are subset of  $A$ -submods of  $B$

( $\neq \mathbb{Z} \hookrightarrow \mathbb{Q}$  ideals  $(0) \subseteq \mathbb{Q}$ , but  $\not\equiv \mathbb{Z}$ -submod)

$\Rightarrow \{A\text{-submods}\}$  satisfy ACC  $\Rightarrow \{\text{ideals of } B\}$

satisfies ACC.

(3)  $\{ \text{ideals in } S^{-1}A \} \xleftrightarrow{\text{inj}} \{ \text{contracted ideals in } A \}$

(contraction from  $S^{-1}A$  to  $A$  is inj.)

$\Rightarrow (\text{Ideals in } S^{-1}A) \subseteq (\text{Ideals in } A) \quad \checkmark$

Ex:  $A$  Noeth  $\Rightarrow A_p$  Noeth.

Thm (Hilbert Basis Thm): If  $A$  Noeth  $\Rightarrow A[x]$  is Noeth.

(induct to any poly. ring in finitely many vars)

(ie; if  $B$  f.g. as an  $A$ -alg, then Noeth, as an  $A$ -mod,  $A[x]$  gen by  $1, x, x^2, \dots$ )

Pf: Let  $\underline{a} \subseteq A[x]$  an ideal. WTS  $\underline{a}$  f.g.

Define  $I \subseteq A$ ,  $I = \{a \in A \mid a \text{ is a leading coeff of some } f \in \underline{a}\}$

Claim:  $I$  is an ideal of  $A$ .

• to add, mult. one poly by  $x^k$  so have same degree

• to mult. by  $r \in A$ , mult  $f$  by  $r$ .

$A$  Noeth  $\Rightarrow I$  f.g.  $I = (a_1, \dots, a_n)$

Let  $f_1, \dots, f_n \in \underline{a}$  be such that the leading coeff of  $f_i$  is  $a_i$ .

Define  $\underline{a}' = (f_1, \dots, f_n) \subseteq \underline{a}$ , let  $r_i = \deg f_i$ ,

$r = \max_{1 \leq i \leq n} r_i$ . Claim:  $\forall f \in \underline{a}$ , we can write

$f = g + h$ ,  $g \in \underline{a}'$ ,  $\deg h < r$ . subset, not ideal

(pf deferred). Look at  $M \subseteq A[x]$ ,  $M = A$ -mod.

gen by  $1, x, x^2, \dots, x^{r-1}$  (ie, all polys of  $\deg \leq r-1$ )

Regard everything as  $A$ -mods:

Claim  $\Rightarrow \underline{a} = \underline{a}' + (\underline{a} \cap M)$ .  $M$  is f.g.  $\Rightarrow M$  Noeth

as an  $A$ -mod.  $\Rightarrow \underline{a} \cap M$  a submod is f.g. as

an  $A$ -mod. Pick  $g_1, \dots, g_r$  gens for  $\mathfrak{a}$  as an  $A$ -mod.  $\Rightarrow (f_1, \dots, f_n, g_1, \dots, g_r)$  generate  $\mathfrak{a}$  as an ideal.

Pf of claim: Pick  $f \in \mathfrak{a}$ . Then if  $\deg f < r$ , done ( $g=0$ ).

If  $\deg f \geq r$ , look at leading coeff of  $f$ :

$$f(x) = bx^m + \text{l.o.t.}, \quad b \in \mathfrak{I} \Rightarrow b = \sum a_i c_i \text{ for}$$

some  $c_i \in A$ . ( $\mathfrak{I}$  f.g.). Then leading term of

$$\sum c_i f_i x^{m-r_i} \text{ is exactly } bx^m \Rightarrow f - \sum c_i f_i x^{m-r_i}$$

$\in \mathfrak{a}$  & has lower degree. Repeat - you'll get stuck when  $\deg f < r$ . Done.

Thm:  $A$  Artinian  $\Leftrightarrow A$  Noetherian and  $\dim A = 0$ .

(Pf in book)

Thm:  $A$  Artinian  $\Rightarrow$  every prime is maximal. (ie  $\dim A = 0$ )

Pf: Let  $\mathfrak{p} \subseteq A$  be prime. Then  $A/\mathfrak{p}$  an int. dom.

WTS field. Let  $x \in A/\mathfrak{p}$ . Look at  $(x) \supseteq (x^2) \supseteq \dots \supseteq (x^n) \supseteq \dots$

Artinian  $\Rightarrow x^n = x^{n+1}y$  for some  $y$ . No zero divisors,

can cancel  $x^n \Rightarrow xy = 1 \Rightarrow x$  a unit.  $\Rightarrow A/\mathfrak{p}$  field  $\square$ .

4/1 Artin notes, Stewart Galois Theory

Thm (Hilbert Basis Thm): If  $A$  is Noetherian, then  $A[x]$  is Noetherian.

Cor: If  $A$  is Noeth &  $B$  is a f.g.  $A$ -alg, then  $B$  Noeth.

Pf:  $B$  f.g.  $\Leftrightarrow \exists$  surj. map  $A[x_1, \dots, x_r] \rightarrow B$ . If  $\mathfrak{I} = \text{kernel}$ ,

$\Rightarrow B = A[x_1, \dots, x_r] / \mathfrak{I}$ , so  $B$  a quotient of a Noeth. ring  $\Rightarrow B$  Noeth.

Prop: Let  $A \subseteq B \subseteq C$  be rings with  $A$  Noeth,  $C$  f.g.  $A$ -alg.  
 Moreover, assume  $C$  is a f.g.  $B$ -module. Then  $B$   
 is a f.g.  $A$ -alg.

Pf: Let  $x_1, \dots, x_m \in C$  be  $A$ -alg. generators for  $C$ .

(every elt in  $C$  can be written as a poly. in  $x_1, \dots, x_m$  w/ coeff's in  $A$ )

Let  $y_1, \dots, y_n \in C$  be  $B$ -mod gens for  $C$ . We have

$$x_i = \sum b_{ij} y_j \text{ for some } b_{ij} \in B. \text{ (mn } b_{ij}\text{'s)}$$

$$y_i y_j = \sum b_{ijk} y_k \text{ } b_{ijk} \in B. \text{ (n}^2 \text{ } b_{ijk}\text{'s)}$$

Let  $B_0 = A[b_{ij}, b_{ijk}]$ . We have  $A \subseteq B_0 \subseteq B$ .

$B_0$  a f.g.  $A$ -alg,  $A$  Noeth  $\Rightarrow B_0$  Noeth.

Steps: (1)  $C$  a f.g.  $B_0$ -mod

(2) (1) &  $B_0$  Noeth  $\Rightarrow B$ , a submod of  $C$ , a f.g.  $B_0$ -mod

(3)  $B_0$  f.g.  $A$ -alg. & (2)  $\Rightarrow B$  f.g.  $A$ -alg.

(2) obvious, (3) obvious.

Pf of (1): We'll show that  $\{y_i\}$  generate  $C$  as a  $B_0$ -mod.

Why? We know every  $z \in C$  is a polynomial in  
 the  $x_i$ 's w/ coeff's in  $A$ , but  $x_i$ 's are lin combs  
 of  $y$ 's. Open parentheses, & get poly that involves

prods of  $y_i y_j \Rightarrow$  replace w/ lin. comb. of  $y_k$ .

$\Rightarrow z$  a lin. comb. of  $y_i$ 's w/ coeff's in  $B_0$ .  $\Rightarrow C$  a  $B_0$ -mod.  $\square$

$$[ \text{Ex: } z = a_1 x_1 x_2 + a_2 x_3$$

$$= a_1 (\sum b_{ij} y_j) (\sum b_{2k} y_k) + a_2 (\sum b_{3e} y_e)^2$$

$$= a_1 \sum_{j,k} b_{ij} b_{2k} y_j y_k + a_2 \dots$$

$$= a_1 \sum_{j,k,s} \underbrace{b_{ij} b_{2k} b_{ks}}_{\in B_0} y_s + \dots ]$$

Thm (Nullstellensatz): Let  $k$  be a field,  $k \subseteq E$ ,  $E$  is a f.g.  $k$ -alg. &  $E$  a field. Then  $E$  is a finite algebraic extension of  $k$ .

[If you add a finitely many elts to a field, then the elts you added were algebraic]

Pf: Let  $x_1, \dots, x_n$  be generators of  $E$  as a  $k$ -alg. Assume some are not algebraic. We can renumber  $x_1, \dots, x_n$  so that  $x_1, \dots, x_r$  are algebraically independent over  $k$  &  $x_{r+1}, \dots, x_n$  are algebraic over  $k(x_1, \dots, x_r) = F$ .

$k \subseteq F \subseteq E$  fields,  $F$  a purely transcendental ext'n (ie, can regard  $x_1, \dots, x_r$  as abstract variables - they don't satisfy any rel's).  $E$  alg./ $F$ .

$\underbrace{k \subseteq F \subseteq E}_{\substack{\text{f.g. } k\text{-alg.} \\ \text{f.g. } F\text{-mod}}} \Rightarrow F \text{ a f.g. } k\text{-alg. by prev. prop.}$

WTS  $k(x_1, \dots, x_r)$  is not a f.g.  $k$ -alg. This gives contradiction.

Assume  $\{f_i/g_i\}$  gen.  $k(x_1, \dots, x_r)$  as a  $k$ -alg. Take  $h = (\prod g_i) + 1$ . Then  $h$  cannot appear as a denom.

in any alg. comb. of  $\{f_i/g_i\} \Rightarrow 1/h \in k(x_1, \dots, x_r)$  is not an alg. comb. of  $\{f_i/g_i\} \Rightarrow k(x_1, \dots, x_r)$  cannot be f.g. as a  $k$ -alg.  $\square$

Field ext'n:  
Can add elts  
& their  
inverses

# FIELD THEORY

4/3 J.S. Milne - online notes on field theory & Galois theory

Def: The characteristic of a field  $k$  is  $\min \{n \mid n \cdot 1 = \overbrace{1+\dots+1}^n = 0\}$   
 $= \text{Char } k$ .

$$\text{char } k = 0 \Leftrightarrow n \cdot 1 \neq 0 \quad \forall n \neq 0.$$

$$\text{map } \mathbb{Z} \xrightarrow{f} k$$

$$1 \mapsto 1$$

$\ker f = 0$  if  $\text{char } k = 0$

but  $\ker f = \text{prime ideal}$  (preimage of prime is prime)

so  $\text{char } k = p$ , a prime

Binomial Thm:  $(a+b)^n = a^n + \binom{n}{1}a^{n-1}b + \binom{n}{2}a^{n-2}b^2 + \dots + b^n$

true in any ring.

$\hat{=}$  image of  $\binom{n}{i}$  under  $f$  into field.

• If  $n = p^m$ ,  $p = \text{char } k > 0$ ,

then  $p \mid \binom{p^m}{i} \quad \forall i \neq p^m, 0$ .

$$\Rightarrow (a+b)^{p^m} = a^{p^m} + b^{p^m}$$

$$\text{and } (ab)^{p^m} = a^{p^m} b^{p^m}$$

$\Rightarrow$  If  $\text{char } k = p$ , then  $f: k \rightarrow k$ ,  $F(x) = x^p$  is a field homomorphism,  $\rightarrow$  takes 1 to 1.  $f$  is additive & mult.

$F$  is called the Frobenius homomorphism.

Ex:  $k = \mathbb{Z}/p\mathbb{Z}$ ,  $F = \text{id. hom.}$  b/c of Fermat's little thm.

• fixed pts of  $F$  are the images of  $\mathbb{Z}$  under  $f$ .

Claim: If  $\text{char } k = p$ , then  $\text{Fix}(F) = \{x \in k \mid F(x) = x\}$  is  $\text{Im}(\mathbb{Z}/p\mathbb{Z} \rightarrow k)$ .

Why are there no other fixed pts? Look at

eqn  $X^p = X$  in  $k$ , a polynomial eqn, so cannot have more than  $p$  roots, those we found above.  $\square$

(Weyl Conjecture)